# Building Relationships and Resilience: Emotion Coaching as an Efficient and Effective Social and Emotional Learning Strategy

Barbara Gueldner, PhD, MSE
Licensed Psychologist, Nationally Certified School Psychologist
Ohio School Psychologists Fall Conference
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# Objectives

- What Is Emotion Coaching?
- What does it look like?
- How do I engage in this process?
- Wait, I have feelings in this process too?!

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PRACTICES THAT
PERMEATE ALL ASPECTS
OF SERVICE DELIVERY

Data-Based Decision Making and Accountability

Consultation and Collaboration



#### DIRECT AND INDIRECT SERVICES FOR CHILDREN, FAMILIES, AND SCHOOLS

#### Student-Level Services

Interventions and Instructional Support to Develop Academic Skills

Interventions and Mental Health Services to Develop Social and Life Skills

#### **Systems-Level Services**

School-Wide Practices to Promote Learning

Preventive and Responsive Services

Family-School Collaboration Services

#### FOUNDATIONS OF SERVICE DELIVERY

Diversity in Development and Learning

Research and Program Evaluation

Legal, Ethical, and Professional Practice

HELPING STUDENTS AND SCHOOLS ACHIEVE THEIR BEST

# Acknowledgements and Resources

**Ohio School Psychologists Association** 

Resources

#### Disclosure

- Co-author of Merrell's Strong Kids and Merrell's Strong Teens, 1<sup>st</sup> and 2<sup>nd</sup> edition (Paul H. Brookes Publishing;
  - Royalties to Kenneth W. Merrell Legacy Scholarship, University of Oregon)
- Co-author of Social and Emotional Learning in the Schools (Guilford Press)
- No financial support for this presentation from Paul H. Brookes Publishing or Guilford Press

#### Our Schedule and Format

- Lecture, Reflection, Pair share, videos, movement breaks, mindful moments
- Please feel free to move as you need to!
- Managing our personal assistants (AKA technology)

Anger Embarrassed Worry Comfortable Content Sadness Irritation Frustration Jealous Rejected Happy Joy Calm Crabby Safe Relaxed Grateful Disgusted Confident Gloomy CONCERNED Insecure Cheerful Surprised Confused Bored Furious Annoyed DISAPPOINTED LOVE Hurt Inadequate Fear Hopeless Impatient Giddy Hopeful Content Depressed Guilty Appreciated APATHETIC

#### **Emotions**

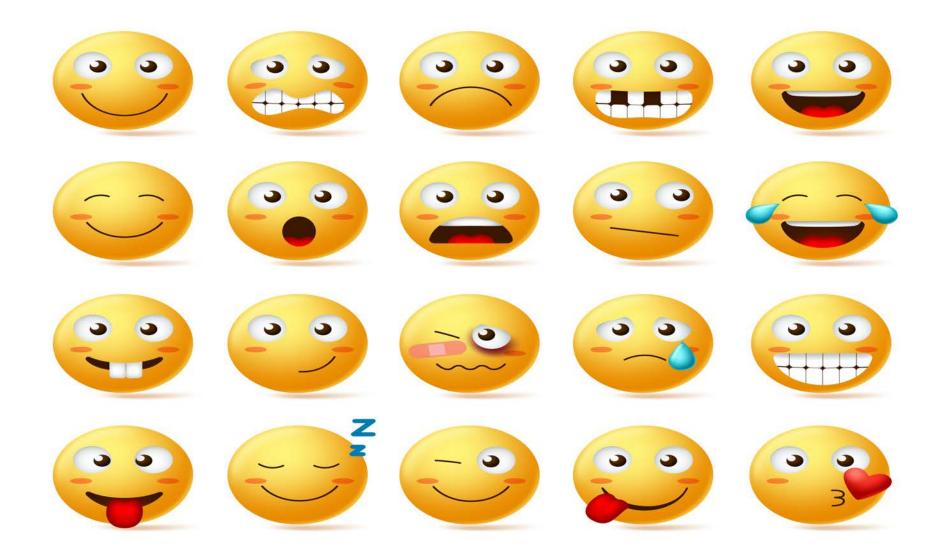
#### **Emotions = Information**

Tell us something about our environment, experience, needs

Prepares us to respond, adapt, seek assistance

We experience more than one emotion at a time

(Shariff & Tracy, 2011)



# **Emotion Socialization**

(Denham, Bassett, & Wyatt, 2007)

Model

React

Teach

What emotional expressions look like

Normative, culturally referenced responses

To student's emotional experiences & behavior

Encourage, discourage responses

Contingent

Understand causes of emotions

Use emotion words

Better knowledge = better regulation

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#### The Influence of Gender

Parents social boys and girls differently within cultural norms of masculinity and femininity

#### Men

- Displays of sadness, worry are viewed more negatively
- Anger and more externalizing emotions (aggression) are viewed as more acceptable

#### Women

- Displays of anger, aggression are more negative
- Sadness and fear discussion are emphasized more

# The Importance of Fathers (Gottman video summary)

- Dads play with kids differently than moms do
- Dads are preferred playmates for 2.5 y.o., 3:1
- Dad's are critical to emotional development b/c:
  - 1) Kids emotions are up and down more through very active play--- kids get more practice regulating
  - 2) Dads encourage independence more often
- Moms can learn how to play with kids in a similar manner
- There is no toy that is more interesting to your child than you.
- Dads are providing something <u>naturally</u> that is different than moms.

**Emotion IQ** Model React **Teach Set Limits Problem solve Consider own**  Social skills emotions Behavior School performance Stress management Physical and Emotional health

> Denham et al., 2007; Durlak et al., 2011; Bierman et al., 2008 Copyright 2018 Barbara Gueldner PhD

# **Emotion Coaching**

- A way for adults to respond to children's emotions and behavior
- Process emotions, respond to problems within a dyad
- Focus on relationships via empathy and attunement
- Should be used in conjunction with principles of effective behavior management practices, problem-solving

#### Emotion Coaching in 5 Steps (Gottman, 1996)

- 1. Be aware of emotions
- 2. Recognize emotions as an opportunity to connect and teach
- 3. Label emotions verbally
- 4. Communicate empathy and understanding
- 5. Set limits, help problem solve

• Video example

### Emotion Coaching: A Closer Look

- Meta-emotion Philosophy: How you feel and think about emotions in yourself and child/student (Gottman et al., 1997; Lagace-Sequin, 2006)
- Use this information/awareness to engage in emotion socialization behaviors
- Awareness, acceptance, and coaching of emotions were identified as component processes central to PMEP (Katz, Miliken, & Stettler, 2012, p. 418)

# 4 Parenting Styles with Emotions (Gottman et al., 1997)

#### **Dismissing**

Emotions aren't important, ignore emotions, disengages, uncomfortable, let's get past it, might get out-of-control, downplay, may punish child for feeling anger

#### **Disapproving**

Judges, criticizes emotional expression, emphasize conformity, punishes expression, need to control "negative" emotions, emotions make one weak, emotions manipulate

#### Laissez-faire

Accepts all emotions, no teaching about emotions, no problem solving, no limits

#### **Emotion Coaching**

Value emotions, tunes in to them, listens, empathizes, doesn't fix or say how to feel, sets limits and problem solves

# Results of Parenting Styles

(see Gottman et al., 2016; Katz, Maliken, & Stettler, 2012)

Type	Result
Dismissing	Learn that feelings are wrong, not valid. Think something is wrong with you. <a href="Problems regulating">Problems regulating</a> .
Disapproving	Same as above <u>Problems regulating</u>
Laissez-faire	<u>Problems regulating</u> emotions, attention, social relationships.
Emotion Coaching	Trust feelings, better: <a href="mailto:emotion regulation">emotion regulation</a> problems-solving, behavior, self-esteem, social skills, physical health, achievement.  Copyright 2019 Gueldner

#### Adult-Child Relationship, Attachment

- Children engage in behaviors that signal needing to feel safe (attach) or to explore (using a secure attachment base)
- Adults respond, children learn to trust and in the responses and believe they will be available
- Relationships (experiences) and the brain interact to shape structure (Interpersonal neurobiology, Siegel, 2012; Immordino-Yang, Darling-Hammond, & Krone, 2018)
- Relationships, social interactions shape development, health
- Toxic stress = "strong, frequent, and/or prolonged adversity...without adequate adult support." (htts://developingchild.Harvard.edu)
- Attach through attunement---"feel felt"
- Learning requires healthy, helpful social interactions



# Responsive Caregiving = Better Emotional Development

- Caregiver's responsiveness is valuable (Ainsworth, 1979)
- Temperament also a variable (Kagan et al., 1989)
  - Children who respond more intensely pose more challenges to parents (Chen et al., 2012)
- Emotion Coaching supports relationships by using prosocial behavior (parents') to teach children regulation (Gus et al., 2015)

# Pair Share

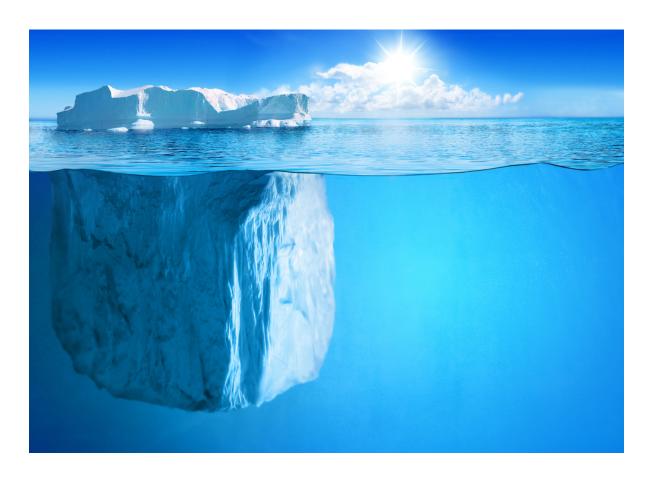
# Rethinking Behavior Management, Targeting Emotional Competence

**Behavior alone is a clue to the riddle, not the answer.** (Brackett, 2019)

- We see emotionality in context of behavior
  - All emotions are valid. Not all behaviors are acceptable. (Gottman et al., 1996)
- Consider emotions as a contributor, influencer to student behavior and identifying emotions, empathizing with them as a point of intervention
- EC can decrease need for traditional behavioral interventions



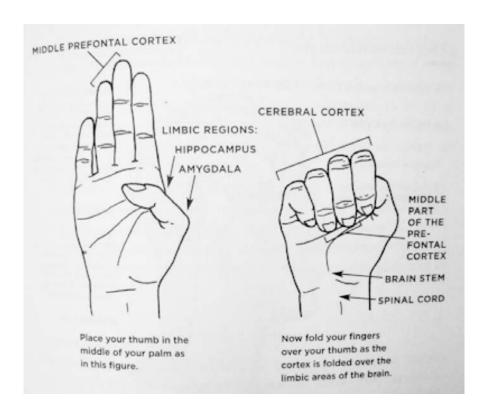
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# Flipping Your Lid

(Dan Siegel: https://www.youtube.com/watch?v=G0T\_2NNoC68)

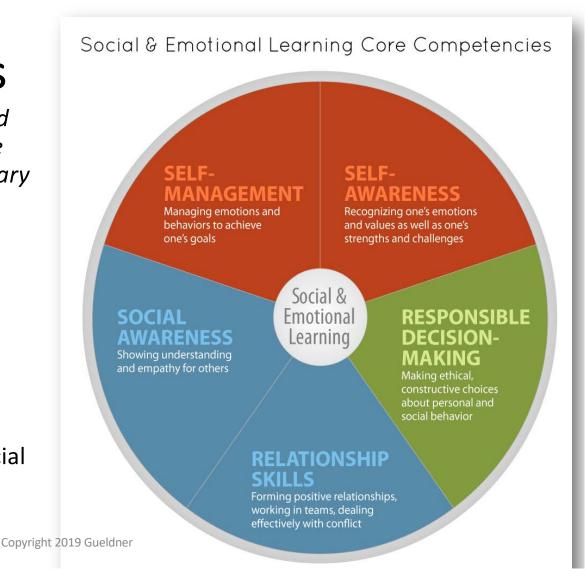


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# **SEL Competencies**

The process through which children and adults acquire and effectively apply the knowledge, attitudes, and skills necessary to:

- understand and manage emotions,
- set and achieve positive goals,
- feel and show empathy for others,
- establish and maintain positive relationships,
- and make responsible decisions.
   Collaborative for Academic and Social and Emotional Learning (CASEL)



# The Opportunity

- Develop "21st Century Skills" (National Research Council, 2009)
- Evidence to support social-emotional competence, relationships are related to academics (Jones & Kahn, 2017)
- In order to learn, we must create environments that are conducive to healthy brain development.
- Home, schools, communities can support healthy development through relationships, programs, strategies, support.
- Prevention and early intervention works (Durlak et al., 2011)
- Educators are effective emotion socializers (Denham et al., 2012)

# Evidence for PMEP/Emotion Coaching: General

(Gottman et al., 1996; Gottman & Declaire, 1997)

- Trust own feelings
- Better emotion regulation, problem-solving
- Higher self-esteem
- Better academic performance
- Better peer relationships
- Better health factors

# Evidence: Issue Specific

- At risk youth (Ellis et al., 2014)
- ADHD (Chronis-Tuscano et al., 2014)
- Anxiety (Hurrell et al., 2015; Hurrell et al., 2017)
- Attachment (Chen et al., 2011)
- Autism and externalizing problems (Wilson et al., 2013)
- Conduct problems (Havinghurst et al., 2013; Katz & Windecker-Nelson, 2004)
- Depression (Katz & Hunter, 2007; Katz et al., 2014; Lenze et al., 2011)
- Eating problems/disorders (Kehoe et al., 2014; Robinson et al., 2014)
- Maltreatment, domestic violence (Shipman et al., 2007; Katz et al., 2008; Cunningham et al., 2009)
- Emotional lability in ODD (Dunsmore et al., 2012)
- Parent Child Interaction Therapy (Duncombe et al., 2016)
- Regulation of emotions and behavior (Shortt et al., 2010)
- Somatic complaints (Kehoe et al., 2015)

#### **Evidence: Schools**

- UK-based
- Attachment Aware Schools Project
- Uses EC as a primary component
- Trains educators, parents, community agencies
- Used with students at all tier levels

#### Benefits: Students

- Meta-emotions (Rose et al., 2017)
- Improved empathy (Digby et al., 2017)
- Better regulation (Gus et al., 2017)
- Better emotion literacy (Gus et al., 2017)
- Less aggression (Rose et al., 2017)
- Less physical restraint (Gus et al., 2017)
- Better reading and math progress (Gus et al., 2017)
- Teacher-student relationships (Gus et al., 2017)

# Staff/School

- Fewer absences (Gus et al., 2017)
- Improved self-efficacy (Gus et al., 2017)
- Improved skills (Gus et al., 2017)
- Dismissed emotions less (Rose et al., 2015)
- More empathy (Digby et al., 2017)
- Better awareness, self-efficacy, self-regulation (Rose et al., 2015, Digby et al., 2017))
- Less use of rewards and consequences, fewer "exclusions" (Rose et al., 2015)
- Improved partnerships with other ecologies (Digby et al., 2017)
- Better communication (Digby et al., 2017)

#### Benefits: Parents

- Home-school communication (Rose et el., 2017)
- Parental engagement (Rose et al., 2017)
- Empathy (Rose et al., 2017)
- Parents perceive benefit (Rose et al., 2017)
- Use of punishment decreased (Gus et al., 2017)
- Lives felt more "normal" (Gus et al., 2017)
- Better awareness, self-efficacy, self-regulation (Rose et al., 2015)

#### Research Needs

- More application
- Feasibility
- How does this fit as a stand-alone/integrated SEL strategy?
- Assessment
- Attention to implementation science factors associated with implementing a strategy in a classroom
  - (e.g., fidelity, training and support, quality of implementation, sustainability, assessment, feedback loop, etc.)

# Managing Expectations

- Emotion Coaching is a viable and effective strategy
- Very useful within a system of support
- Apply EC with intention, specific goals, and an assessment plan
- It is not a panacea for all problems, situations
- You do not have to emotion coach every emotional state
- Avoid a fragmented approach

## Pair Share

### Getting Started with Emotion Coaching

- 1. Be aware of emotions
- 2. Recognize emotions as an opportunity to connect and teach
- 3. Label emotions verbally
- 4. Communicate empathy and understanding
- 5. Set limits, help problem solve

## Step 1a: Recognize Other's Emotions

What do you...

### See

**Body language** 

**Behaviors** 

#### Hear

Tone

Language

**Know** about the child/teen's temperament and triggers

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### Cultural and Age Considerations

- Cultural differences in emotion expression
- Age considerations
  - Preschool: emotion ID, limits, problem solving
  - <u>Late pre to early elem</u>: More complex emotions, use social contexts
  - Middle childhood (8-12): More masking, more logical, validate social and competency concerns, move into consultant role
  - <u>Teens</u>: Reflective listening, consultation, respect

### Step 1b: Also, Recognize Your Emotions

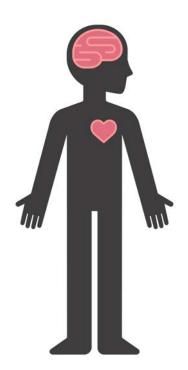
What do you feel in your body?

What are you thinking?

What emotions can you identify?

What are you doing?

What do you know about your temperament?

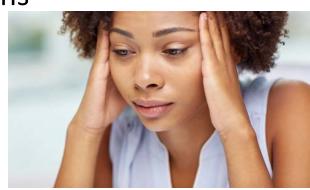


### Barriers

(Gottman, 1997; Powell, Cooper, Hoffman, & Marvin, 2014)

- Our own histories with emotion/situations
- Our own "flooding"
- Our agendas
- The reality of our work in schools
- "Shark music" AKA state of mind
  - I can't do this. Someone else has to.
  - I'm supposed to know how to do this, and I'm pretty sure I shouldn't need help. I'll give ideas on how to fix it right away.
  - This is not my problem. These kids have to figure it out themselves.

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Classroom climate ((Jennings et al., 2013) Student self-control (Merritt et al., 2012) Student achievement (Mashburn et al., 2008) Relationships with parents, colleagues Respect for others **Cultural sensitivity** Problem-solving **Decision making** Behavioral disruptions (Marzano et al., 2003) Communication skills (Karimazadeh et al., 2012) Resilient, better self-regulation (Jennings & Greenberg, 2009) Student aggression (Merrett et al., 2012) Teaching self-efficacy (w & Hughes, 2015) Job satisfaction (Quesenberry & Doubet, 2006) Stress (Jennings & Greenberg, 2009)

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### Regulating Ourselves

- Educators work on their own SEL to use EC
- Your own work, trainings to include:
  - Self-assessment
    - Gottman and Schwartz-Gottman resource
    - CASEL
  - Reflective practice, supervision, consultation
  - Journaling
  - Recognizing your own cues
  - Regulation strategies
  - Practice to build self-efficacy

## Pair Share

# **Step 2a:** Emotions as an Opportunity to Connect and Teach

There is some association between exposure to moderate levels of negative emotions and emotion knowledge (e.g., Denham & Grout, 1992)

# **Emotion Socialization**

(Denham, Bassett, & Wyatt, 2007)

Model

React

Teach

What emotional expressions look like

Normative, culturally referenced responses

To student's emotional experiences & behavior

Encourage, discourage responses

Contingent

Understand causes of emotions

Use emotion words

Better knowledge = better regulation

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### Teachers as Socializers

- Are emotion socializers (Bassett et al., 2016; Sutton & Wheatley, 2003)
- Engage in similar practices as parents (Ahn, 2005; Denham, Bassett, & Miller, 2017)
- Model emotion responses to many students
- Need training in content knowledge, positive attitudes toward emotions (self and others) (Zinsser et al., 2014)

- Validating emotions is important for classroom climate and development (Bassett et al., 2016)
- Some evidence to support that as teachers validate more, more emotional expression occurs (e.g., verbalizing feelings, less regulation) (Bassett et al., 2016)
  - Ho: students see others being validated, increase sad emotion to illicit comfort

### Teacher-Student Relationship

- Teacher-student relationship affects development (cognitive, academic, and social) (Myers & Morris, 2009; Pianta & Stuhlman, 2004)
- Children with externalizing problems may benefit most from positive relationship (Vitiello et al., 2012; those with internalizing problems less understood)
- Relationships improve with EC (e.g., Chen, Lin, Li, 2012)

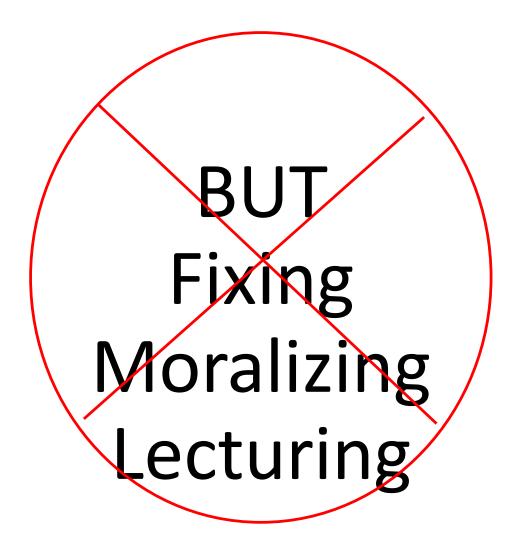
# Non-examples and Examples

Video

### Step 2b: How: Connection Strategies

(Siegel & Payne-Bryson, 2015)

- Goal: To convey calm and safety, decrease vagus defense system, student can start to calm
- Comfort and attention: Eye contact, facial expression, tone of voice, posture, gestures, timing of response, intensity of response, bodily movement
- Stop talking and listen



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### Connection Strategies (Siegel & Payne Bryson, 2015)

- Appropriate eye contact
- Facial expression
- Tone/volume of voice
- Posture
- Gestures
- Timing response
- Intensity of response
- Body movement

### Caveats

- Matching a student's tone, intensity...
  - Can work for some students and in some situations to convey empathy
  - We must stay regulated
  - Watch student's response to see if it is helpful or escalating the situation



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### Step 3: Label Emotions

Label and verbalize the emotion you think is being experienced

"Name it to tame it" (Siegel and Payne Bryson)

## Using our Detective Skills to Hypothesize the Emotion and Experience

What do you...

#### See

Body language

**Behaviors** 

#### Hear

Tone

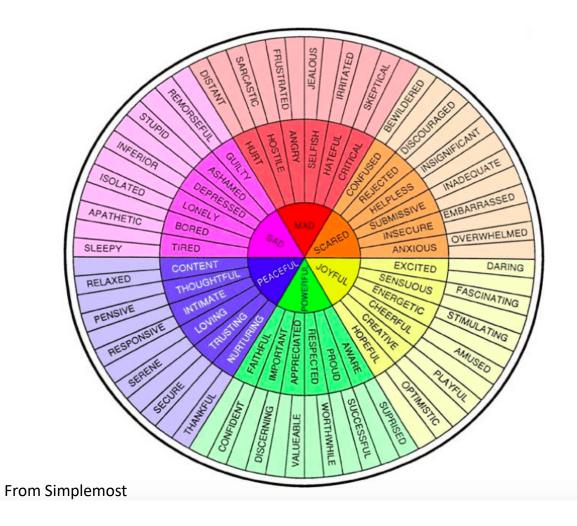
Language

**Know** about the child/teen's temperament and triggers

How might you feel in that situation?

### How Do You Feel?





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### Language

- I wonder if...
- You seem...
- Looks like....
- Ugh, how frustrating!

# Video example

### Practice

# Challenges with asking "why" in the moment...

Our "smart" brain is having a hard to figuring out how we feel and articulating that

Can increase the stress response and interfere with regulation (in the moment)

At the same time, it is important for us to get to "why" to help with understanding and problem-solving

### Step 4: Empathize, Understand, Validate

What is empathy?

Brene Brown narration: <a href="http://www.youtube.com/watch?v=1Evwgu369Jw">http://www.youtube.com/watch?v=1Evwgu369Jw</a>

### Wiseman (1996)

- Perspective taking
- Staying out of judgment
- Recognizing emotions
- Communicating you see emotions
- We may not have experienced that same situation, but we probably know the feeling.

### Empathize, Understand, Validate

- Convey understanding through:
  - Connecting strategies
  - Our words
- Disagreeing with someone's perspective is generally unhelpful unless you have empathized, understood their perspective, and validated
- Reassurance can also be unhelpful at times

- I wonder if you are feeling worried about the test.
- You seem really **excited** to start the activity! You are staying really close the group and asking me a lot when we will start.
- You seem very frustrated right now. Your voice is getting louder and louder.
- You're enjoying the debate.
- Sounds irritating and annoying.
- What a relief!

### Empathy, Understanding, and Validation

- Name the feeling + validate the feeling
  - All feelings are acceptable
  - I imagine you felt X because...and because...and because...and because...(Lafrance, 2018)
  - That really made you angry. You wanted to hangout with Celia <u>and</u> she said she didn't want to <u>and</u> then you felt sad and mad at the same time.

### Anger

- Many emotions occur simultaneously. Anger is often the first clue.
- Anxiety—about not being a "good enough" teacher, what other think of us
- <u>Disappointment</u>—something isn't going as we expected, wanted
- <u>Frustration</u>—that we are dealing with intense emotions and behavior at school
- Surprise—we were not expecting a shift in the schedule

#### Validation in the context of Anger

(Lafrance, 2018; www.mentalhealthfoundations.ca)

- What are you talking about?
- Why are you talking that way?
- I'm not disappointed, I'm angry!
- You don't get it.
- Validation whac-a-mole

Keep using validation strategies. Mirror affect as appropriate. Modify. Set limits. Check in.

#### Practice

## Step 5: Limit Setting and Problem Solving

- Primary principles for positive behavior
- Schoolwide Systems
- General problem-solving approach
- Regulation strategies

#### Schoolwide positive behavioral supports

 What structures, routines are in place that will complement EC?

 What expectations, limits are in place in classrooms, school building?

# Primary Principles for Positive Behavior (Gottman, 1997)

- Give clear directions
- Attend to prosocial behaviors
- Expect mistakes, shape behavior
- Praise for effort
- Try again
- Find ways to say yes
- Grant in fantasy what you cannot in reality
- Practice the behavior you want to see

#### Expectations, Limit Setting

- What is expected behavior?
- What needs to be done?
- Important to follow through, with flexibility

#### Problem Solving

- Problem = A behavior, a dilemma
- Our students are developing, may not have skills yet to fulfill our expectations
- Involve student in process
- Allow to make choices within the school requirements for rules/values

#### Regulation Strategies

- What skills do students have currently?
- What are they learning in the classroom?
- What can we teach, model?

## Pair Share

#### Modifying for Time

- Can be brief
- Will not always have as much time in classroom
- Practice helps A LOT
  - Building competence to build efficiency
- Can always defer lengthier validation later
  - Let student know you will come back to it, follow through

#### How We Can Apply These Strategies

- Direct Application
  - In counseling, interventions, working with families
- Model
  - With students in classrooms, educators
- As part of consultation
  - With teachers, paras, admin
- Train
  - Brief to longer training sessions with educators and parents
- Coach
  - In-vivo
- Support
  - Regular discussions in small-group/individuals

# Integrating and Collaborating: Working Toward a Systematic and Ecological Approach

Barbara Gueldner, PhD, MSE
Licensed Psychologist, Nationally Certified School Psychologist
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November 8, 2019

#### Objectives

- What about the big picture (SEL, MTSS)?
- How does an emotion coaching process fit in a SEL framework and as a strategy?
- What about family engagement?
- How do I/we get started?

#### Our Schedule and Format

- Lecture, Reflection, Pair share, videos, movement breaks, mindful moments
- Please feel free to move as you need to!
- Managing our personal assistants (AKA technology)

#### Emotion Coaching in Frameworks

Social and Emotional Learning

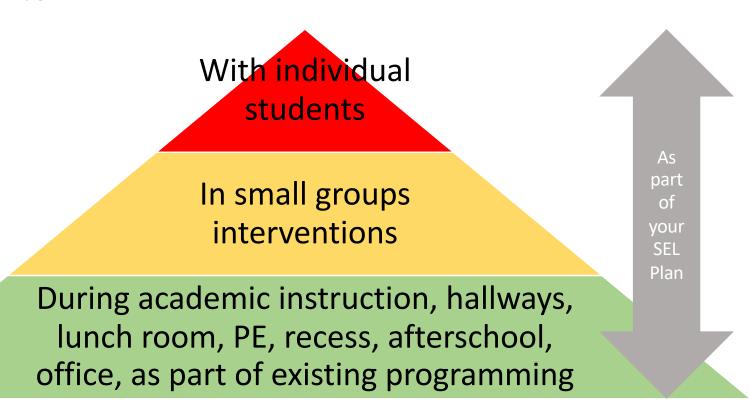
Schoolwide Positive Behavior Supports and Interventions

Trauma-informed approaches

Mental Health Interventions

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# Emotion Coaching Across Tiers: In Everyday Moments



# Social and Emotional Learning

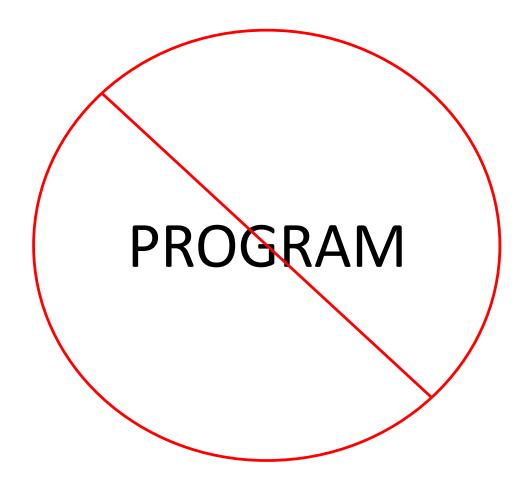
https://casel.org/impact/

#### What Is Social and Emotional Learning?

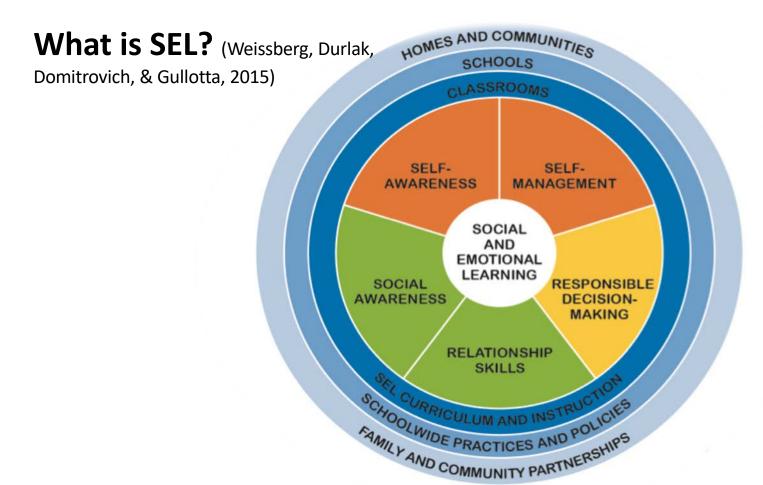
(Collaborative for Academic and Social and Emotional Learning)

The process through which children and adults acquire and effectively apply the knowledge, attitudes, and skills necessary to:

- understand and manage emotions,
  - set and achieve positive goals,
- feel and show empathy for others,
- establish and maintain positive relationships,
  - and make responsible decisions.



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Collaborative for Academic, Social, and Emotional Learning www.casel.org

## Our Goal: Resilience



#### Benefits of Social and Emotional Learning

Good science links Social & Emotional Learning to the following: **STUDENT GAINS**  Conduct problems · Aggressive behavior · Social-emotional skills Emotional distress Improved attitudes about self, others, and school REDUCED Positive classroom **RISKS FOR** behavior **FAILURE** • 11 percentile-point gain on standardized achievement tests

Source: Durlak, J.A., Weissberg, R.P., Dymnicki, A.B., Taylor, R.D., and Schellinger, K. (2011). The Impact of Enhancing Students' Social and Emotional Learning: A Meta-Analysis of School-Based Universal Interventions. Child Development, (82) 1, Pp. 405-432.

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#### Evidence: Academic Indicators

Study	Statistically Significant Results Related to Academic Indicators
Wilson et al., 2001	Improvements in attendance and dropout rates
Durlak et al., 2011	Improvements in achievement test scores, course grades, and grade point
	average
Sklad et al., 2012	Improvements in grade point average, reading achievement, standardized
	test, teacher-rated academic competence
	Academic achievement remained improved at follow up
Taylor et al, 2017	Improved academic performance at follow up (on average between 56
	and 195 weeks)

Copyright 2019 Gueldner (Gueldner, Feuerborn, & Merrell, 2019)

Ohio and SEL (http://education.ohio.gov/getattachment/Topics/Learning-in-Ohio/Social-and-Emotional-Learning/Social-and-Emotional-Learning-Standards/K-12-SEL-Standards-Full-Final.pdf.aspx?lang=en-US)

- Standards adopted 6/24/19
- In response to current SEL activities in Ohio, to provide a framework for systematic integration
- Kindergarten through Grade 12
- Each district has authority to decide the extent to which standards will be used and how to implement
- Assessment tools available through DOE
- Excellent online resources for Ohio!
- Competencies are organized by the "CASEL 5"



Education.ohio.gov

# Four Learning Domains



Foundational Knowledge & Skills

Literacy, numeracy and technology



Well-Rounded Content

Social studies, sciences, languages, arts, health, physical education, etc.



Leadership & Reasoning

Problem-solving, design thinking, creativity, information analytics



Social-Emotional Learning

Self-awareness & management, social awareness, relationship skills, responsible decision-making

Education.ohio.gov

#### Ohio's Kindergarten through Grade 12 Social and Emotional Learning Standards

A1: Demonstrate an awareness of personal emotions					
K-2	3-5	Middle Grades	High School		
A1. 1.a	A1. 1.b	A1. 1.c	A1. 1.d		
Identify basic personal emotions	Identify a range of personal emotions	Identify, recognize and name personal complex emotions	Identify complex emotions as an indicator of personal state of well-being		
A1. 2.a	A1. 2.b	A1. 2.c	A1. 2.d		
Recognize emotions as natural and important	Identify that emotions are valid, even if others feel differently	Explain that emotions may vary based on the situation, including people and places	Analyze ways emotions impact the social environment		
A1. 3.a	A1. 3.b	A1. 3.c	A1. 3.d		
Identify appropriate time and place to safely process emotions, independently or with the guidance of a trusted adult	Consider when it is necessary to process emotions in a safe place, independently or with the guidance of a trusted adult	Utilize appropriate time and place to safely process emotions, independently, with a trusted adult or with peers	Utilize appropriate time, place or support systems to reflect on personal emotions, independently, with a trusted adult or with peers		
A1. 4.a	A1. 4.b	A1. 4.c	A1. 4.d		
Recognize that current events can impact emotions	Describe how current events trigger emotions	Explain how others' responses to current events can impact emotions	Analyze why current events may trigger an emotional reaction and identify ways to regulate a response		

8 | Ohio's K-12 Social and Emotional Learning Standards | June 2019



Ohio Department of Education (2019). *Ohio's K-12 Social and Emotional Learning Standards.* 

Competency B: Self-Management					
B1: Regulate emotions and behaviors by using thinking strategies that are consistent with brain development					
K-2	3-5	Middle Grades	High School		
B1. 1.a Identify personal behaviors or reactions when experiencing basic emotions	B1. 1.b  Demonstrate strategies to express a range of emotions within the expectations of the setting	B1. 1.c  Describe the relationship between thoughts, emotions and behavior and apply strategies to regulate response	B1. 1.d  Utilize self-management strategies to regulate thoughts, emotions and behaviors within the context of the situation		
B1. 2.a  Describe verbal and nonverbal ways to express emotions in different settings	B1. 2.b  Describe possible outcomes associated with verbal and nonverbal expression of emotions in different settings	B1. 2.c  Analyze positive and negative consequences of expressing emotions in different settings	B1. 2.d Evaluate how emotions expressed in different settings impact the outcome of a situation		
B1. 3.a Identify and begin to use strategies to regulate emotions and manage behaviors	B1. 3.b Apply strategies to regulate emotions and manage behaviors	B1. 3.c Apply productive self- monitoring strategies to reframe thoughts and behaviors	B1. 3.d  Apply productive self-monitoring strategies to process emotions and reframe thoughts and behaviors		

Ohio Department of Education (2019). *Ohio's K-12 Social and Emotional Learning Standards.* 

#### Pair Share

Describe, discuss your site's approach to an SEL framework at this time.

What are your thoughts about the new standards?

Social and Emotional Learning	<b>Emotion Coaching</b>
Based on theoretical models	Meta-emotion philosophy Emotion socialization
Goal: Teach/learn social and emotional competence	Facilitate social and emotional competence through a relationship dynamic with emotion ID, empathy, limit setting, problem solving
Used in a tiered system	Applied for universal, targeted, and intensive needs
Evidence to support it	Evidence in building skills, decrease symptoms, boost academics, improve relationships
Contextual Fit	How will EC fit with current programming?

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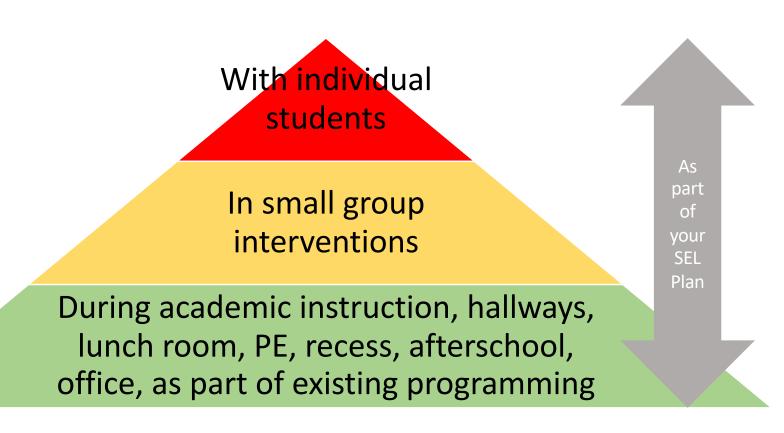
Social and Emotional Learning	Emotion Coaching
Programs and Strategies	EC as a strategy, Programs/strategies that have EC principles embedded
Applies behavior management strategies to support	Includes limit setting, problem-solving as part of the model
Principles of effective instruction	Lots of opportunities for practice
Infused into daily experiences, including academics	Many opportunities throughout the day to comment, coach, problem-solve, reflect
Importance for educators to understand, develop own SEL	Origins in meta-emotion philosophy Encourage adults to reflect, connect

Social and Emotional Learning	Emotion Coaching
Training, support can improve outcomes	Most educators will benefit from training, support
Value of adaptations and modifications	Consider student's age, skills, needs, cultural norms
Estimate time needed to implement	Time differences depending on context
Assessment, data-based decision making	Frequency of use, student responsiveness, self-efficacy, feasibility, need for behavioral intervention
Ecological application	How will your site include stakeholders (e.g., para-professionals, parents, administrators)

#### How We Can Apply an EC Framework/Approach

- Direct Application
  - In counseling, interventions, working with families
- Model
  - With students in classrooms, educators
- As part of consultation
  - With teachers, paras, admin
- Train
  - Brief to longer training sessions with educators and parents
- Coach
  - In-vivo
- Support
  - Regular discussions in small-group/individuals

#### **Emotion Coaching Across Tiers**



## Strategic Planning, Stages of Change

(summarized in Gueldner, Feuerborn, and Merrell, in press)



#### **Readiness**

Assessment

Commitment from stakeholders

**Building teams** 

**Training** 

#### **Initial Implementation**

**Fidelity** 

Dosage

Adaptations

Differentiation

Engaged?

#### **Sustained Implementation**

Visibility

**Resources allocation** 

Stakeholder involvement

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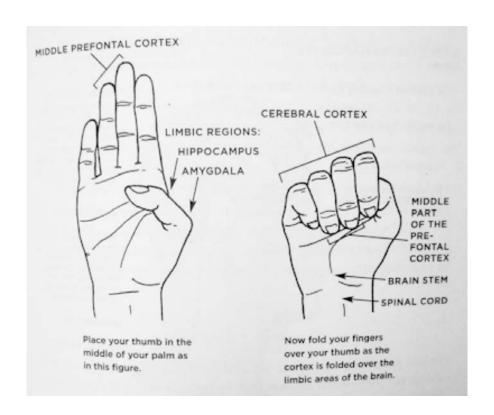
#### Strategic Planning, Implementation

- Where can strategies fit?
  - Why are we using them? (Strategic plan)
  - Are they effective?
  - How do they fit with other programs and strategies (Coordinating)?
- What are the other pieces that make strategies effective?
  - (e.g., evidence-basis, fidelity, assessment, adaptations, data-based decision making, training)
- What do your students, educators, and families need?
- What mechanisms are in place to assess effectiveness?

# When Students Say Things that Make Us Pause (reviewed in Gueldner et al., in press)

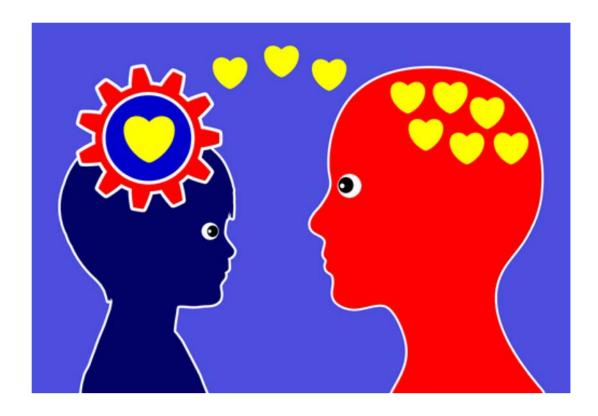
- That's stupid.
- I don't know what you're talking about. I never feel that way.
- I can't do anything right. I'm just stupid.
- When I lived in my car, I stayed up until at least 3 in the morning. There was no way I was going to let someone take our stuff.
- I think about hurting/killing myself/someone else....

# The Challenge in Responding



Reference: Siegel, 2012

# The Opportunity for Connection



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### It's Inevitable. We Can Prepare!

#### Expect

It's going to happen.

#### Prepare

- Pre-teach expectations and how you will respond.
- Give students option not to share
- Use a script
- Revisit often

#### Respond

- Ask for clarification (*Tell me more about that feeling*)
- Validate emotions (I can understand how you felt really angry. No wonder you were upset!)
- Ask if other students have felt similar emotions
- Place limits on what is shared (violence, serious accidents, graphic descriptions of experiences). Tell student you will check in. These are situations that are better discussed in private...I will check in with you after class. Your situation is really important.

### Consultation, Schoolwide Teams, Advocacy

- Consulting with a teacher on classroom strategies, including special education, ELL services
- Coordinating care between community-based providers, classroom teachers, administrators
- Advocating for personal reflection
- Advocating for "looking underneath the iceberg," value of emotions

### Trainings

- All educators regarding emotional development, effective strategies to promote relationships, attachment, emotional development
- Parents, guardians, grandparents, community members

#### Pair Share

There are elements of an Emotion Coaching framework occurring in your work now. Describe what you or your site is currently doing.

Where do you see need and opportunity?

What might be a logical next step for growth--strategic planning, implementation, training, etc.?

- 1. Be aware of emotions
- Recognize emotions as an opportunity to connect and teach
- 3. Label emotions verbally
- 4. Communicate empathy and understanding
- 5. Set limits, help problem solve

# Challenges to Implementation, Effectiveness

- Educator work demands, stress (Denham, Bassett, & Zinsser, 2012)
- Safety concerns---address first
- In a coercive cycle, forgetting limit setting and problem solving
- Training, practice, prioritization (Krawczyk, 2017)
- Administrator support
- Educator self-efficacy, competence
  - Emotional competence is related to reactions to children's emotions (ignoring, punishing, minimizing; Ersay, 2007)
- More research and training opportunities needed

### Adaptations

<u>Cultural consciousness</u> is vital for educators to assist students in achieving social-emotional learning objectives. <u>Educators must be supported</u> in understanding how school and classroom policies, practices and procedures may be inequitable to some student populations, perpetuating disparities in educational experiences and opportunity gaps.

~Ohio Department of Education (2019). *Ohio's K-12 Social and Emotional Learning Standards.* 

# Ecological Validity Framework (Bernal, Bonilla, & Bellido, 1995)

# Goal: Increase fit between client's experience and components of intervention

Domain	For Consideration
Language	Appropriate, culturally syntonic
Persons	Characteristics of client, therapist; How similarities/differences between client, therapist affect relationship
Metaphors	Symbols, concepts shared within culture
Content	Cultural knowledge; Appreciating values, customs, traditions in conjunction with treatment approach
Concepts	How are we conceptualizing the "problem"? How do we communicate this to our students, families? Reframe in context of cultural/ecological variables.
Goals	Do our goals align with those of our students, school staff, families?
Methods	Adaptations; Ways to deliver content. Do we include family in interventions?
Context	Current context of client, changing factors (e.g., acculturative stress, migration, development, etc.)

### General Recommendations

- Get to know your emotion world
- Review and use resources
- Get to know your student population.
  - What are social norms?
  - What aspects of culture do we pay attention to when talking about/working with emotions?
- How does EC fit into current SEL framework?
  - Where is there potential?
  - Where are the challenges?
- Practice with a colleague, form a regular meeting group
- Consider measurement
- Obtain feedback, input, engage in iterative process

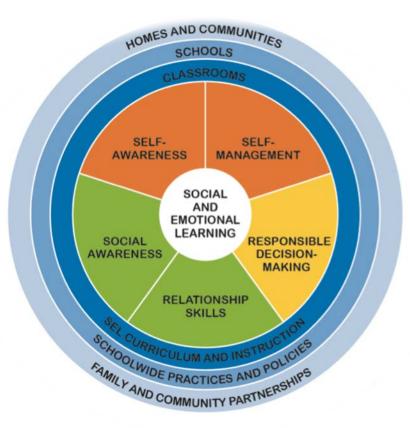
### Support

With the professional development supports that will accompany these standards, Ohio is providing guidance for implementing the standards into instruction, so all teachers can reap the benefits of supporting students' social-emotional learning development. Resources are being identified and created, to accompany these standards to provide implementation and support documents for all of the adults in children's lives, including Trauma Informed Schools and Social-Emotional Learning and a summary of recent research on the importance of social-emotional learning.

# Engagement, Partnerships

Parents and families are critical in the development of social-emotional skills and competencies in young children as they grow. These standards were created with that very much in mind, as parents and family members are their children's first and most significant teachers. It is through building a strong partnership between families and schools, educators and communities that our children are supported to be lifelong learners who develop into adults who make significant contributions to the world.

~Ohio Department of Education (2019). Ohio's K-12 Social and Emotional Learning Standards.



Collaborative for Academic, Social, and Emotional Learning www.casel.org

Chrono: Change over time

Macro: Laws, cultural and social values

Exo: Friends, neighbors, community agency, extended family

Micro: Family, childcare, educators



Bronfenbrenner and Ceci (1994) Ecological systems theory

#### Parent-School Collaboration

- Parent-teacher relationships lead to better overall development and competence in students (e.g., Mendez, 2010; Smith et al., 2019; Garbacz et al., 2018)
- More parent engagement in elementary school, declines in middle and high school (Smith et al., 2019)
- Barriers abound: Taking time off work, transportation, childcare, history of negative experiences, discrimination, unclear expectations (Herman et al., 2014), cultural differences in role of parents in education (Gonzales & Gabel, 2017)

Authentic, positive relationships with students and families only can occur when educators reflect on their positionality, power and privilege and develop cultural awareness and competency (Gallego & Cole, 2001; Artiles, 2003; Kozleski & Artiles, 2012). Educators effectively teach social-emotional learning when they can recognize and understand their lens of social-emotional learning may differ from that of their students and families and seek input from students and families regarding the social and emotional learning standards (Waitoller & Thorius, 2015).

~Ohio Department of Education (2019). Ohio's K-12 Social and Emotional Learning Standards.

### Pair Share

Describe a situation when school-family collaboration was very beneficial to a student.

Describe the methods your site currently uses to partner with families.

Describe the extent to which you are able to engage in personal reflection, training regarding "positionality, power, and privilege."

### Characteristics of Successful School-Family Partnerships

(Albright & Weissberg, 2010)

**Priority** 

Planful

**Proactive** 

Persistent

Positive communication

Personalized

Practical, specific strategies

Program monitoring, modifications

# Types of parent engagement (Albright & Weissberg, 2010;

Epstein, 1995; Hoover-Dempsey et al., 2005)

- Home-based: reading to child, other academic activities, educational events at school, community
- School-based: PTA meetings, family nights, fundraising, advisory councils, volunteering, workshops, observing, parents as leaders, collaborate with community agencies
  - Parents/families are invited, key participants/partners
  - · Classrooms support participation, are welcoming
  - Parents share decisions in curriculum choices
- Home-school: in person or technology communication (e.g., newsletters, website, handbook, personal contact, progress journals, notes, home visiting)
  - What is happening in the classroom that can be reinforced at school?
  - What are some strategies that can be used?
  - How is my child doing?
  - How are families included in all SEL information, planning, decision-making?

Example of parent engagement in Chicago: from NBC Today Show: Education Nation:

https://www.youtube.com/watch?v=ubo6L0qQv6Q&list=PLvzOwE5lWqhRPzheyprYHcA8SZl9Qvnpz&index=1&feature=plpp\_video

# School-family Partnerships and SEL (Albright and

Weissberg, 2010; www.casel.org)

CASEL Program Guides: <a href="https://casel.org/guide/programs/">https://casel.org/guide/programs/</a>; Look for "Family Context" Indicator

Incredible Years Series: Homework at home with parents, letters to parents, "parental involvement is strongly encouraged."

Promoting Alternative Thinking Strategies (PATHS): "Suggestions for engaging parents," parent letters, handouts

# School-family Partnerships and SEL (Albright and

Weissberg, 2010; www.casel.org)

Responsive Classroom: "Guidelines for working with families...teachers are encouraged to connect with parents individually on a regular basis in order to share updates and expectations about the child's development."

RULER: "Provide adult family members with strategies for extending and promoting social and emotional development at home."

Second Step: Family Guide so give parents information to use same strategies at home

### Common Threads

#### Facilitating, building:

- Relationships
- Trust
- Knowledge
- Skills
- Effective communication
- Inclusivity
- Respect
- Understanding
- Cooperation
- Appreciation
- Equity

### Options for Application

- Consult program materials
- Consider the information you wish families could have to coordinate school-family partnerships around SEL and specific strategies, such as those that include emotion coaching
- Ask what families would like
- Use methods of engagement that would work for your families
  - Newsletters, weekly updates on strategies, parent, educator workshops, classroom observation, etc.
- Model effective communication, empathy, problem-solving

### Pair Share

Describe how school-family-community partnerships will play a role in overall SEL planning and implementation at your site.

Where is there room for growth?

Who can you work with to move this important work forward?

### Final Thoughts

- Look to your SEL framework, guidelines
- Consider strengths of current programming, needs
- Work with a team that includes multiple stakeholders to work through the big picture, and the adoption of a strategy/program like Emotion Coaching
- See this journey as a marathon, not a sprint.

# Activity

# Closing

Materials

Contact:

Barbara Gueldner

Barbara@successfulkidstoday.com

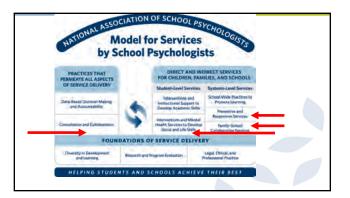
Building Relationships and Resilience: Emotion Coaching as an Efficient and Effective Social and Emotional Learning Strategy

Barbara Gueldner, PhD, MSE Licensed Psychologist, Nationally Certified School Psychologist Ohio School Psychologists Fall Conference November 8, 2019

#### Objectives

- What Is Emotion Coaching?
- What does it look like?
- How do I engage in this process?
- Wait, I have feelings in this process too?!

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Asknowledgements and Descurees	
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Resources	
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Teens, 1st and 2nd edition (Paul H. Brookes Publishing, • Royalties to Kenneth W. Merrell Legacy Scholarship, University of	
Oregon)	
Co-author of Social and Emotional Learning in the Schools	
(Guilford Press)	
No financial support for this presentation from Paul H.	
Brookes Publishing or Guilford Press	
Our Schedule and Format	
<ul> <li>Lecture, Reflection, Pair share, videos, movement breaks, mindful moments</li> </ul>	
Please feel free to move as you need to!	
Managing our personal assistants (AKA technology)	

Anger Embarrassed Worry Comfortable Content
Sadness IRRITATION Frustration Jealous Rejected
Happy Joy Calm Crabby Safe Relaxed Grateful
Disgusted Confident Gloomy Concerned Insecure
Cheerful Surprised Confused Bored Furious
Annoyed DISAPPOINTED LOVE Hurt Inadequate
<b>Fear</b> Hopeless Impatient Giddy Hopeful
Content Depressed Guilty Appreciated APATHETIC

#### Emotions

#### Emotions = Information

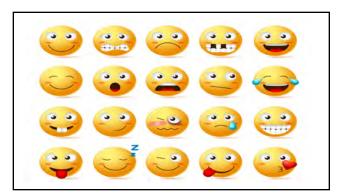
Tell us something about our environment, experience, needs

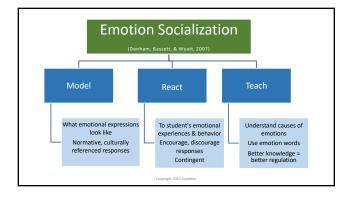
Prepares us to respond, adapt, seek assistance

We experience more than one emotion at a time  $% \left( \mathbf{r}\right) =\mathbf{r}^{\prime }$ 

(Shariff & Tracy, 2011)

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#### The Influence of Gender

Parents social boys and girls differently within cultural norms of masculinity and femininity

#### Men

- Displays of sadness, worry are viewed more negatively
- Anger and more externalizing emotions (aggression) are viewed as more acceptable

#### Women

- Displays of anger, aggression are more negative
- Sadness and fear discussion are emphasized more

#### The Importance of Fathers (Gottman video summary)

- Dads play with kids differently than moms do
- Dads are preferred playmates for 2.5 y.o., 3:1
- Dad's are critical to emotional development b/c:
  - 1) Kids emotions are up and down more through very active play---kids get more practice regulating
  - 2) Dads encourage independence more often
- Moms can learn how to play with kids in a similar manner
- $\bullet$  There is no toy that is more interesting to your child than you.
- Dads are providing something <u>naturally</u> that is different than moms.

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Model	Emotion IQ	
React Teach Set Limits	•	
Problem solve		
Consider own emotions	Social skills	
	Behavior	
	School performance	
	Stress management	
	Physical and Emotional health	
Denham et al., 200	7; Durlak et al., 2011; Bierman et al., 2008 Copyright 2018 Barbara Gueldner PhD	

#### **Emotion Coaching**

- A way for adults to respond to children's emotions and behavior
- Process emotions, respond to problems within a dyad
- Focus on relationships via empathy and attunement
- Should be used in conjunction with principles of effective behavior management practices, problem-solving

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Emotion Coaching in 5 Steps (Gottman, 1996)

- 1. Be aware of emotions
- 2. Recognize emotions as an opportunity to connect and teach
- 3. Label emotions verbally
- 4. Communicate empathy and understanding
- 5. Set limits, help problem solve

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Video example	
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Emotion Coaching: A Closer Look	
<ul> <li>Meta-emotion Philosophy: How you feel and think about emotions in yourself and child/student (Gottman et al., 1997; Lagace-Sequin, 2006)</li> </ul>	
Use this information/awareness to engage in emotion socialization behaviors	
<ul> <li>Awareness, acceptance, and coaching of emotions were identified as component processes central to PMEP (Katz, Miliken, &amp; Stettler, 2012, p. 418)</li> </ul>	
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4 Parenting Styles with Emotions (Gottman et al., 1997)	
Dismissing	
Emotions aren't important, ignore emotions, disengages, uncomfortable, let's get past it, might get out-of-control, downplay, may punish child for feeling anger	
Disapproving  Judges, criticizes emotional expression, emphasize conformity, publishes expression, need to control "negative" emotions, emotions	
punishes expression, need to control "negative" emotions, emotions make one weak, emotions manipulate  Laissez-faire	
Accepts all emotions, no teaching about emotions, no problem solving, no limits	
Emotion Coaching  Value emotions, tunes in to them, listens, empathizes, doesn't fix or say how to feel, sets limits and problem solves	
Say now to reel, sets limits and problem solves	

Results of Parenting Styles (see Gottman et al., 2016; Katz, Maliken, & Stettler, 2012)			
Туре	Result		
Dismissing	Learn that feelings are wrong, not valid. Think something is wrong with you. Problems regulating.		
Disapproving	Same as above Problems regulating		
Laissez-faire	Problems regulating emotions, attention, social relationships.		
Emotion Coaching	Trust feelings, better: emotion regulation problems- solving, behavior, self-esteem, social skills, physical health, achievement.		

#### Adult-Child Relationship, Attachment

- Children engage in behaviors that signal needing to feel safe (attach) or to explore (using a secure attachment base)
- $\bullet \ \ \text{Adults respond, children learn to trust and in the responses and believe they will be available}$
- Relationships (experiences) and the brain interact to shape structure (Interpersonal neurobiology, Siegel, 2012; Immordino-Yang, Darling-Hammond, & Krone, 2018)
- Relationships, social interactions shape development, health
- Toxic stress = "strong, frequent, and/or prolonged adversity...without adequate adult support." (htts://developingchild.Harvard.edu)
- Attach through attunement---"feel felt"
- Learning requires healthy, helpful social interactions



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Responsive Caregiving = Better Emotional Development

- Caregiver's responsiveness is valuable (Ainsworth, 1979)
- Temperament also a variable (Kagan et al., 1989)
  - Children who respond more intensely pose more challenges to parents (Chen et al., 2012)
- Emotion Coaching supports relationships by using prosocial behavior (parents') to teach children regulation (Gus et al., 2015)

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Pair Share	
Pall State	
Rethinking Behavior Management, Targeting	
Emotional Competence	
Behavior alone is a clue to the riddle, not the answer. (Brackett, 2019)	
<ul> <li>We see emotionality in context of behavior</li> <li>All emotions are valid. Not all behaviors are acceptable. (Gottman et al.,</li> </ul>	
1996)	
<ul> <li>Consider emotions as a contributor, influencer to student behavior and identifying emotions, empathizing with them as a point of intervention</li> </ul>	
EC can decrease need for traditional behavioral interventions	
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and wanted	
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## Flipping Your Lid (Dan Siegel: https://www.youtube.com/watch?v=GOT\_2NNoC68)

#### **SEL Competencies**

The process through which children and adults acquire and effectively apply the knowledge, attitudes, and skills necessary to:

- understand and manage emotions,
- set and achieve positive goals,
- feel and show empathy for others,
- establish and maintain positive relationships,
- and make responsible decisions.
   Collaborative for Academic and Social and Emotional Learning (CASEL)



The Opportunity
• Develop "21st Centur
• Evidence to support relationships are rela
• In order to learn, we

ry Skills" (National Research Council, 2009)

social-emotional competence, ated to academics (Jones & Kahn, 2017)

In order to learn, we must create environments that are conducive to healthy brain development.

• Home, schools, communities can support healthy development through relationships, programs, strategies, support.

• Prevention and early intervention works (Durlak et al., 2011)

• Educators are effective emotion socializers (Denham et al., 2012)

Evidence for PMEP/Emotion Coaching
General

(Gottman et al., 1996; Gottman & Declaire, 1997)

- Trust own feelings
- Better emotion regulation, problem-solving
- Higher self-esteem
- Better academic performance
- Better peer relationships
- Better health factors

#### Evidence: Issue Specific

- At risk youth (Ellis et al., 2014)
- ADHD (Chronis-Tuscano et al., 2014)
- Anxiety (Hurrell et al., 2015; Hurrell et al., 2017)
  Attachment (Chen et al., 2011)
- Autism and externalizing problems (Wilson et al., 2013)
- Conduct problems (Havinghurst et al., 2013; Katz & Windecker-Nelson, 2004)
- Depression (Katz & Hunter, 2007; Katz et al., 2014; Lenze et al., 2011)
- Eating problems/disorders (Kehoe et al., 2014; Robinson et al., 2014)
- Maltreatment, domestic violence (Shipman et al., 2007; Katz et al., 2008; Cunningham et al., 2009)
- Emotional lability in ODD (Dunsmore et al., 2012)
- Parent Child Interaction Therapy (Duncombe et al., 2016)
- Regulation of emotions and behavior (Shortt et al., 2010)
- Somatic complaints (Kehoe et al., 2015)

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Evidence: Schools	
Evidence. Schools	
• UK-based	
Attachment Aware Schools Project	
Uses EC as a primary component	
Trains educators, parents, community agencies	
Used with students at all tier levels	
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сорундия 2015 совешен	
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Benefits: Students	
beliefies. Stadelies	
Meta-emotions (Rose et al., 2017)	
• Improved empathy (Digby et al., 2017)	
Better regulation (Gus et al., 2017)	
Better emotion literacy (Gus et al., 2017)	
• Less aggression (Rose et al., 2017)	
Less physical restraint (Gus et al., 2017)	
Better reading and math progress (Gus et al., 2017)	
• Teacher-student relationships (Gus et al., 2017)	
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0. (((0.1)	
Staff/School	
	-
Fewer absences (Gus et al., 2017)	
• Improved self-efficacy (Gus et al., 2017)	
• Improved skills (Gus et al., 2017)	
Dismissed emotions less (Rose et al., 2015)	
More empathy (Digby et al., 2017)	
Better awareness, self-efficacy, self-regulation (Rose et al., 2015, Digby et al., 2017))	
Less use of rewards and consequences, fewer "exclusions" (Rose et al., 2015)	
Improved partnerships with other ecologies (Digby et al., 2017)	
Better communication (Digby et al., 2017)	

	1
Benefits: Parents	
Deficition Falcition	
Home-school communication (Rose et el., 2017)	
Parental engagement (Rose et al., 2017)	
Empathy (Rose et al., 2017)     Parents perceive benefit (Rose et al., 2017)	
Use of punishment decreased (Gus et al., 2017)	
• Lives felt more "normal" (Gus et al., 2017)	
Better awareness, self-efficacy, self-regulation (Rose et al., 2015)	
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	]
Research Needs	
Nescaron Necas	
More application	
• Feasibility	
<ul> <li>How does this fit as a stand-alone/integrated SEL strategy?</li> <li>Assessment</li> </ul>	
Attention to implementation science factors associated with	
implementing a strategy in a classroom	
<ul> <li>(e.g., fidelity, training and support, quality of implementation, sustainability, assessment, feedback loop, etc.)</li> </ul>	
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#### Managing Expectations

- Emotion Coaching is a viable and effective strategy
- Very useful within a system of support
- $\bullet$  Apply EC with intention, specific goals, and an assessment plan
- It is not a panacea for all problems, situations
- You do not have to emotion coach every emotional state
- Avoid a fragmented approach

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Pair Share	
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Getting Started with Emotion Coaching	
1. Be aware of emotions	
1. De aware of efflotions	
<ol><li>Recognize emotions as an opportunity to connect and teach</li></ol>	
teacri	
3. Label emotions verbally	
4. Communicate empathy and understanding	
communicate company and analysis and	
5. Set limits, help problem solve	
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Step 1a: Recognize Other's Emotions	
What do you	
<b>See</b> Body language	
Behaviors	-
Hear	
Tone	
Language	
Know about the child/teen's temperament and triggers	
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#### Cultural and Age Considerations

- Cultural differences in emotion expression
- Age considerations
  - Preschool: emotion ID, limits, problem solving
  - $\bullet \,\, \underline{\text{Late pre to early elem:}}$  More complex emotions, use social contexts
  - Middle childhood (8-12): More masking, more logical, validate social and competency concerns, move into consultant role
  - $\bullet \ \underline{ \text{Teens}} \colon \text{Reflective listening, consultation, respect} \\$

#### Step 1b: Also, Recognize Your Emotions

What do you feel in your body?

What are you thinking?

What emotions can you identify?

What are you doing?

What do you know about your temperament?

#### Barriers

(Gottman, 1997; Powell, Cooper, Hoffman, & Marvin, 2014)

- Our own histories with emotion/situations
- Our own "flooding"
- Our agendas
- The reality of our work in schools
- "Shark music" AKA state of mind
   Ican't do this. Someone else has to.
   "I'm supposed to know how to do this, and I'm pretty sure I shouldn't need help. I'll give ideas on how to fix it right away.
   This is not my problem. These kids have to figure it out themselves.

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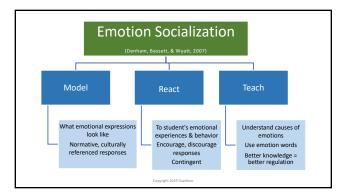
Classroom climate <sub>(Iperospect al., 2013)</sub>	
Student self-control (Merric et al., 2022) Student achievement (Macheum et al., 2028)	
Relationships with parents, colleagues  Respect for others  Cultural sensitivity	
Problem-solving Decision making	
Communication skills  (Government et al., 2022)  Resilient, better self-regulation (persings & Greenberg, 2009, Student aggression (Merret et al., 2002)	
Teaching self-efficacy (w/ a hages, 2015)  Job satisfaction ((Queenterry & Couber, 2006)  Stress (persongs & Greenburg, 2009)	
Copyright 2009 Gurldner	
	1
Regulating Ourselves	
Educators work on their own SEL to use EC	
Your own work, trainings to include:     Self-assessment     Gottman and Schwartz-Gottman resource	
CASEL     Reflective practice, supervision, consultation	
Journaling     Recognizing your own cues	
Regulation strategies     Practice to build self-efficacy	
Copyright 2019 Gueldner	

Pair Share

### **Step 2a:** Emotions as an Opportunity to Connect and Teach

There is some association between exposure to moderate levels of negative emotions and emotion knowledge (e.g., Denham & Grout, 1992)

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#### Teachers as Socializers

- Are *emotion* socializers (Bassett et al., 2016; Sutton & Wheatley, 2003)
- Engage in similar practices as parents (Ahn, 2005; Denham, Bassett, & Miller, 2017)
- Model emotion responses to <u>many</u> students
- Need training in content knowledge, positive attitudes toward emotions (self and others) (Zinsser et al., 2014)

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Validating emotions is important for classroom climate	
and development (Bassett et al., 2016)	
Some evidence to support that as teachers validate	
more, more emotional expression occurs (e.g.,	
verbalizing feelings, less regulation) (Bassett et al., 2016)  • Ho: students see others being validated, increase sad emotion to illicit	
Ho: students see others being validated, increase sad emotion to illicit comfort	
Copyright 2019 Gueldner	
Teacher-Student Relationship	
reactier-student helationship	
Teacher-student relationship affects development	
(cognitive, academic, and social) (Myers & Morris, 2009; Pianta &	
Stuhlman, 2004)	
<ul> <li>Children with externalizing problems may benefit</li> </ul>	
most from positive relationship (Vitiello et al., 2012; those with internalizing problems less understood)	
mentaling protein residuels sood	
• Relationships improve with EC (e.g., Chen, Lin, Li, 2012)	
Copyright 2015 Gueldorer	
Non-examples and Examples	
• Video	
Copyright 2019 Gueldner	

### **Step 2b: How:** Connection Strategies (Siegel & Payne-Bryson, 2015)

- Goal: To convey calm and safety, decrease vagus defense system, student can start to calm
- Comfort and attention: Eye contact, facial expression, tone of voice, posture, gestures, timing of response, intensity of response, bodily movement
- Stop talking and listen



#### Connection Strategies (Siegel & Payne Bryson, 2015)

- Appropriate eye contact
- Facial expression
- Tone/volume of voice
- Posture
- Gestures
- Timing response
- Intensity of response
- Body movement

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- Matching a student's tone, intensity...
  - Can work for some students and in some situations to convey empathy
  - We must stay regulated
  - Watch student's response to see if it is helpful or escalating the situation

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Step 3:	Label	Emotions
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Label and verbalize the emotion you think is being experienced

"Name it to tame it" (Siegel and Payne Bryson)

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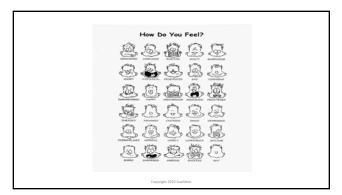
Using our Detective Skills to Hypothesize the
Emotion and Experience
What do you
See

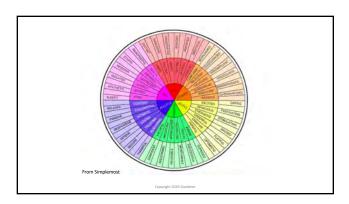
Body language Behaviors

Language

**Know** about the child/teen's temperament and triggers

How might you feel in that situation?





	1
Language	
• I wonder if	
• You seem	
• Looks like	
Ugh, how frustrating!	
· ogn, now prostrating:	
Copyright 2019 Guinfater	
	1
Video example	
Copyright 2019 Gualdoner	
upprign such success	
	_
Practice	
Fractice	

Challenges with asking "why" in the	
moment	
Our "smart" brain is having a hard to figuring out how we feel and articulating that	
Can increase the stress response and interfere with regulation (in the moment)	
At the same time, it is important for us to get to "why" to help with understanding and problem-solving	
Copyright 2019 Gweldner	
Step 4: Empathize, Understand, Validate	
What is empathy?  Brene Brown parration:	-
Brene Brown narration: http://www.youtube.com/watch?v=1Evwgu369Jw	
Wiseman (1996)	
Perspective taking     Staying out of judgment	
Recognizing emotions     Communicating you see emotions	
We may not have experienced that same situation, but we probably know the feeling.  Cappage 2029 Guideland  Cappage 2029	
	1
Empathize, <mark>Understand</mark> , Validate	
Convey understanding through:     Connecting strategies	
Our words	
<ul> <li>Disagreeing with someone's perspective is generally unhelpful unless you have empathized, understood their perspective, and validated</li> </ul>	
Reassurance can also be unhelpful at times	

	1	
		_
<ul> <li>I wonder if you are feeling worried about the test.</li> <li>You seem really excited to start the activity! You are staying really</li> </ul>		
close the group and asking me a lot when we will start.		
<ul> <li>You seem very frustrated right now. Your voice is getting louder and louder.</li> </ul>		
• You're <b>enjoying</b> the debate.		
Sounds irritating and annoying.      What a self-flux		
• What a relief!		
		—
Copyright 2019 Gueldner		
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Empathy, Understanding, and Validation		
<ul> <li>Name the feeling + validate the feeling</li> <li>All feelings are acceptable</li> </ul>		
, an recallings are described.		
• I imagine you felt X becauseand becauseand	-	
because_(Lafrance, 2018)		
<ul> <li>That really made you angry. You wanted to hangout with Celia and she said she didn't want</li> </ul>		
to <u>and</u> then you felt sad and mad at the same	-	_
time.  Copyright 2019 Gueldner		
	-	
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Anger		
<ul> <li>Many emotions occur simultaneously. Anger is often the first clue.</li> </ul>		
Anxiety—about not being a "good enough" teacher, what		
other think of us		
<ul> <li><u>Disappointment</u>—something isn't going as we expected, wanted</li> </ul>		
<ul> <li>Frustration—that we are dealing with intense emotions and behavior at school</li> </ul>		

 $\bullet$  Surprise—we were not expecting a shift in the schedule

VIII CA	]
Validation in the context of Anger	
(Lafrance, 2018; www.mentalhealthfoundations.ca)	
What are you talking about?	
Why are you talking that way?	
I'm not disappointed, I'm angry!     You don't get it.	
Validation whac-a-mole	
validation what-a-mole	
Keep using validation strategies. Mirror affect as appropriate.	
Modify. Set limits. Check in.	
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	7
Practice	
	1
Stap E. Limit Catting and Drahlam Calving	-
Step 5: Limit Setting and Problem Solving	
Primary principles for positive behavior	-
• Schoolwide Systems	
General problem-solving approach	
Regulation strategies	
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	1
Schoolwide positive behavioral supports	
What structures, routines are in place that will complement	
EC?	
<ul> <li>What expectations, limits are in place in classrooms, school building?</li> </ul>	
Copyright 2039 Gwindner	
Primary Principles for Positive Behavior (Gottman,	
• Give clear directions	
Attend to prosocial behaviors     Expect mistakes, shape behavior	
Praise for effort     Try again	
• Find ways to say yes	
<ul><li> Grant in fantasy what you cannot in reality</li><li> Practice the behavior you want to see</li></ul>	
Copyright 2019 Gwiddner	
Expectations, Limit Setting	
<ul><li>What is expected behavior?</li><li>What needs to be done?</li></ul>	
<ul> <li>Important to follow through, with flexibility</li> </ul>	
	1

Problem Solving	
Problem = A behavior, a dilemma	
<ul> <li>Our students are developing, may not have skills yet to fulfill our expectations</li> </ul>	
• Involve student in process	
Allow to make choices within the school	
requirements for rules/values Copyright 2019 Guidener	
	•
Regulation Strategies	
What skills do students have currently?	
What are they learning in the classroom?	
• What can we teach, model?	
	]
Pair Share	

#### Modifying for Time

- Can be brief
- Will not always have as much time in classroom
- Practice helps A LOT
  - Building competence to build efficiency
- Can always defer lengthier validation later
  - Let student know you will come back to it, follow through

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#### **How** We Can Apply These Strategies

- Direct Application
  - In counseling, interventions, working with families
- Model
  - With students in classrooms, educators
- As part of consultation
- With teachers, paras, admin
- Train
  - Brief to longer training sessions with educators and parents
- Coach
  - In-vivo
- Support
  - Regular discussions in small group/individuals

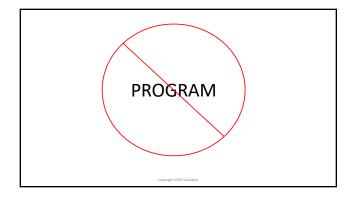
Integrating and Collaborating: Working Toward a Systematic and Ecological Approach

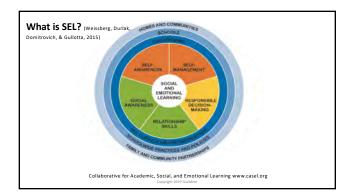
Barbara Gueldner, PhD, MSE Licensed Psychologist, Nationally Certified School Psychologist Ohio School Psychologists Fall Conference November 8, 2019

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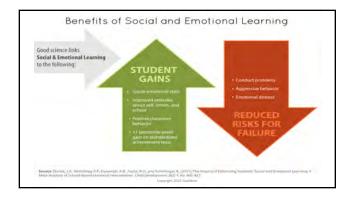
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-11	
Objectives	
What about the big picture (SEL, MTSS)?	
<ul> <li>How does an emotion coaching process fit in a SEL framework and as a strategy?</li> </ul>	
What about family engagement?	
How do I/we get started?	
Copyright 2019 Gueldner	
	1
Our Schedule and Format	
Our schedule and Format	
Lecture, Reflection, Pair share, videos, movement breaks, mindful	
moments	
Please feel free to move as you need to!	
,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,	
Managing our personal assistants (AKA technology)	
Emotion Coaching in Frameworks	
Emedian eadaming in traineworks	
Social and Emotional Learning	
Schoolwide Positive Behavior Supports and Interventions  Trauma-informed approaches	
Supports and interventions	
Montal Hoalth Interventions	
Mental Health Interventions	

	-
Emotion Coaching Across Tiers: In Everyday	
Moments	
With individual	
students	
students	
As	
In small groups of interventions part of your SEL Plan	
interventions	
Plan	
During academic instruction, hallways,	
lunch room, PE, recess, afterschool,	
office, as part of existing programming	
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Social and Emotional Learning	
https://casel.org/impact/	
	-
	1
What is Casial and Emptises III	
What Is Social and Emotional Learning?	
(Collaborative for Academic and Social and Emotional Learning)	
The process through which children and adults acquire and effectively apply the	
knowledge, attitudes, and skills necessary to:	
<u> </u>	
<ul> <li>understand and manage emotions,</li> </ul>	
• set and achieve positive goals,	
feel and show empathy for others,	
establish and maintain positive relationships,	
and make responsible decisions.	
and more responsible decisions.	
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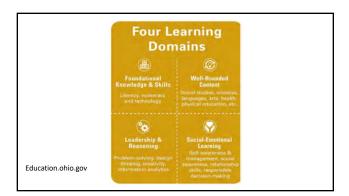


# Evidence: Academic Indicators Study Statistically Significant Results Related to Academic Indicators Wilson et al., 2001 Improvements in attendance and dropout rates Durlak et al., 2011 Improvements in achievement test scores, course grades, and grade point average Sklad et al., 2012 Improvements in grade point average, reading achievement, standardized test, teacher-rated academic competence Academic achievement remained improved at follow up Taylor et al., 2017 Improved academic performance at follow up (on average between 56 and 195 weeks)

 $Ohio\ and\ SEL\ {\it (http://education.ohio.gov/getattachment/Topics/Learning-in-Ohio/Social-and-Emotional-Learning/Social-and-Emotional-Learning-Standards/K-12-SEL-Standards-Full-Final.pdf.aspx?lang=en-US)$ 

- Standards adopted 6/24/19
- In response to current SEL activities in Ohio, to provide a framework for systematic integration
- Kindergarten through Grade 12
- Each district has authority to decide the extent to which standards will be used and how to implement
- Assessment tools available through DOE
- Excellent online resources for Ohio!
- $\bullet$  Competencies are organized by the "CASEL 5"







B1: Regulate emotions and behavi	ors by using thinking strategies	s that are consistent with brain of	development
K-2	3-5	Middle Grades	High School
B1. 1.a identify personal behaviors or reactions when experiencing basic emotions	B1, 1.b Demonstrate strategies to express a range of emotions within the expectations of the setting	B1.1.c Describe the relationship between thoughts, emotions, and behavior and apply strategies to regulate response	B1, 1.d Utilize self-management strategies to regulate thoughts, emotions and behaviors within the context of the situation
B1. 2.a Describe verbal and nonverbal ways to express emotions in different settings	B1.2.b Describe possible outcomes associated with verbal and nonverbal expression of emotions in different settings	B1. 2.c Analyze positive and negative consequences of expressing emotions in different settings	B1. 2.d Evaluate how emotions expressed in different settings impact the outcome of a situation
B1. 3.a identify and begin to use strategies to regulate emotions and manage behaviors	B1. 3.b Apply strategies to regulate emotions and manage behaviors	B1, 3.c Apply productive self- monitoring strategies to reframe thoughts and behaviors	B1. 3.d Apply productive self-monitoring strategies to process emotions and reframe thoughts and behaviors

Pai	ır	5	h	а	re

Describe, discuss your site's approach to an SEL framework at this time.

What are your thoughts about the new standards?

Social and Emotional Learning	Emotion Coaching
Based on theoretical models	Meta-emotion philosophy Emotion socialization
Goal: Teach/learn social and emotional competence	Facilitate social and emotional competence through a relationship dynamic with emotion ID, empathy, limit setting, problem solving
Used in a tiered system	Applied for universal, targeted, and intensive needs
Evidence to support it	Evidence in building skills, decrease symptoms, boost academics, improve relationships
Contextual Fit	How will EC fit with current programming?

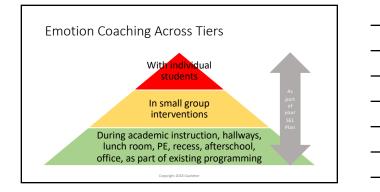
Social and Emotional Learning	Emotion Coaching
	EC as a strategy, Programs/strategies that have EC principles embedded
	Includes limit setting, problem-solving as part of the model
Principles of effective instruction	Lots of opportunities for practice
academics	Many opportunities throughout the day to comment, coach, problem-solve, reflect
Importance for educators to understand, develop own SEL	Origins in meta-emotion philosophy Encourage adults to reflect, connect
Copyright 2019 Gueldner	

Social and Emotional Learning	Emotion Coaching
Training, support can improve outcomes	Most educators will benefit from training, support
Value of adaptations and modifications	Consider student's age, skills, needs, cultural norms
Estimate time needed to implement	Time differences depending on context
Assessment, data-based decision making	Frequency of use, student responsiveness, self-efficacy, feasibility, need for behavioral intervention
Ecological application	How will your site include stakeholders (e.g., para-professionals, parents, administrators)

#### **How** We Can Apply an EC Framework/Approach

- Direct Application
- In counseling, interventions, working with families
- Model
   With students in classrooms, educators
- As part of consultation
  - With teachers, paras, admin
- Brief to longer training sessions with educators and parents
- Coach
- In-vivo
- Support
   Regular discussions in small group/individuals

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# Strategic Planning, Stages of Change (summarized in Gueldner, Feuerborn, and Merrell, in press) Readiness Assessment Commitment from stakeholders Building teams Training Initial Implementation Fidelity Dosage Adaptations Differentiation Engaged? Sustained Implementation Visibility Resources allocation Stakeholder involvement

#### Strategic Planning, Implementation

- Where can strategies fit?
  - Why are we using them? (Strategic plan)
  - Are they effective?
  - How do they fit with other programs and strategies (Coordinating)?
- What are the other pieces that make strategies effective?
  - (e.g., evidence-basis, fidelity, assessment, adaptations, data-based decision making, training)
- $\bullet$  What do your students, educators, and families need?
- What mechanisms are in place to assess effectiveness?

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#### When Students Say Things that Make Us

 $Pause \ \, ({\sf reviewed in Gueldner \, et \, al., \, in \, press})$ 

- That's stupid.
- I don't know what you're talking about. I never feel that way.
- I can't do anything right. I'm just stupid.
- When I lived in my car, I stayed up until at least 3 in the morning. There was no way I was going to let someone take our stuff.
- I think about hurting/killing myself/someone else....

Conwright 2019 Gualdon

#### The Challenge in Responding



Reference: Siegel, 2012

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#### The Opportunity for Connection



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t's Inevitable. We Can Prepare!	
Expect	
It's going to happen.  Prepare	
<ul> <li>Pre-teach expectations and how you will respond.</li> <li>Give students option not to share</li> </ul>	-
<ul><li>Use a script</li><li>Revisit often</li></ul>	
Respond - Ask for clarification ( <i>Tell me more about that feeling</i> )	
Validate emotions (I can understand how you felt really angry. No wonder you were upset!)     Ask if other students have felt similar emotions	
<ul> <li>Place limits on what is shared (violence, serious accidents, graphic descriptions of experiences). Tell student you will check in. These are situations that are better discussed in private "will check in with you after class. Your situation is really important.</li> </ul>	
privateI will check in with you after class. Your situation is really important.	
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	7
Consultation, Schoolwide Teams, Advocacy	
sonsaltation, schoolwide realits, havocaey	
Consulting with a teacher on classroom strategies, including special	
education, ELL services Coordinating care between community-based providers, classroom	
teachers, administrators	
Advocating for personal reflection	
Advocating for "looking underneath the iceberg," value of emotions	
	-
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<u>Frainings</u>	
All educators regarding emotional development, effective strategies to promote relationships, attachment, emotional development	
, , , , , , , , , , , , , , , , , , ,	-
Parents, guardians, grandparents, community members	
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		_	
Pair Share			
Tall Share			
There are elements of an Emotion Coac work now. Describe what you or your si	thing framework occurring in your ite is currently doing.		
Where do you see need and opportunit	ty?  1. Be aware of emotions 2. Recognize emotions as an	<u> </u>	
What might be a logical next step for	opportunity to connect and teach		
growthstrategic planning, implementation, training, etc.?	Label emotions verbally     Communicate empathy and understanding		
	5. Set limits, help problem solve		
Challenges to Implement			
Educator work demands, stress (Denham, Bass     Sefety concerns, address first	ett, & Zinsser, 2012)	-	
Safety concernsaddress first	a and numblem calving		
<ul> <li>In a coercive cycle, forgetting limit settin</li> <li>Training, practice, prioritization (Krawczyk, 201</li> </ul>			
Administrator support	1)	-	
Educator self-efficacy, competence     Emotional competence is related to reaction	ns to children's emotions (ignoring.		
punishing, minimizing; Ersay, 2007)  • More research and training opportunitie		-	
Copyright 2019 Guel			

#### Adaptations

<u>Cultural consciousness</u> is vital for educators to assist students in achieving social-emotional learning objectives. <u>Educators must be supported</u> in understanding how school and classroom policies, practices and procedures may be inequitable to some student populations, perpetuating disparities in educational experiences and opportunity gaps.

~Ohio Department of Education (2019). Ohio's K-12 Social and Emotional Learning Standards.

_	cal Validity Framework (Bernal, Bonilla, & Bellido, 1995)	
Goal: Increi interventio	ase fit between client's experience and components of n	
Domain	For Consideration	
anguage	Appropriate, culturally syntonic	
ersons	Characteristics of client, therapist; How similarities/differences between client, therapist affect relationship	
1etaphors	relationship  Symbols, concepts shared within culture	
ontent	Cultural knowledge; Appreciating values, customs, traditions in conjunction with treatment	
	approach	
oncepts	How are we conceptualizing the "problem"? How do we communicate this to our students, families? Reframe in context of cultural/ecological variables.	
oals	Do our goals align with those of our students, school staff, families?	
lethods	Adaptations; Ways to deliver content. Do we include family in interventions?	
ontext	Current context of client, changing factors (e.g., acculturative stress, migration, development, etc.)	
	ral Recommendations	
Review as	nd use resources	
	ow your student population. are social norms?	
	spects of culture do we pay attention to when talking about/working with emotions?	
• How does	s EC fit into current SEL framework? is there potential?	
	are the challenges?	
Practice v	vith a colleague, form a regular meeting group	
	measurement	
<ul> <li>Obtain fe</li> </ul>	edback, input, engage in iterative process	
	Copyright 2019 Gueldner	
Suppo	ort	
	rofessional development supports that will accompany these	
nto instruc students' s	Ohio is <u>providing quidance for implementing the standards</u> tion, so all teachers can reap the benefits of supporting  ocial-emotional learning development. <u>Resources are being</u> and created, to accompany these standards to provide	
implement lives, includ	ation and support documents for all of the adults in children's ding Trauma Informed Schools and Social-Emotional Learning	
and a sumi learning.	mary of recent research on the importance of social-emotional	

Engagement, Partnerships	
Lingagement, i artiferships	
Parents and families are critical in the development of social-emotional skills and competencies in young children as they grow. These standards were created with that very much in mind, as parents and family	
members are their children's first and most significant teachers. I <u>t is</u> through huilding a strong partnership hetween families and schools	
educators and communities that our children are supported to be lifelong learners who develop into adults who make significant contributions to the world.	
~Ohio Department of Education (2019). Ohio's K-12 Social and Emotional Learning Standards.	
Chrono: Change over time	
Macro: Laws, cultural and social values	-
SOCIAL AND EXOTONIAL	-
Micro: Family, childcare, educators	
The control of the co	
Collaborative for Academic, Social, and	
Emotional Learning www.casel.org  Bronfenbrenner and Ceci (1994) Ecological systems theory	

Parent-School Collaboration	
Parent-teacher relationships lead to better or competence in students (e.g., Mendez, 2010, al., 2018)	

- verall development and ; Smith et al., 2019; Garbacz et
- More parent engagement in elementary school, declines in middle and high school (Smith et al., 2019)
- Barriers abound: Taking time off work, transportation, childcare, history of negative experiences, discrimination, unclear expectations (Herman et al., 2014), cultural differences in role of parents in education (Gonzales & Gabel, 2017)

Authentic, positive relationships with students and families only can
occur when educators reflect on their positionality, power and privilege
and develop cultural awareness and competency (Gallego & Cole, 2001
Artiles, 2003; Kozleski & Artiles, 2012). Educators effectively teach
social-emotional learning when they can recognize and understand
their lens of social-emotional learning may differ from that of their
students and families and seek input from students and families
regarding the social and emotional learning standards (Waitoller &
Thorius, 2015).

~Ohio Department of Education (2019). Ohio's K-12 Social and Emotional Learning Standards.

#### Pair Share

Describe a situation when school-family collaboration was very beneficial to a student.

Describe the methods your site currently uses to partner with families. \\

Describe the extent to which you are able to engage in personal reflection, training regarding "positionality, power, and privilege."

4	2

Characteristics of Successful School-Family Partnerships (Albright & Weissberg, 2010)	_
Priority	
Planful Proactive	
Persistent	
Positive communication Personalized	
Practical, specific strategies Program monitoring, modifications	
Togram monitoring, modifications	
Types of parent engagement (Albright & Weissberg, 2010;	
Epstein, 1995; Hoover-Dempsey et al., 2005)	
<ul> <li>Home-based: reading to child, other academic activities, educational events at school, community</li> </ul>	
<ul> <li>School-based: PTA meetings, family nights, fundraising, advisory councils, volunteering, workshops, observing, parents as leaders, collaborate with community agencies</li> </ul>	_
<ul> <li>Parents/families are invited, key participants/partners</li> <li>Classrooms support participation, are welcoming</li> </ul>	-
Parents share decisions in curriculum choices     Hame-school: in person or technology communication (e.g., pewsletters)	
<ul> <li>Home-school: in person or technology communication (e.g., newsletters, website, handbook, personal contact, progress journals, notes, home visiting)</li> <li>What is happening in the classroom that can be reinforced at school?</li> <li>What are some strategies that can be used?</li> </ul>	
How is my child doing?     How are families included in all SEL information, planning, decision-making?	
	1
Example of parent engagement in Chicago: from NBC Today Show: Education Nation:	
https://www.youtube.com/watch?v=ubo6L0qQv6Q&list=PLvzOwE5IWq hRPzheyprYHcA8SZI9Qvnpz&index=1&feature=plpp_video	
	-

School-family Partnerships and SEL (Albright and Weissberg, 2010; www.casel.org)	
CASEL Program Guides: <a href="https://casel.org/guide/programs/">https://casel.org/guide/programs/</a> ; Look for "Family Context" Indicator	
Incredible Years Series: Homework at home with parents, letters to parents, "parental involvement is strongly encouraged."	
Promoting Alternative Thinking Strategies (PATHS): "Suggestions for engaging parents," parent letters, handouts	
School-family Partnerships and SEL (Albright and Weissberg, 2010; www.casel.org)	-
Responsive Classroom: "Guidelines for working with familiesteachers are encouraged to connect with parents individually on a regular basis in order to share updates and expectations about the child's	
in order to share updates and expectations about the child's development."	
RULER: "Provide adult family members with strategies for extending and promoting social and emotional development at home."	
Second Step: Family Guide so give parents information to use same strategies at home	
Common Threads	
Facilitating, building: • Relationships	
Trust     Knowledge     Skills	
Effective communication     Inclusivity     Respect	
Understanding     Cooperation     Appreciation     Equity	
equity	

	1
Options for Application	
Consult program materials	
<ul> <li>Consider the information you wish families could have to coordinate school-family partnerships around SEL and specific strategies, such as those that include emotion coaching</li> </ul>	
Ask what families would like	
Use methods of engagement that would work for your families     Newsletters, weekly updates on strategies, parent, educator workshops,	
classroom observation, etc.  • Model effective communication, empathy, problem-solving	
Model effective communication, empathy, problem-solving	
	1
Pair Share	
Describe how school-family-community partnerships will play a role in overall SEL planning and implementation at your site.	
Where is there room for growth?	
Who can you work with to move this important work forward?	
	1
Final Thoughts	
Look to your SEL framework, guidelines	
Consider strengths of current programming, needs	
Work with a team that includes multiple stakeholders to work through the big picture, and the adoption of a strategy/program like	
Emotion Coaching • See this journey as a marathon, not a sprint.	
•	

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Closing	
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Materials	
Contact:	
Contact:  Barbara Gueldner	
Barbara@successfulkidstoday.com	
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Copyright 2019 Gueldner	

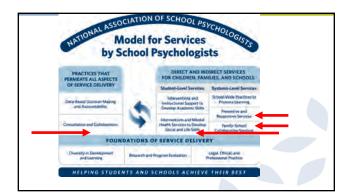
Building Relationships and Resilience: Emotion Coaching as an Efficient and Effective Social and Emotional Learning Strategy

Barbara Gueldner, PhD, MSE Licensed Psychologist, Nationally Certified School Psychologist Ohio School Psychologists Fall Conference November 8, 2019

#### Objectives

- What Is Emotion Coaching?
- What does it look like?
- How do I engage in this process?
- Wait, I have feelings in this process too?!

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#### Acknowledgements and Resources

Ohio School Psychologists Association

Resources

#### Disclosure

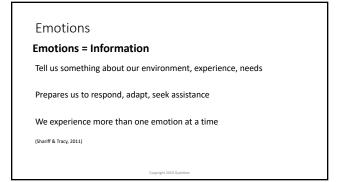
- Co-author of Merrell's Strong Kids and Merrell's Strong Teens, 1<sup>st</sup> and 2<sup>nd</sup> edition (Paul H. Brookes Publishing;
  - Royalties to Kenneth W. Merrell Legacy Scholarship, University of Oregon)
- Co-author of Social and Emotional Learning in the Schools (Guilford Press)
- No financial support for this presentation from Paul H. Brookes Publishing or Guilford Press

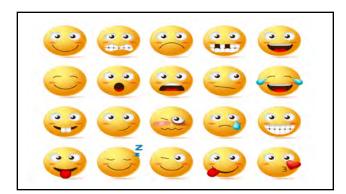
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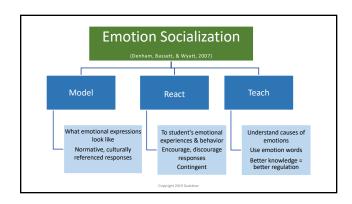
#### Our Schedule and Format

- Lecture, Reflection, Pair share, videos, movement breaks, mindful moments
- Please feel free to move as you need to!
- Managing our personal assistants (AKA technology)

Anger Embarrassed Worry Comfortable Content
Sadness Irritation Frustration Jealous Rejected
Happy Joy Calm Crabby Safe Relaxed Grateful
Disgusted Confident Gloomy Concerned Insecure
Cheerful Surprised Confused Bored Furious
Annoyed DISAPPOINTED LOVE Hurt Inadequate
Fear Hopeless Impatient Giddy Hopeful
Content Depressed Guilty Appreciated APATHETID







#### The Influence of Gender

Parents social boys and girls differently within cultural norms of masculinity and femininity

#### Men

- Displays of sadness, worry are viewed more negatively
- Anger and more externalizing emotions (aggression) are viewed as more acceptable

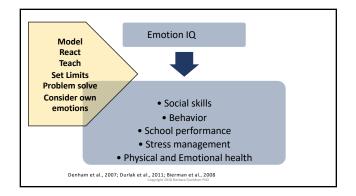
#### Women

- Displays of anger, aggression are more negative
- Sadness and fear discussion are emphasized more

#### The Importance of Fathers (Gottman video summary)

- Dads play with kids differently than moms do
- Dads are preferred playmates for 2.5 y.o., 3:1
- Dad's are critical to emotional development b/c:
  - 1) Kids emotions are up and down more through very active play---kids get more practice regulating
  - 2) Dads encourage independence more often
- Moms can learn how to play with kids in a similar manner
- There is no toy that is more interesting to your child than you.
- Dads are providing something <u>naturally</u> that is different than moms.

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#### **Emotion Coaching**

- A way for adults to respond to children's emotions and behavior
- Process emotions, respond to problems within a dyad
- Focus on relationships via empathy and attunement
- Should be used in conjunction with principles of effective behavior management practices, problem-solving

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#### Emotion Coaching in 5 Steps (Gottman, 1996)

- 1. Be aware of emotions
- 2. Recognize emotions as an opportunity to connect and teach
- 3. Label emotions verbally
- 4. Communicate empathy and understanding
- 5. Set limits, help problem solve

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• Video example

#### Emotion Coaching: A Closer Look

- Meta-emotion Philosophy: How you feel and think about emotions in yourself and child/student (Gottman et al., 1997; Lagace-Sequin, 2006)
- Use this information/awareness to engage in emotion socialization behaviors
- Awareness, acceptance, and coaching of emotions were identified as component processes central to PMEP (Katz, Milliken, & Stettler, 2012, p. 418)

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#### 4 Parenting Styles with Emotions (Gottman et al., 1997)

#### Dismissing

Emotions aren't important, ignore emotions, disengages, uncomfortable, let's get past it, might get out-of-control, downplay, may punish child for feeling anger

#### Disapproving

Judges, criticizes emotional expression, emphasize conformity, punishes expression, need to control "negative" emotions, emotions make one weak, emotions manipulate

#### Laissez-faire

Accepts all emotions, no teaching about emotions, no problem solving, no limits

Emotion Coaching

Value emotions, tunes in to them, listens, empathizes, doesn't fix or say how to feel, sets limits and <u>problem sol</u>ves

# Results of Parenting Styles (see Gottman et al., 2016; Katz, Maliken, & Stettler, 2012) Type Result Dismissing Learn that feelings are wrong, not valid. Think something is wrong with you. Problems regulating. Disapproving Same as above Problems regulating Laissez-faire Problems regulating emotions, attention, social relationships. Emotion Coaching Trust feelings, better: emotion regulation problems-solving, behavior, self-esteem, social skills, physical health, achievement.

#### Adult-Child Relationship, Attachment

- Children engage in behaviors that signal needing to feel safe (attach) or to explore (using a secure attachment base)
- Adults respond, children learn to trust and in the responses and believe they will be available
- Relationships (experiences) and the brain interact to shape structure (Interpersonal neurobiology, Siegel, 2012; Immordino-Yang, Darling-Hammond, & Krone, 2018)
- Relationships, social interactions shape development, health
- Toxic stress = "strong, frequent, and/or prolonged adversity...without adequate adult support." (htts://developingchild.Harvard.edu)
- Attach through attunement---"feel felt"
- · Learning requires healthy, helpful social interactions



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## Responsive Caregiving = Better Emotional Development

- Caregiver's responsiveness is valuable (Ainsworth, 1979)
- Temperament also a variable (Kagan et al., 1989)
  - Children who respond more intensely pose more challenges to parents (Chen et al., 2012)
- Emotion Coaching supports relationships by using prosocial behavior (parents') to teach children regulation (Gus et al., 2015)

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#### Pair Share

## Rethinking Behavior Management, Targeting Emotional Competence

Behavior alone is a clue to the riddle, not the answer. (Brackett, 2019)

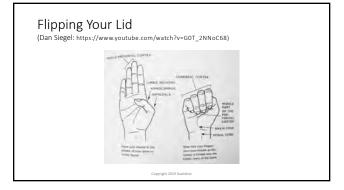
- We see emotionality in context of behavior
  - $\bullet$  All emotions are valid. Not all behaviors are acceptable. (Gottman et al., 1996)
- Consider emotions as a contributor, influencer to student behavior and identifying emotions, empathizing with them as a point of intervention
- EC can decrease need for traditional behavioral interventions

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#### **SEL Competencies**

The process through which children and adults acquire and effectively apply the knowledge, attitudes, and skills necessary

- understand and manage emotions,
- set and achieve positive goals,
- · feel and show empathy for others,
- establish and maintain positive relationships
- and make responsible decisions. Collaborative for Academic and Social and Emotional Learning (CASEL)



#### The Opportunity

- Develop "21st Century Skills" (National Research Council, 2009)
- Evidence to support social-emotional competence, relationships are related to academics (Jones & Kahn, 2017)
- In order to learn, we must create environments that are conducive to healthy brain development.
- Home, schools, communities can support healthy development through relationships, programs, strategies, support.
- Prevention and early intervention works (Durlak et al., 2011)
- Educators are effective emotion socializers (Denham et al., 2012)

#### Evidence for PMEP/Emotion Coaching: General

an et al., 1996; Gottman & Declaire, 1997)

- Trust own feelings
- Better emotion regulation, problem-solving
- Higher self-esteem
- Better academic performance
- Better peer relationships
- Better health factors

#### Evidence: Issue Specific

- At risk youth (Ellis et al., 2014)
- ADHD (Chronis-Tuscano et al., 2014)
- Anxiety (Hurrell et al., 2015; Hurrell et al., 2017)
  Attachment (Chen et al., 2011)
- Autism and externalizing problems (Wilson et al., 2013)
- Conduct problems (Havinghurst et al., 2013; Katz & Windecker-Nelson, 2004) • Depression (Katz & Hunter, 2007; Katz et al., 2014; Lenze et al., 2011)
- Eating problems/disorders (Kehoe et al., 2014; Robinson et al., 2014)
- Maltreatment, domestic violence (Shipman et al., 2007; Katz et al., 2008; Cunningham et al., 2009)
- Emotional lability in ODD (Dunsmore et al., 2012)
- Parent Child Interaction Therapy (Duncombe et al., 2016)
- Regulation of emotions and behavior (Shortt et al., 2010)
- Somatic complaints (Kehoe et al., 2015)

#### Evidence: Schools

- UK-based
- Attachment Aware Schools Project
- Uses EC as a primary component
- Trains educators, parents, community agencies
- Used with students at all tier levels

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#### Benefits: Students

- Meta-emotions (Rose et al., 2017)
- Improved empathy (Digby et al., 2017)
- Better regulation (Gus et al., 2017)
- Better emotion literacy (Gus et al., 2017)
- Less aggression (Rose et al., 2017)
- Less physical restraint (Gus et al., 2017)
- $\bullet$  Better reading and math progress  $\mbox{\scriptsize (Gus\ et\ al.,\ 2017)}$
- Teacher-student relationships (Gus et al., 2017)

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#### Staff/School

- Fewer absences (Gus et al., 2017)
- Improved self-efficacy (Gus et al., 2017)
- Improved skills (Gus et al., 2017)
- Dismissed emotions less (Rose et al., 2015)
- More empathy (Digby et al., 2017)
- Better awareness, self-efficacy, self-regulation (Rose et al., 2015, Digby et al., 2017))
- Less use of rewards and consequences, fewer "exclusions" (Rose et al., 2015)
- Improved partnerships with other ecologies (Digby et al., 2017)
- Better communication (Digby et al., 2017)

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#### Benefits: Parents

- Home-school communication (Rose et el., 2017)
- Parental engagement (Rose et al., 2017)
- Empathy (Rose et al., 2017)
- Parents perceive benefit (Rose et al., 2017)
- Use of punishment decreased (Gus et al., 2017)
- Lives felt more "normal" (Gus et al., 2017)
- $\bullet$  Better awareness, self-efficacy, self-regulation  $\mbox{\tiny (Rose\ et\ al.,\ 2015)}$

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#### Research Needs

- More application
- Feasibility
- How does this fit as a stand-alone/integrated SEL strategy?
- Assessment
- Attention to implementation science factors associated with implementing a strategy in a classroom
  - (e.g., fidelity, training and support, quality of implementation, sustainability, assessment, feedback loop, etc.)

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#### **Managing Expectations**

- Emotion Coaching is a viable and effective strategy
- Very useful within a system of support
- Apply EC with intention, specific goals, and an assessment plan
- It is not a panacea for all problems, situations
- You do not have to emotion coach every emotional state
- Avoid a fragmented approach

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#### Pair Share

#### **Getting Started with Emotion Coaching**

- 1. Be aware of emotions
- 2. Recognize emotions as an opportunity to connect and teach
- 3. Label emotions verbally
- 4. Communicate empathy and understanding
- 5. Set limits, help problem solve

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#### Step 1a: Recognize Other's Emotions

What do you...

See

Body language Behaviors

Hear

Tone Language

Know about the child/teen's temperament and triggers

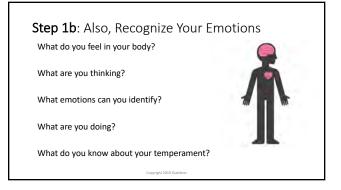






#### Cultural and Age Considerations

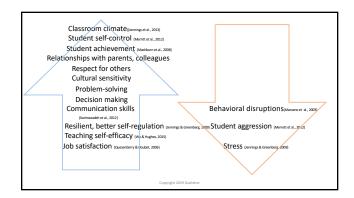
- Cultural differences in emotion expression
- Age considerations
  - Preschool: emotion ID, limits, problem solving
  - Late pre to early elem: More complex emotions, use social contexts
  - Middle childhood (8-12): More masking, more logical, validate social and competency concerns, move into consultant role
  - $\bullet \ \underline{ \text{Teens}} \text{: Reflective listening, consultation, respect} \\$



#### Barriers

(Gottman, 1997; Powell, Cooper, Hoffman, & Marvin, 2014)

- Our own histories with emotion/situations
- Our own "flooding"
- Our agendas
- The reality of our work in schools
- "Shark music" AKA state of mind
- I can't do this. Someone else has to.
  I'm supposed to know how to do this, and I'm pretty sure I shouldn't need help. I'll give Ideas on how to fix it right wavy.
  I'm sis not my problem. These kids have to figure it out themselves.



#### **Regulating Ourselves**

- Educators work on their own SEL to use EC
- Your own work, trainings to include:
  - Self-assessment
  - Gottman and Schwartz-Gottman resource • CASEL
    • Reflective practice, supervision, consultation

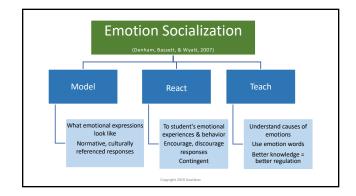
  - JournalingRecognizing your own cues
  - Regulation strategies
  - Practice to build self-efficacy

Pair Share

## **Step 2a:** Emotions as an Opportunity to Connect and Teach

There is some association between exposure to moderate levels of negative emotions and emotion knowledge (e.g., Denham & Grout, 1992)

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#### Teachers as Socializers

- Are emotion socializers (Bassett et al., 2016; Sutton & Wheatley, 2003)
- Engage in similar practices as parents (Ahn, 2005; Denham, Bassett, & Miller, 2017)
- Model emotion responses to many students
- Need training in content knowledge, positive attitudes toward emotions (self and others) (Zinsser et al., 2014)

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- Validating emotions is important for classroom climate and development (Bassett et al., 2016)
- Some evidence to support that as teachers validate more, more emotional expression occurs (e.g., verbalizing feelings, less regulation) (Bassett et al., 2016)
  - Ho: students see others being validated, increase sad emotion to illicit comfort

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#### Teacher-Student Relationship

- Teacher-student relationship affects development (cognitive, academic, and social) (Myers & Morris, 2009; Planta & Stuhlman, 2004)
- Children with externalizing problems may benefit most from positive relationship (Vitiello et al., 2012; those with internalizing problems less understood)
- $\bullet$  Relationships improve with EC  $\mbox{\tiny (e.g., Chen, Lin, Li, 2012)}$

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#### Non-examples and Examples

• Video

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#### **Step 2b: How**: Connection Strategies

- Goal: To convey calm and safety, decrease vagus defense system, student can start to calm
- Comfort and attention: Eye contact, facial expression, tone of voice, posture, gestures, timing of response, intensity of response, bodily movement
- · Stop talking and listen

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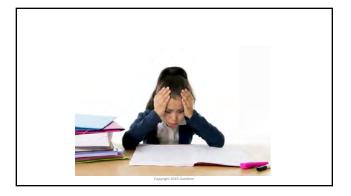
#### Connection Strategies (Siegel & Payne Bryson, 2015)

- Appropriate eye contact
- Facial expression
- Tone/volume of voice
- Posture
- Gestures
- Timing response
- Intensity of response
- Body movement

#### Caveats

- Matching a student's tone, intensity...
  - Can work for some students and in some situations to convey empathy
  - We must stay regulated
  - Watch student's response to see if it is helpful or escalating the situation

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#### Step 3: Label Emotions

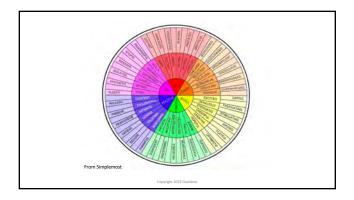
Label and verbalize the emotion you think is being experienced

"Name it to tame it" (Siegel and Payne Bryson)

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# Using our Detective Skills to Hypothesize the Emotion and Experience What do you... See Body language Behaviors Hear Tone Language Know about the child/teen's temperament and triggers How might you feel in that situation?





Language

• I wonder if...

• You seem...

• Looks like....

• Ugh, how frustrating!

Video example

Practice

# Challenges with asking "why" in the moment...

Our "smart" brain is having a hard to figuring out how we feel and articulating that  $\label{eq:continuous} % \begin{center} \end{center} \begin{center} \end{center} % \begin{center} \end{center} \begin{center} \end{center} % \begin{center} \en$ 

Can increase the stress response and interfere with regulation (in the moment)

At the same time, it is important for us to get to "why" to help with understanding and problem-solving

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#### Step 4: Empathize, Understand, Validate

• What is empathy?

Brene Brown narration: http://www.youtube.com/watch?v=1Evwgu369Jw

Wiseman (1996)

- Perspective taking
- Staying out of judgment
- · Recognizing emotions
- Communicating you see emotions
- We may not have experienced that same situation, but we probably know the feeling.

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#### Empathize, Understand, Validate

- Convey understanding through:
  - Connecting strategies
  - Our words
- Disagreeing with someone's perspective is generally unhelpful unless you have empathized, understood their perspective, and validated
- Reassurance can also be unhelpful at times

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- I wonder if you are feeling worried about the test.
- You seem really excited to start the activity! You are staying really close the group and asking me a lot when we will start.
- You seem very **frustrated** right now. Your voice is getting louder and louder.
- You're **enjoying** the debate.
- Sounds irritating and annoying.
- What a r**elief**!

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#### Empathy, Understanding, and Validation

- Name the feeling + validate the feeling
  - All feelings are acceptable
  - I imagine you felt X because...and because...and because...and because...(Lafrance, 2018)
  - That really made you angry. You wanted to hangout with Celia <u>and</u> she said she didn't want to <u>and</u> then you felt sad and mad at the same time.

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#### Anger

- Many emotions occur simultaneously. Anger is often the first clue.
- <u>Anxiety</u>—about not being a "good enough" teacher, what other think of us
- <u>Disappointment</u>—something isn't going as we expected, wanted
- Frustration—that we are dealing with intense emotions and behavior at school
- Surprise—we were not expecting a shift in the schedule

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#### Validation in the context of Anger

(Lafrance, 2018; www.mentalhealthfoundations.ca)

- What are you talking about?
- Why are you talking that way?
- I'm not disappointed, I'm angry!
- You don't get it.
- Validation whac-a-mole

Keep using validation strategies. Mirror affect as appropriate. Modify. Set limits. Check in.

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#### Practice

#### Step 5: Limit Setting and Problem Solving

- Primary principles for positive behavior
- Schoolwide Systems
- General problem-solving approach
- Regulation strategies

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#### Schoolwide positive behavioral supports

- What structures, routines are in place that will complement FC?
- What expectations, limits are in place in classrooms, school building?

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### Primary Principles for Positive Behavior (Gottman, 1997)

- Give clear directions
- Attend to prosocial behaviors
- Expect mistakes, shape behavior
- Praise for effort
- Try again
- Find ways to say yes
- Grant in fantasy what you cannot in reality
- Practice the behavior you want to see

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#### Expectations, Limit Setting

- What is expected behavior?
- What needs to be done?
- Important to follow through, with flexibility

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#### **Problem Solving**

- Problem = A behavior, a dilemma
- Our students are developing, may not have skills yet to fulfill our expectations
- Involve student in process
- Allow to make choices within the school requirements for rules/values

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#### **Regulation Strategies**

- What skills do students have currently?
- What are they learning in the classroom?
- What can we teach, model?

#### Pair Share

#### Modifying for Time

- Can be brief
- Will not always have as much time in classroom
- Practice helps A LOT
  - Building competence to build efficiency
- Can always defer lengthier validation later
  - Let student know you will come back to it, follow through

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#### **How** We Can Apply These Strategies

- Direct Application
- In counseling, interventions, working with families
- Model
- With students in classrooms, educators
- As part of consultation
  - With teachers, paras, admin
- Train
- Brief to longer training sessions with educators and parents
- Coach
- In-vivo
- Support
  - Regular discussions in small-group/individuals

#### Integrating and Collaborating: Working Toward a Systematic and Ecological Approach

Barbara Gueldner, PhD, MSE Licensed Psychologist, Nationally Certified School Psychologist Ohio School Psychologists Fall Conference November 8, 2019

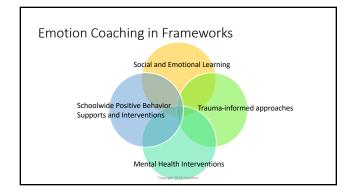
#### Objectives

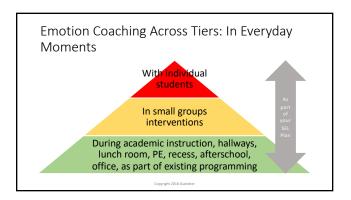
- What about the big picture (SEL, MTSS)?
- How does an emotion coaching process fit in a SEL framework and as a strategy?
- What about family engagement?
- How do I/we get started?

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#### Our Schedule and Format

- Lecture, Reflection, Pair share, videos, movement breaks, mindful moments
- Please feel free to move as you need to!
- Managing our personal assistants (AKA technology)





#### Social and Emotional Learning

https://casel.org/impact/

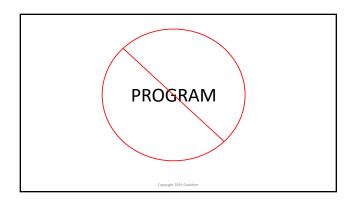
#### What Is Social and Emotional Learning?

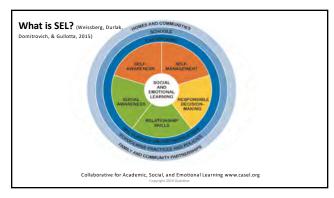
(Collaborative for Academic and Social and Emotional Learning)

The process through which children and adults acquire and effectively apply the knowledge, attitudes, and skills necessary to:

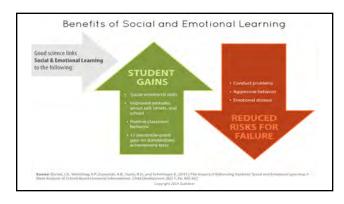
- understand and manage emotions,
- set and achieve positive goals,
- feel and show empathy for others,
- establish and maintain positive relationships,
  - and make responsible decisions.

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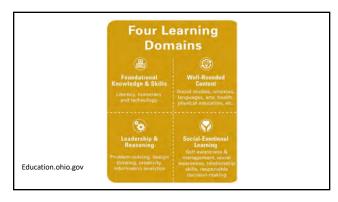


Study	Statistically Significant Results Related to Academic Indicators
Wilson et al., 2001	Improvements in attendance and dropout rates
Durlak et al., 2011	Improvements in achievement test scores, course grades, and grade point
	average
Sklad et al., 2012	Improvements in grade point average, reading achievement, standardized
	test, teacher-rated academic competence
	Academic achievement remained improved at follow up
Taylor et al, 2017	Improved academic performance at follow up (on average between 56
	and 195 weeks)

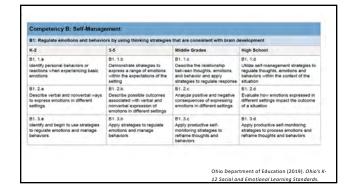
 $Ohio\ and\ SEL\ {\it (http://education.ohio.gov/getattachment/Topics/Learning-in-Ohio/Social-and-Emotional-Learning/Social-and-Emotional-Learning-Standards/K-12-SEL-Standards-Full-Final.pdf.aspx?lang=en-US)$ 

- Standards adopted 6/24/19
- In response to current SEL activities in Ohio, to provide a framework for systematic integration
- Kindergarten through Grade 12
- Each district has authority to decide the extent to which standards will be used and how to implement
- Assessment tools available through DOE
- Excellent online resources for Ohio!
- $\bullet$  Competencies are organized by the "CASEL 5"









Pair Share

Describe, discuss your site's approach to an SEL framework at this time. \\

What are your thoughts about the new standards?

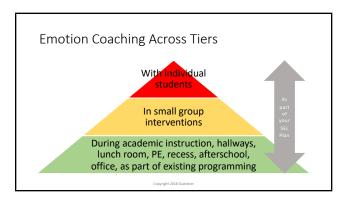
Social and Emotional Learning	Emotion Coaching
Based on theoretical models	Meta-emotion philosophy Emotion socialization
Goal: Teach/learn social and emotional competence	Facilitate social and emotional competence through a relationship dynamic with emotion ID, empathy, limit setting, problem solving
Used in a tiered system	Applied for universal, targeted, and intensive needs
Evidence to support it	Evidence in building skills, decrease symptoms, boost academics, improve relationships
Contextual Fit	How will EC fit with current programming?

Emotion Coaching
EC as a strategy, Programs/strategies that have EC principles embedded
Includes limit setting, problem-solving as part of the model
Lots of opportunities for practice
Many opportunities throughout the day to comment, coach, problem-solve, reflect
Origins in meta-emotion philosophy Encourage adults to reflect, connect

Social and Emotional Learning	Emotion Coaching
Training, support can improve outcomes	Most educators will benefit from training, support
Value of adaptations and modifications	Consider student's age, skills, needs, cultural norms
Estimate time needed to implement	Time differences depending on context
Assessment, data-based decision making	Frequency of use, student responsiveness, self-efficacy, feasibility, need for behavioral intervention
Ecological application	How will your site include stakeholders (e.g., para-professionals, parents, administrators)
Copyright 2	19 Gueldner

#### How We Can Apply an EC Framework/Approach

- Direct Application
  - In counseling, interventions, working with families
- Model
  - With students in classrooms, educators
- As part of consultation
  - With teachers, paras, admin
- Train
  - Brief to longer training sessions with educators and parents
- Coach
  - In-vivo
- Support
  - Regular discussions in small group/individuals



#### Strategic Planning, Stages of Change



#### Readiness

Assessment
Commitment from stakeholders
Building teams Training

#### Initial Implementation

Fidelity Dosage Adaptations Differentiation

#### Engaged? Sustained Implementation

Visibility Resources allocation Stakeholder involvement

#### Strategic Planning, Implementation

- Where can strategies fit?
  - Why are we using them? (Strategic plan)

  - Are they effective?
    How do they fit with other programs and strategies (Coordinating)?
- What are the other pieces that make strategies effective?
  - (e.g., evidence-basis, fidelity, assessment, adaptations, data-based decision making, training)
- What do your students, educators, and families need?
- What mechanisms are in place to assess effectiveness?

#### When Students Say Things that Make Us

 $Pause \ \, ({\sf reviewed in Gueldner \, et \, al., \, in \, press})$ 

- That's stupid.
- I don't know what you're talking about. I never feel that way.
- I can't do anything right. I'm just stupid.
- When I lived in my car, I stayed up until at least 3 in the morning. There was no way I was going to let someone take our stuff.
- I think about hurting/killing myself/someone else....

# The Challenge in Responding

Reference: Siegel, 2012

# The Opportunity for Connection

#### It's Inevitable. We Can Prepare!

It's going to happen.

- Prepare
   Pre-teach expectations and how you will respond. Give students option not to share
  - Use a script

- Ask for clarification (Tell me more about that feeling)
- Validate emotions (I can understand how you felt really angry. No wonder you were upset!)
   Ask if other students have <u>felt similar emotions</u>
- Place limits on what is shared (violence, serious accidents, graphic descriptions of experiences). Tell student you will check in. These are situations that are better discussed in private.... will heck in with you after class. Your situation is really important is really important.

#### Consultation, Schoolwide Teams, Advocacy

- Consulting with a teacher on classroom strategies, including special education, ELL services
- Coordinating care between community-based providers, classroom teachers, administrators
- Advocating for personal reflection
- Advocating for "looking underneath the iceberg," value of emotions

#### Trainings

- All educators regarding emotional development, effective strategies to promote relationships, attachment, emotional development
- Parents, guardians, grandparents, community members

#### Pair Share

There are elements of an Emotion Coaching framework occurring in your work now. Describe what you or your site is currently doing.

Where do you see need and opportunity?

What might be a logical next step for growth--strategic planning, implementation, training, etc.?

- 1. Be aware of emotions
- 2. Recognize emotions as an opportunity to connect and teach
- Label emotions verbally
- 4. Communicate empathy and
- understanding Set limits, help problem solve

#### Challenges to Implementation, Effectiveness

- Educator work demands, stress (Denham, Bassett, & Zinsser, 2012)
- · Safety concerns---address first
- · In a coercive cycle, forgetting limit setting and problem solving
- Training, practice, prioritization (Krawczyk, 2017)
- Administrator support
- · Educator self-efficacy, competence
  - Emotional competence is related to reactions to children's emotions (ignoring, punishing, minimizing; Ersay, 2007)
- · More research and training opportunities needed

#### Adaptations

<u>Cultural consciousness</u> is vital for educators to assist students in achieving social-emotional learning objectives. Educators must be supported in understanding how school and classroom policies, practices and procedures may be inequitable to some student populations, perpetuating disparities in educational experiences and opportunity gaps.

> ~Ohio Department of Education (2019). Ohio's K-12 Social and Emotional Learning Standards.

#### Ecological Validity Framework (Bernal, Bonilla, & Bellido, 1995) Goal: Increase fit between client's experience and components of intervention Appropriate, culturally syntonic Persons relationship

Characteristics of client, therapist; How similarities/differences between client, therapist affect Symbols, concepts shared within culture Metaphors Cultural knowledge; Appreciating values, customs, traditions in conjunction with treatment Content How are we conceptualizing the "problem"? How do we communicate this to our students, families? Reframe in context of cultural/ecological variables. Concepts Do our goals align with those of our students, school staff, families? Goals Adaptations; Ways to deliver content. Do we include family in interventions? Methods Current context of client, changing factors (e.g., acculturative stress, migration, development, etc.) Context

#### **General Recommendations**

- · Get to know your emotion world
- Review and use resources
- Get to know your student population.
- What aspects of culture do we pay attention to when talking about/working with emotions?
- · How does EC fit into current SEL framework?
  - Where are the challenges?
- · Practice with a colleague, form a regular meeting group
- · Consider measurement
- · Obtain feedback, input, engage in iterative process

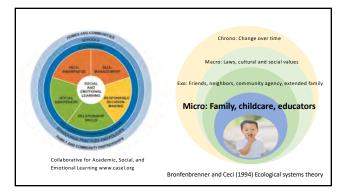
#### Support

With the professional development supports that will accompany these standards, Ohio is providing guidance for implementing the standards into instruction, so all teachers can reap the benefits of supporting students' social-emotional learning development. Resources are being identified and created, to accompany these standards to provide implementation and support documents for all of the adults in children's lives, including Trauma Informed Schools and Social-Emotional Learning and a summary of recent research on the importance of social-emotional

#### Engagement, Partnerships

Parents and families are critical in the development of social-emotional skills and competencies in young children as they grow. These standards were created with that very much in mind, as parents and family members are their children's first and most significant teachers. It is through building a strong partnership between families and schools, educators and communities that our children are supported to be lifelong learners who develop into adults who make significant contributions to the world.

~Ohio Department of Education (2019). Ohio's K-12 Social and Emotional Learning Standards.



#### Parent-School Collaboration

- Parent-teacher relationships lead to better overall development and competence in students (e.g., Mendez, 2010; Smith et al., 2019; Garbacz et al., 2018)
- More parent engagement in elementary school, declines in middle and high school (Smith et al., 2019)
- Barriers abound: Taking time off work, transportation, childcare, history of negative experiences, discrimination, unclear expectations (Herman et al., 2014), cultural differences in role of parents in education (Gonzales & Gabel, 2017)

Authentic, positive relationships with students and families only can occur when educators reflect on their positionality, power and privilege and develop cultural awareness and competency (Gallego & Cole, 2001; Artiles, 2003; Kozleski & Artiles, 2012). Educators effectively teach social-emotional learning when they can recognize and understand their lens of social-emotional learning may differ from that of their students and families and seek input from students and families regarding the social and emotional learning standards (Waitoller & Thorius, 2015).

~Ohio Department of Education (2019). Ohio's K-12 Social and Emotional Learning Standards.

#### Pair Share

Describe a situation when school-family collaboration was very beneficial to a student.

Describe the methods your site currently uses to partner with families.

Describe the extent to which you are able to engage in personal reflection, training regarding "positionality, power, and privilege."

Characteristics of Successful School-Family Partnerships (Albright & Weissberg, 2010)

Priority

Planful

Proactive

Persistent

Positive communication

Personalized

Practical, specific strategies

Program monitoring, modifications

#### Types of parent engagement (Albright & Weissberg, 2010; Epstein, 1995; Hoover-Dempsey et al., 2005)

- Home-based: reading to child, other academic activities, educational events at school, community
- School-based: PTA meetings, family nights, fundraising, advisory councils, volunteering, workshops, observing, parents as leaders, collaborate with community agencies
   Parents/families are invited, key participants/partners
   Classrooms support participation, are welcoming
   Parents share decisions in curriculum choices
- Home-school: in person or technology communication (e.g., newsletters, website, handbook, personal contact, progress journals, notes, home visiting)
   What is happening in the classroom that can be reinforced at school?
- What are some strategies that can be used?
  How is my child doing?
  How are families included in all SEL information, planning, decision-making?

Example of parent engagement in Chicago: from NBC Today Show: **Education Nation:** 

https://www.youtube.com/watch?v=ubo6L0qQv6Q&list=PLvzOwE5IWqhRPzheyprYHcA8SZI9Qvnpz&index=1&feature=plpp\_video

#### School-family Partnerships and SEL (Albright and

Weissberg, 2010; www.casel.org)

CASEL Program Guides: https://casel.org/guide/programs/; Look for "Family Context" Indicator

Incredible Years Series: Homework at home with parents, letters to parents, "parental involvement is strongly encouraged."

Promoting Alternative Thinking Strategies (PATHS): "Suggestions for engaging parents," parent letters, handouts

#### School-family Partnerships and SEL (Albright and

Responsive Classroom: "Guidelines for working with families...teachers are encouraged to connect with parents individually on a regular basis in order to share updates and expectations about the child's development."

Second Step: Family Guide so give parents information to use same strategies at home

#### Common Threads

Facilitating, building:
• Relationships

- Trust
- Knowledge
- Skills
- Effective communication
- Inclusivity
- Respect
- Understanding Cooperation
- Appreciation
- · Equity

#### Options for Application

- Consult program materials
- Consider the information you wish families could have to coordinate school-family partnerships around SEL and specific strategies, such as those that include emotion coaching
- · Ask what families would like
- Use methods of engagement that would work for your families
   Newsletters, weekly updates on strategies, parent, educator workshops, classroom observation, etc.
- Model effective communication, empathy, problem-solving

#### Pair Share

Describe how school-family-community partnerships will play a role in overall SEL planning and implementation at your site.

Where is there room for growth?

Who can you work with to move this important work forward?

#### Final Thoughts

- Look to your SEL framework, guidelines
- Consider strengths of current programming, needs
- Work with a team that includes multiple stakeholders to work through the big picture, and the adoption of a strategy/program like Emotion Coaching
- See this journey as a marathon, not a sprint.

#### Activity

#### Closing

Materials

Contact:

Barbara Gueldner

Barbara@successfulkidstoday.com

# School-Based CBT for Depressed Children and Adolescents

Mark A. Reinecke, PhD Child Mind Institute San Francisco Bay Area

OSPA Columbus, Ohio November 2019



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Educational Objectives	
You will be familiar with:	
Diagnosis and assessment of major depression and suicide among youth	
Factors contributing to vulnerability for depression	
<ul><li>among youth</li><li>The Socio-Cognitive Model of depression</li></ul>	
Cognitive-behavioral case formulation and assessment	
Modular CBT techniques and strategies	
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	]
Disclosures	
Nothing to disclose	
Nothing to disclose	
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INSTITUTE	
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Contributors	
➤ David Brent, Peter Lewinsohn, Greg Clarke, Aaron Beck	
►John March, Susan Silva, John Curry, John Fairbank,	
Karen Wells, Paul Rohde, Nili Benazon, Golda Ginsburg, Michael Sweeney, Norah Feeney, Jeanette Kolker, Randy	
LaGrone, Anne Simons, Betsy Kennard, Chris Kratochvil,	
> Rachel Jacobs, Kelsey Howard, Lev Gottlieb, Sarah	
O'Dor, Emily Becker-Weidman, Greg Rogers, Allison Clarke, Michal Rischall	
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INSTITUTE	

# Child & Adolescent Depression: An Overview

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#### Epidemiology (1)

<ul> <li>Depression</li> </ul>	2-7%
Dysthymia	5-10%
<ul> <li>Separation Anxiety Disorder</li> </ul>	2-5%
<ul> <li>Generalized Anxiety Disorder</li> </ul>	3-4%
<ul> <li>Simple Phobia</li> </ul>	2-3%
• ADHD	6-10%
<ul> <li>Oppositional Disorder</li> </ul>	6-10%
<ul> <li>Conduct Disorder</li> </ul>	3-5%

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#### Epidemiology (2)

• Prepubertal: males = females

• Adolescence: females rise, males stable

• Dysthymia > Major Depression

• Moderate stability

• High recurrence rates



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#### Lifetime Prevalence Major Depression

Males FemalesAdolescents 12% 24%

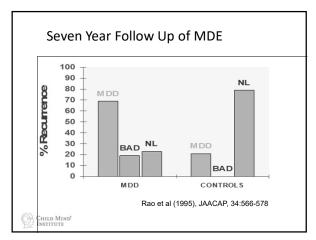
• Adults 14% 23%

Kessler et al. (2005) Lewinsohn et al. (1993)

>How can we understand the gender difference in rate, recurrence?



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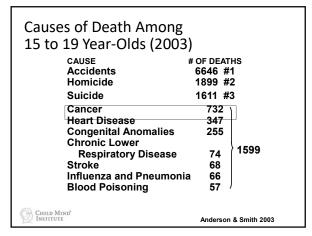


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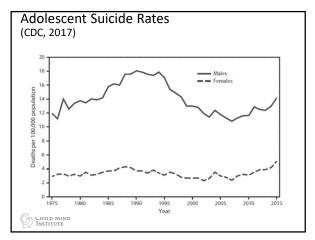


Rank	<1	1-4	5-9	10-14	15-24	25-34	35-44	45-54	55-64	65+	Total
1	Congenital Anomalies 4,816	Unintentional Injury 1,261	Unintentional Injury 787	Unintentional Injury 847	Unintentional Injury 13,895	Unintentional Injury 23,984	Unintentional Injury 20,975	Malignant Neoplasms 41,291	Malignant Neoplasms 116,364	Heart Disease 507,118	Heart Disease 635,260
2	Short Gestation 3,927	Congenital Anomalies 433	Malignant Neoplasms 449	Suicide 436	Suicide 5,723	Suicide 7,366	Malignant Neoplasms 10,903	Heart Disease 34,027	Heart Disease 78,610	Malignant Neoplasms 422,927	Matignant Neoplasms 598,038
3	SIDS 1,500	Malignant Neoplasms 377	Congenital Anomalies 203	Malignant Neoplasms 431	Hamicide 5,172	Homicide 5,376	Heart Disease 10,477	Unintentional Injury 23,377	Unintentional Injury 21,860	ChreeicLow. Respiratory Disease 131,002	Unintentional Injury 161,3
4	Maternal Pregnancy Comp. 1,402	Hamicide 339	Homicide 139	Homicide 147	Malignant Neoplasms 1,431	Malignant Neoplasms 3,791	Suicide 7,030	Suicide 8,437	Chronic Low. Respiratory Disease 17,810	Cerebro- vascular 121,630	Chronic Low Respiratory Disease 154,596
5	Unintentional Injury 1,219	Heart Disease 118	Heart Disease 77	Congenital Anomalies 146	Heart Disease 949	Heart Disease 3,445	Homicide 3,369	Liver Disease 8,364	Diabetes Mellitus 14,251	Alzheimer's Disease 114,883	Cerebro- vascular 142,142
6	Placenta Cord. Membranes 841	Influenza & Priesimenia 103	Chronic Low. Respiratory Disease 68	Heart Disease 111	Congenital Anomalies 388	Liver Disease 925	Liver Disease 2,851	Diabetes Mellitus 6,267	Liver Disease 13,448	Diabetes Mellitus 56,452	Alzheimer* Disease 116,103
7	Bacterial Sepsis 583	Septicemia 70	Influenza & Pneumonia 48	Chronic Low Respiratory Disease 75	Diabetes Melitus 211	Diabetes Mellitus 790	Diabetes Mellitus 2,049	Cerebro- vascular 5,353	Cerebro- vascular 12,310	Unintentional Injury 53,141	Diabetes Melitus 80,058
8	Respiratory Distress 488	Perinatal Period 60	Septicemia 40	Cerebro- vascular 50	ChronicLow Respiratory Disease 206	Cerebro- vascular 575	Cerebro- vascular 1,851	ChronicLow. Respiratory Disease 4,307	Suicide 7,759	Influenza & Priesmonia 42,479	Influenza 8 Preumonia 51,537
9	Circulatory System Disease 460	Cerebro- vascular 55	Cerebro- vascular 38	Influenza & Pneumonia 39	Influenza & Pneumonia 189	HV 546	HIV 971	Septicemia 2,472	Septicemia 5,94	Nephritis 41,095	Nephritis 50,04
10	Neonatal Hemorrhage 398	ChronicLow Respiratory Disease 51	Benign Neoplasms 31	Septicemia 31	Complicated Pregnancy 184	Complicated Pregnancy 472	Septicemia 897	Hamicide 2,152	Nephritis 5,650	Septicemia 30,405	Suicide 44,965



Causes of Death Among
15 to 24 Year-Olds (2016)

	CAUSE Accidents Homicide	# OF DEAT 13859 # 5172 #	1
	Suicide	5723 #	2
	Cancer	1431	T
	Heart Disease	949	
	Congenital Anomalies	388	
	Diabetes	211	3558
	Respiratory	206	
	Influenza and Pneumonia	189	
	Complicated Pregnancy	184	J
CHILD MIN INSTITUTE	$\mathrm{ID}^{\mathrm{c}}$		CDC 2018

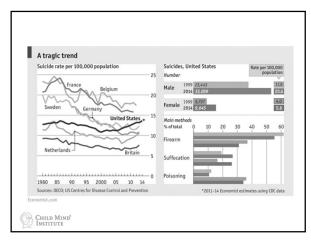


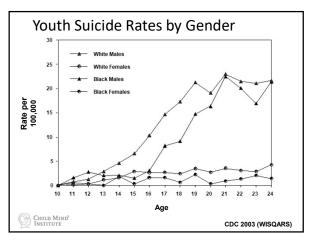
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#### **Adolescent Suicide Rates**

- Suicide rates decreased between 1990 and 2003 as antidepressant prescriptions increased
- Suicide rates *increased* 18% from 2003-2004 due to decreased antidepressant use
- Rate doubled for 15-19 year-old females between 2007 and 2015
- Rate increased by 30% for 15-19 year-old males between 2007 and 2015







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#### Why the Increase?

- Black Box warnings
- Economic pressure on families
- Insurance, access to treatment
- Shift from cocaine to opioids, pain killers
- Social media







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#### Major Depression An Important Social Problem

- Common: Point prevalence of 2-7%
- Leading cause of disability worldwide
- 20m Americans affected (compared to 13.5m with coronary heart disease)
- Mortality rate elevated 2.6x
- ➤ Depression is a social policy priority



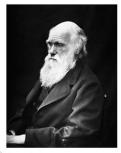
#### Diagnostic Criteria for Depression

- 1. Depressed or irritable mood
- 2. Anhedonia, loss of interest or pleasure
- 3. Weight or appetite change
- 4. Sleep difficulties
- 5. Psychomotor agitation or retardation
- 6. Fatigue
- 7. Worthlessness or guilt
- 8. Concentration or memory problems
- 9. Thoughts of death or suicide



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# Evolution and Depression: Is Depression Adaptive?



"Pain or suffering of any kind, if long continued, causes depression and lessens the power of action; yet it is well adapted to make a creature guard itself against any great or sudden evil."

Charles Darwin (1887)

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#### Is Depression Adaptive?

- Adaptive warning mechanism
- Functionally similar to pain
- Inhibits individual from pursuing unattainable goals
- Decreased motivation; energy saved until new goal identified

Neese, R. Arch. Gen Psychiat. (2000)



#### Goal Adjustment Capacity

- 97 girls; 15-19 years old
- Longitudinal assessment; 19 months
- Assess disengagement from personally salient unattainable goals and re-engagement with new goals
- ➤ Mildly depressed youth disengage more easily from unattainable goals
- ➤ Those who disengage easily are *less* likely to experience more severe depression later; lower c-reactive protein (associated with inflammation)

Wrosch, C. & Miller, G. (2009) JPSP



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#### The Three Rivers

- ➤ Developmental experience
  - "It's the environment, how you're raised"
- ➤ Biological processes

"It's all in your brain"

**≻**Cognition

"It's all how you look at it"



# **Vulnerability for Depression** 1. Biological (Genetic) Factors 2. Negative Life Events 3. Early Experience & Insecure Attachment 4. Affect Regulation 5. Social Behavior; Social Support 6. Cognitive Biases / Deficits CHILD MIND' INSTITUTE 34 **Biological Systems** (Negative Valence – Loss) • Genes: MAOA, COMT, DAT1, 5HTTR, 5HTRs • Brain: Amygdala, DLPFC, VMPFC, Insula, Cingulate, Hippocampus, Striatum • Physiology: ANS, HPA CHILD MIND' INSTITUTE 35 **Biological Systems** (Positive Valence – Reward) • Genes: DAT, DRD2, TREK1 • Brain: Anterior Insula, Lateral Hypothalamus, Medial OFC, Nucleus Accumbens, Ventromedial PFC • Physiology: Endocannabinoids, Glutamate, FosB, Orexin, Dopamine CHILD MIND' INSTITUTE

#### Cognitive Vulnerability-I

1. Beck Tacit Beliefs or Schema, Cognitive

Distortions, Sociotropy, Autonomy,

**Automatic Thoughts** 

2. Rehm Self-Control Deficits, Self

Reinforcement

3. D'Zurilla Social Problem-Solving Deficits

4. Garber Affect Regulation



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#### Cognitive Vulnerability-II

5. Seligman Learned Helplessness –

Perceptions of Contingency

6. Abramson Negative Attributional Style

7. Lewinsohn Loss of Social Reinforcement

8. Alloy Depressive Realism;

Perceptions of Control and

Worth



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#### Cognitive Vulnerability-III

9. Freeman Decreased mastery and

pleasure

9. Joiner Excessive Reassurance

Seeking

10. Nolen-Hoeksema Ruminative Style

11. Ingram Self-focused Attention

12. Higgins Self-Concept Discrepancy

Strauman





# Cognitive Vulnerability-IV (provisional)

1. Gotlib Perceptual disengagement Joorman from distressing stimuli

 Reinecke Sensitivity to reward, loss Breiter

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#### Cognitive Vulnerability – Unitary Construct?

Ginsburg et al. JCCAP (2010)

- Factor structure of self-report scales assessing depression-relevant cognitions (BHS, CNCEQ, CTI-C, DAS, SPSI-R)
- 390 adolescents with major depression
- Four factor solution:
- 1. Cognitive Distortions and Maladaptive Beliefs (DAS, CNCEQ)
- 2. Cognitive Avoidance (SPSI-R; ICS, AS, NPO)
- 3. Positive Outlook (CTI-C, BHS)
- 4. Solution-Focused Thinking (SPSI-R; PPO, RPS)
- Maladaptive cognitions were positively related to severity of depression and predicted treatment response.



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# 

#### Assessment

- Children's Depression Rating Scale (CDRS-R)
- Reynolds Adolescent Depression Scale (RADS-2)
- Children's Depression Inventory (CDI-2)
- Reynolds Suicide Ideation Questionnaire (RSIQ)
- Columbia Suicide Severity Rating Scale (C-SSRS)



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#### Measures of Cognitive Mediators

- Automatic Thoughts Questionnaire (ATQ)
- Young-Brown Schema Questionnaire (YBSQ-R)
- Dysfunctional Attitudes Scale (DAS)
- Social Problem-Solving Inventory (SPSI-R)
- Attributional Style Questionnaire (ASQ)
- Inventory of Parent & Peer Attachment (IPPA)
- Cognitive Bias Questionnaire (CBQ, CNCEQ)



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#### Core Cognitive Vulnerabilities

#### Depression

- 1. Negative Attributional Style (Alloy et al., 2008)
- 2. Dysfunctional Attitudes (Beck, 1987)
- 3. Ruminative Style (Nolen-Hoeksema et al., 2008)

#### **Anxiety**

- 1. Anxiety Sensitivity (McNally, 1994)
- 2. Intolerance of Uncertainty (Dugas et al., 2004)
- 3. Fear of Negative Evaluation (Watson & Friend, 1999)



#### Therapeutic Relationship in CBT

- 1. Accurate empathy
- 2. Warmth
- 3. Genuineness
- 4. Rapport, "harmonious accord", acceptance
- 5. Collaboration
- 6. Empiricist orientation
- 7. Patient and parent feel "understood"



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#### Adult "Frames" in Child Development

- Nurturant Frame
- Protective Frame
- •Instrumental Frame
- Feedback Frame
- Modeling Frame
- Discourse-Conversation Frame
- Memory Frame







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#### Modular CBT

(Reinecke, 2002; Curry & Reinecke, 2003)

- Empirically-supported techniques
- Formulation based
- Flexible, individually-tailored
- Prescriptive interventions
- Targets identified vulnerability and maintaining factors
- Addresses social environment in which beliefs and coping skills are acquired and function



# CBT Individual Modules 1. Mood Monitoring 2. Goal-Setting 3. Behavioral Activation/Pleasant Activities 4. Problem-Solving 5. Cognitive Restructuring 6. Relaxation 7. Affect Regulation 8. Social Interaction 9. Assertion 10. Communication

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#### **CBT Family Modules**

- 1. Rationale and Goal-Setting
- 2. Psychoeducation about CBT
- 3. High Expectations and Low Reinforcement
- 4. Family Problem-Solving
- 5. Family Communication (EE)
- 6. Attachment and Re-commitment
- 7. Contingency Management



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#### Core versus Non-Core Modules

- Core modules are hypothesized to be relevant for most depressed adolescents, can be placed first
- Core = "Required" across cases and sites, to reduce site x treatment interactions
- Transdiagnostic interventions (Barlow)?
- Address strength or deficit?



#### **CBT Formulation Variables**

- 1. Automatic thoughts, Images
- 2. Schema, Tacit beliefs, Assumptions (If\_\_\_\_\_; Then\_\_\_\_
- 3. Attributional Style, Hopelessness, Helplessness
- 4. Problem Solving, Problem-Solving Motivation, Self-Efficacy
- 5. Sociotropy-Autonomy
- 6. Ruminative Style, Disengagement
- 7. Distortions, Perceptual & Memory Bias, Reward-Loss Sensitivity
- 8. Attachment Style (Secure, Insecure, Disorganized)
- 9. Affect Regulation, "Mood Repair"
- 10. Self-Discrepancy
- 11. Social Skills, Social Support
- 12. Major & Minor Life Events
- 13. Coping (positive & maladaptive)
- 14. Family Environment



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**CBT Modules in Practice** 



# Psychoeducation / Socialization ➤ Nature of depression: Basic human emotion; mood fluctuations are normal • Cognitive, affective, physiological, and behavioral components ➤ Etiological mechanisms: • Biological vulnerabilities • Learning history (negative events, stress) • Cognitive biases (negative filter) CHILD MIND' INSTITUTE 58 Rationale • Presentation of the model, basic concepts • Process and procedures of CBT • What we will be doing and why • Assess understanding and acceptance CHILD MIND' INSTITUTE 59 **Behavioral Activation** • Increasing pleasant, non-harmful activities • Rekindling hedonic capacity • Challenging the belief that activities cannot be enjoyable • Pleasant Activity Scheduling • Mastery, Pleasure, Social, Value CHILD MIND' INSTITUTE

#### **Increasing Pleasant Activities**

- Generate list of activities the adolescent likes or would like to do
- Obtain a baseline
- Select 2-3 target activities to increase
- · Rate mood daily
- Note connection between activities and mood

Lewinsohn Pleasant Activity Schedule. In: E. Beckham & W. Leber (Eds.) (1985). <u>Handbook of depression</u>. Homewood: Dorsey Press.



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#### Sample PES Items (Lewinsohn)

- 1. Being in the country
- Wearing expensive or formal clothes
- 3. Making contributions to religious, charitable, or other groups
- 4. Talking about sports
- 5. Meeting someone new of the same sex
- 6. Taking tests when well prepared
- 7. Going to a rock concert
- 8. Playing baseball or softball
- 9. Planning trips or vacations
- 10. Buying things for myself
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- 11. Being at the beach
- 12. Doing art work (painting, sculpture, drawing, movie-making,
- 13. Rock climbing or mountaineering
- 14. Reading the Scriptures or other sacred works
- 15. Playing golf
- 16. Taking part in military activities
- 17. Re-arranging or redecorating my room or house
- 18. Going naked
- 19. Going to lectures or hearing

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#### Cognitive Restructuring

- ➤ Cognitive Triad:
  - Self
  - World
  - Future
- ➤ Dysfunctional Thought Record (DTR)
  - Situation
  - Automatic thought (AT)
  - Mood rating (both positive and negative)
  - Rational response (RR)



## Cognitive Distortions (Beck & Ellis)

- All or None Thinking
- Catastrophizing
- Disqualifying the positive
- Negative filter
- Fortune telling
- Mind reading

- Perfectionism
- Should statements
- Probability overestimation
- Magnification
- Minification
- Overgeneralization



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#### **Disputing Maladaptive Thoughts**

- Socratic method
- Treat thoughts as hypotheses, not facts
- Generate alternative hypotheses
- Construct and conduct behavioral experiments
- Evaluate the evidence
- Revise the thought (Rational Response)



# Adolescent Egocentrism (Elkind)

- Misapplication of Hypothetico-Deductive Reasoning
- 1. Personal Fable
- 2. Imaginary Audience
- 3. Illusion of Invulnerability



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#### The Who "Baba O'Riley" c 1972

Sally, take my hand We'll travel south cross land Put out the fire And don't look past my shoulder.

The exodus is here
The happy ones are near
Let's get together
Before we get much older.

Teenage wasteland It's only teenage wasteland. Teenage wasteland Oh, yeah Its only teenage wasteland They're all wasted!





#### Pearl Jam "Nothingman" c 1992

once divided...nothing left to subtract...
some words when spoken...can't be taken back...
walks on his own...with thoughts he can't help thinking...
future's above...but in the past he's slow and sinking...
caught a bolt 'a lightnin'...cursed the day he let it go...
nothingman... nothingman
isn't it something?
nothingman...
she once believed...in every story he had to tell...
one day she stiffened...took the other side...
empty stares...from each corner of a shared prison cell...
one just escapes...one's left inside the well...
and he who forgets...will be destined to remember...
nothingman...nothingman
isn't it something?

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#### Green Day "I Walk Alone" c 2002

I walk a lonely road The only one that I have ever known Don't know where it goes But it's home to me and I walk alone

I walk this empty street On the Boulevard of broken dreams Where the city sleeps And I'm the only one and I walk alone

I walk alone I walk alone I walk alone

My shadow's the only one that walks beside me My shallow hearts the only thing that's beating Sometimes I wish someone out there would find me 'Til then I walk alone I walk alone I walk alone



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#### The Neighbourhood "Everybody's Watching Me" c. 2013

I told you I would tell you everything you want to know You want me to tell you now You pressure me to shout it Need to hear about it

Think that I would count you out I let you find it on your own

Then I found myself alone Uh oh, where can I go? Everybody's watching me Uh oh, where can I go?



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Edward Hopper "Nighthawks" c 1942

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## Disputing Automatic Thoughts "Three Essential Questions"

- 1. What's the evidence? For and against.
- 2. Is there another, more adaptive, way of looking at this? "On the other hand"
- 3. So what?
  - Decatastrophize
  - What is the solution?



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#### Franklin's Socratic Questioning

- Build the argument through gentle queries
- Drop "any abrupt contradiction" style
- Be a "humble enquirer" by asking innocent questions
- Draw the person into making concessions that gradually prove your point



#### The Disputatious Style



"Being disputatious [is] a very bad habit." [Confronting people produces] "disgusts and perhaps enmities." "Persons of good sense, I have since observed, seldom fall into it, except lawyers, university men, and men of all sorts that have been bred at Edinburgh."

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#### Tacit Beliefs / Schemata

- Generalized, tacit beliefs
- Organize perception, memory, problem solving
- Learning history may shape an individual's core views of self, others, future
  - I am unlovable, vulnerable, unworthy, flawed, lack efficacy
  - 2. World is unsafe, others are unreliable
  - 3. No hope for my future

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### Social Problem-Solving (D'Zurilla, Nezu, Curry)

- R Relax
- I Identify the problem
- B Brainstorm possible solutions
- E Evaluate each one
- Y 'Yes' to one (or two)
- E Encourage yourself, reinforce



#### Dear Problem-Solver #1

Dear Problem Solver,

Last Saturday I was driving my father's car to the store. I was close to being late so I was going pretty fast. As I turned a corner the car slid over and I scraped a tree. I got the steering under control, but was pretty shook up. After I stopped I looked at the car. There is a big scratch on the passenger side. After work I brought the car home. The next day Dad went on a trip. He's coming home in 3 days, and doesn't know about the scratch. I'm afraid to tell him because he might ground me. I need to get to school and to work, and I invited my girlfriend to a club next weekend. She's been looking forward to it for weeks. What should I do?

Sincerely, Scared in Sandusky



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#### Dear Problem-Solver #2

Dear Problem Solver,

My problem is my math teacher. She's a real pain. Last week she was on my case for not getting my work done. She said she didn't care that I had to work extra hours at my job. She said it was my responsibility. She just doesn't seem to like me. She says I have an "attitude." The truth is, I just don't like math and I never have. Why do we have to take algebra anyway? What a waste. Now I'm behind in the course and I can't follow what the teacher is saying and if I flunk I won't graduate. It's getting bad. What should I do?

Sincerely, Anxious in Akron



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#### **Problem Solving Worksheet**

- 1. Relax: The method I used to relax and calm my feelings was:
- 2. Identify: The problem I tied to solve was:
- 3. Brainstorm: The possible solutions I thought of were:
- 4. Evaluate: The consequences I considered were:
- 5. Yes to One: The solution I decided was:
- 6. Encourage: To encourage myself I:



# CONSULTANT provide information CHEERLEADER provide encouragement COACH supervise/administer treatment components CLIENT target of specific aspects of intervention

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#### Family CBT Strategies

- Contingency contracting
- Communication training
- Means-End Problem Solving
- Negotiation skills
- Criticism-Demands : Affection-Support



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#### **Pragmatic Family Therapy**



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#### **Relapse Prevention**

- 1. Identify preferred modules
- 2. Identify high risk settings, events
- 3. Transfer of responsibility for treatment
- 4. Develop relapse prevention plan
- 5. Fading sessions
- 6. Booster sessions



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#### **Advanced CBT Strategies**



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#### **Facilitating Secure Attachment**

- Elicit positive relationship history, memory
- Discuss current relationship
- Develop "image" of desired relationship
- Discuss behaviors that would rekindle a more positive relationship
- Emphasize:
  - 1. Reliability (Predictable)
  - 2. Responsiveness
  - 3. Affection, Kindness (Non-punitive)



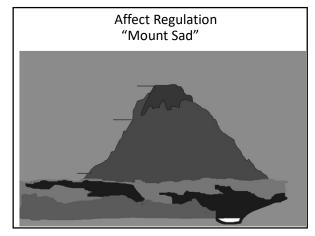
#### Affect Regulation

#### "Keeping Feelings Under Control"

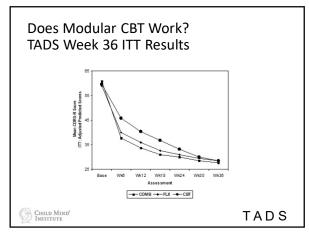
- 1. Emotions Thermometer or Volcano
- 2. Label endpoints
- 3. Identify physiological, behavioral, or psychological cues of escalation
- 4. Identify "critical point"
- 5. Plan specific actions, coping strategies
- 6. Involve parents
- 7. Rehearsal and reinforcement
- 8. Identify 1 or 2 high risk scenarios, prepare

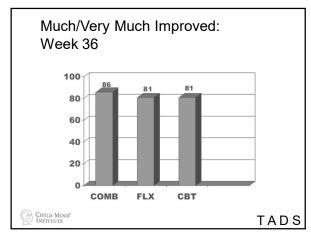


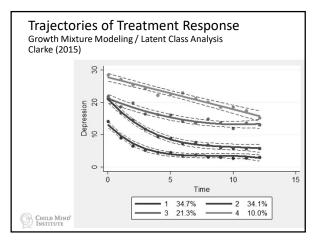
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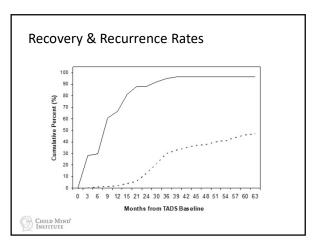


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	Teen Suicide	
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#### Mental Illness:

#### A Risk Factor for Teen Suicide

- Key suicide risk factor for all age groups is an undiagnosed, untreated or ineffectively treated mental disorder
- 90% of people who die by suicide have a mental disorder
- In teens, suicide risk is most clearly linked to 7 mental disorders, often with overlapping symptoms:

 Major Depressive Disorder
 Conduct Disorder

 Bipolar Disorder
 Eating Disorders

 Generalized Anxiety Disorder
 Schizophrenia

 Substance Use Disorders



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# Common Diagnoses Among Suicidal Teens

	MALE (N=213)	FEMALE (N=46)
Depression	50%	69%
Antisocial	43%	24%
Substance Abuse	38%	17%
Anxiety	19%	48%

Approximately 2/3 of 16-19 year-old male suicide completers have a history of substance or alcohol abuse



Brent et al. 1999, Shaffer et al. 1996

# Frequency of Suicidal Thoughts and Attempts

	RATE	N
Ideation	19.0%	3.8 million
Attempt	8.8%	1.8 million
Attempt received medical attention	2.6%	520,000
Completed Suicide	.008%	1,611

Anderson 2002; Grunbaum et al. 2002 (15-19 year old high school students)

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# Episodes of Suicidal Thoughts Per Year

1 45% 2 24% 3 or More 31%

Reifman & Windle 1995; ""How often have you thought about killing yourself?"; past year, N=698; last 6 months, N=283)

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# Number of Teen Suicide Attempts per Year

1 53% 2 or 3 30% 4 or More 17%

- Similar findings in patient studies
- 1 attempt increases risk of another 15-fold

Barter et al. 1968, Brent 1993, CDC 2002 (YRBS 2001 Codebook), Goldacre & Hawton 1985, Goldston et al. 1999, Hawton et al. 1982, Hulten 2001, Kotila 1992, Lewinsohn et al. 1994, McIntire et al. 1977, Spirito 1992, Spirito et al. 2003, Wichstrom 2000



#### CDC

#### Risk Factors for Suicide - I

- Family history of suicide
- Family history of child maltreatment
- Previous suicide attempt(s)
- History of mental disorders, particularly clinical depression
- History of alcohol and substance abuse
- Feelings of hopelessness
- Impulsive or aggressive tendencies



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#### CDC

#### Risk Factors for Suicide - II

- Cultural and religious beliefs (e.g., belief that suicide is noble resolution)
- Local epidemics of suicide
- Isolation, feeling of being cut off from others
- Barriers to accessing mental health treatment
- Loss (relational, social, work, or financial)
- Physical illness
- Easy access to lethal methods
- Unwillingness to seek help, stigma



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#### CDC

#### **Protective Factors for Suicide**

- Effective clinical care for mental, physical, and substance abuse disorders
- Easy access to a variety of clinical interventions and support for help seeking
- Family and community support (connectedness)
- Support from ongoing medical and mental health care relationships
- Skills in problem solving, conflict resolution, and nonviolent ways of handling disputes
- Cultural and religious beliefs that discourage suicide and support self-preservation



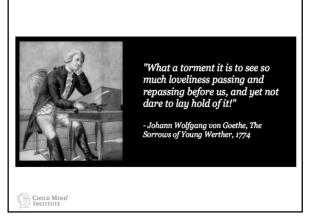
Psychological Vulnerability	
Hopelessness, Pessimism	
2. Impulsivity	
1. Aggression	
2. Affect regulation deficits	
3. Emotional lability	
3. Impaired Problem Solving Skills	
<ol> <li>Low assertiveness</li> <li>Social problem-solving deficits (NPO, ICS, AS)</li> </ol>	
2. Social problem-solving deficits (NFO, ICS, AS)	
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	1
Social Vulnerability	
Parental psychiatric illness	
Family history of suicide	
• (11.6% of 1 <sup>st</sup> degree relatives; 15.6% of "aggressive	
suicides", Serotonin?)	
History of abuse, neglect, bullying	
Chaotic, punitive home environment	
• Grief	
<ul> <li>Disconnection, "drifting", "anomie"</li> </ul>	
• Homosexuality	
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	1
Availability of Lethal Means	
• Guns	
<ul> <li>Odds Ratio 10.4 if guns in home</li> </ul>	
Rate of suicide increased most during 1 <sup>st</sup> year after     purchase: 75 / 100,000	
purchase; 75/100,00)	
• Bridges	
Train tracks	
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#### Teen Suicide Clusters Contagion

- Goethe "Sorrows of Young Werther" (1774)
- 5% of adolescent suicides
- Media exposure, community response
- Peers, classmates (often *not* close friends)



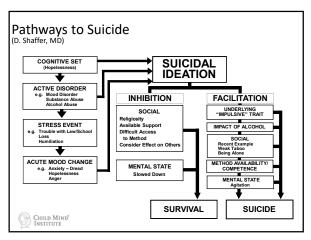
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## QPR Gatekeeper Training

#### **Question Persuade Refer**

Ask a question, save a life

- · Screening and triage
- QPR is not a risk assessment
- QPR is not a form of counseling or treatment
- QPR does offer hope through positive action
- Appropriate for nursing staff, teachers

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Strategy	trategies Approach
Strengthen economic supports	Strengthen household financial security     Housing stabilization policies
Strengthen access and delivery of suicide care	•Coverage of mental health conditions in health insurance policies •Reduce provider shortages in undeserved areas •Safer suicide care through systems change
Create protective environments	<ul> <li>Reduce access to lethal means among persons at risk of suicide</li> <li>Organizational policies and culture</li> <li>Community-based policies to reduce excessive alcohol use</li> </ul>
Promote connectedness	Peer norm programs     Community engagement activities
Teach coping and problem-solving skills	Social-emotional learning programs     Parenting skill and family relationship programs
Identify and support people at risk	Gatekeeper training     Crisis intervention     Treatment for people at risk of suicide     Treatment to prevent re-attempts
Lessen harms and prevent future risk	Postvention     Safe reporting and messaging about suicide

#### Inventories to Assess Suicidality

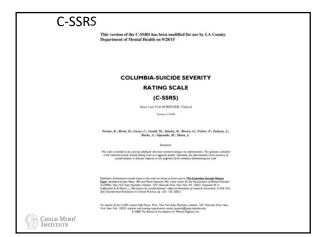
Beck Depression Inventory (Items "2" and "9") Reynolds Adolescent Depression Scale (RADS) Children's Depression Rating Scale (CDRS)

Beck Hopelessness Scale (BHS)

Scale for Suicide Ideation (SSI)
Reynolds Suicide Ideation Questionnaire (RSIQ)
Columbia Scale (C-SSRS)



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#### Adolescent Mental Health Screening "Every Teen, Every Encounter"

- Institute of Medicine
- US Preventative Services Task Force
- American Academy of Pediatrics
- American Medical Association
- Society for Adolescent and Medicine
- American Academy of Family Physicians
- NAMI



# Assess "Intent" 1. Preparation 2. Sense of "confidence" in carrying it out 3. Level of secretiveness 4. Motivation • Escape, surcease, solve-problems • End pain and suffering, relief • Get back at someone, make them pay CHILD MIND! 118 Assess "Perceived Lethality"

(Firearm, Jumping, Pills, Hanging, Auto, Train)

 "How deadly did you think this would be?" (Level of lethality may be misjudged)



Clarify method

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#### Cognitive Vulnerabilities: Key Targets in Treatment

- 1. Hopelessness, helplessness, pessimism
- 2. Maladaptive beliefs about oneself, others, the future (e.g., abandonment, unlovability, rejection)
- 3. "Suicidogenic beliefs"
- 4. Impaired problem-solving, low motivation
- 5. Non-specific autobiographical recall, perceptual bias
- 6. Morbid, self-punitive perfectionism.



#### Regular Follow-Up Helps

Long-Term Contact May Reduce Risk

- 834 inpatients (MDD or suicide)
- Randomized to follow-up contact / no-contact
- Letter + 24 contacts over 5 years
- Significant reduction: 1.7% vs 3.6%



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#### On the Edge





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#### Summary

- Adolescent suicide is multiply determined, multiple pathways
- Statistical prediction of risk is not possible
- Model-based interventions (Shaffer; Bridge, Goldstein & Brent) facilitate formulation
- Evidence-based practices (CBT, DBT, IPT) are promising; stay close to the data
- Flexible, modular approaches allow for individuallytailored, "prescriptive", "precision" treatment

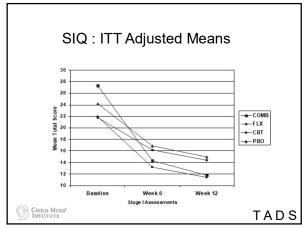


#### Teen Suicide Prevention

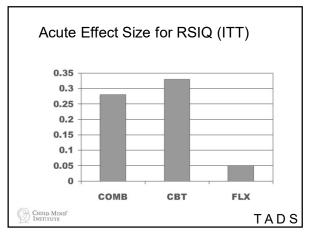
- · What can help:
- 1) Annual school-wide depression, suicide screenings
- Regular screenings by medical professionals; ""Every child, every encounter"
- 3) Teen resources: "Text a Tip", "Safe2Say", Change the Culture
- 4) Suicide training for adults: QPR
- 5) Embed suicide education in coursework, workshops
- Every parent talks explicitly about suicide risk with their teens; parent seminars
- 7) Rapid referral network of trained clinicians; make treatment easily available, free (i.e., CWD-A)
- 8) Increased funding for mental health clinician workforce
- 9) More suicide research funding

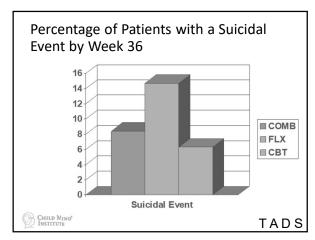


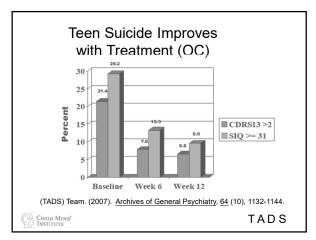
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# Does CBT Work? "Robust" Early Support • Reinecke et al. (1998) ES = 1.02 n=6 (CBT only) • Lewinsohn & Clarke (1999) ES = 1.27 n=12 • Michael & Crowley (2002) ES = 0.72 n=14

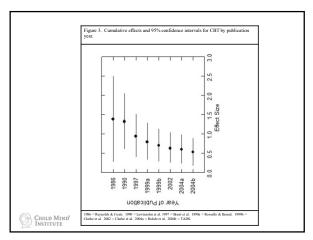
## The Broader View of the Literature "Curb Your Enthusiasm"

Weisz, McCarty, & Valeri (2006)
 Review of 35 controlled studies (31 of CBT)
 Effect size = .34 Z=4.57 P<.01</li>
 Effects show generality and specificity

"Effects are significant, but modest in their strength, breadth, and durability"



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#### Why the Decline?

(Klein, Jacobs, & Reinecke, 2007)

- A common pattern in outcome research
- Increasingly severe, chronic, comorbid, and functionally impaired participants
- More stringent control conditions, randomization
- Fixed effects requires homogeneity of ES across samples (RRM may be preferred)
- ITT rather than completer analysis
- Reliance on published, peer-reviewed findings



#### A Comprehensive Review

"The Kids Are All Right"

- Review of 750 treatment protocols from 435 studies.
- Scored on 5-level level of evidence
- 21 controlled studies
- "Level 1: Best Support"

Effect size = .87 (CBT Alone)

1.47 (CBT + Rx)

.95 (CBT with parents)



Chorpita, B. et al. (2011) . Evidence-based treatments for children and adolescents: An updated review of indicators of efficacy and effectiveness. <u>Clinical psychology: Science & practice</u>, <u>18</u> (2): 154-181.

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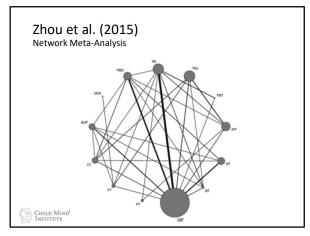
#### A More Recent Review

- Zhou et al. (2015) World Psychiatry
- 52 RCT's, 116 Conditions, 9 treatments, 3805 patients
- Post-treatment: Only CBT and IPT consistently more effective than controls (SMD= -.47 to -.96)
- Follow-Up: Only CBT and IPT consistently more effective than controls (SMD= -.26 to -1.05)

"...IPT and CBT should be considered the best available psychotherapies for depression in children and adolescents"



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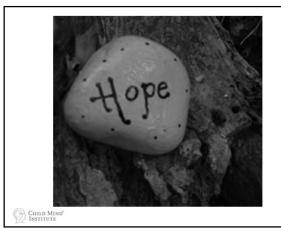


# Current Standards CBT is "A Recommended Treatment"

- American Psychological Association Clinical Practice Guidelines (2018)
- AACAP Work Group on Quality Issues (2007)
- NICE National Institute for Health and Care Excellence (2005)
- BESt Cincinnati Children's Hospital Medical Center Best Evidence Statement (2010)
- Society for Clinical Child and Adolescent Psychology (Level One, "Works Well")
- US Preventive Services Task Force (2009)
- CPG-Ministry of Health and Social Policy-Spain (2010)
- GLAD-PC Group Guidelines for Adolescent Depression in Primary Care (2007)



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# Modular CBT for Depression

Curry, J. & Reinecke, M. (2003). Modular therapy for adolescents with major depression. In M. Reinecke, F. Dattilio, & A. Freeman (Eds.) Cognitive therapy with children and adolescents, 2<sup>nd</sup> Ed. New York: Guilford Press.

Reinecke, M. & Ginsburg (2008). Cognitive-behavioral treatment of depression during childhood and adolescence. In J. Abela & B. Hankin (Eds.) Handbook of depression in children and adolescence. New York: Guilford Press.

Reinecke, M. & Curry, J. (2008). Adolescents. In M. Whisman (Ed.) Adapting cognitive therapy for depression: Managing complexity and comorbidity. New York: Guilford.

Curry, J. & Reinecke, M. (2010). Major depression. In J. Thomas & M. Hersen (Eds.) Handbook of clinical psychology competencies. New York: Springer.

Beidel & Reinecke, M. (2014). Cognitive-behavioral treatment for anxiety and depression. In Dulcan (Ed.) American Psychiatric Publishing textbook of child and adolescent psychiatry. Washington, DC: American Psychiatric Publishing.



# Teen Suicide Readings

- Brent, D. et al. (2009). The Treatment of Adolescent Suicide Attempters Study (TASA): Predictors of suicidal events in an open treatment trial. <u>J. Am. Acad. Child Adol. Psychiat.</u>, 48, 987-996.
- Bridge, J. et al. (2006). Adolescent suicide and suicidal behavior. <u>J. Child Psychol Psychiat.</u>, 47, 372-394.
- Goldston, D. (2003) <u>Measuring suicidal behavior and risk in adolescents</u>.
  Washington, DC: American Psychological Association.
- Gould, M. et al. (2011). Youth suicide risk and preventive interventions. <u>J. Am. Acad. Child Adol. Psychiat.</u>, 42, 386-405.
- Spirito, A. et al. (2011). Addressing adolescent suicidal behavior: Cognitivebehavioral strategies. In P. Kendall (ed.) <u>Child and adolescent therapy: Cognitivebehavioral procedures</u>. New York: Guilford.



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### **Evidence-Based Treatments**

American Psychological Association Division 12 Clinical Psychology

American Psychological Association Division 53 Clinical Child & Adolescent Psychology

www.clinicalchildpsychology.org

www.childmind.org

www.effectivechildtherapy.com



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# Academy of Cognitive Therapy www.academyofct.org

- Board certification in cognitive therapy
- International, multidisciplinary
- Listserve and newsletter
- International referral list
- Training resources



# Transforming Children's Lives



The Child Mind Institute is an independent, national nonprofit dedicated to transforming the lives of children and families struggling with mental health and learning disorders. Our teams work every day to deliver the highest standards of care, advance the science of the developing brain and empower parents, professionals and policymakers to support children when and where they need it most.



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# Our Work

Research



We are at the forefront of neuroscience efforts to find objective biological measures of mental illness that will lead to earlier diagnosis, more individualized treatment methods, and new and better interventions. Clinical Care



We provide world-class clinical care to children struggling with mental health and learning disorders. We have helped thousands of children get the help they need in our offices and in their communities.

Public Education



We equip millions of parents, educators and policymakers with the information they need to end the stigma and misinformation that cause so many children to miss out on life-changing treatment.



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# Contact Us!

Mark A. Reinecke, PhD Child Mind Institute 2000 Alameda de las Pulgas, Suite 242 San Mateo, CA

O: (650) 931-6565

E-Mail: mark.reinecke@childmind.org





# School-Based CBT for Depressed Children and Adolescents

Mark A. Reinecke, PhD Child Mind Institute San Francisco Bay Area

OSPA Columbus, Ohio November 2019





# Disclosures

Nothing to disclose





# **Educational Objectives**

You will be familiar with:

- Diagnosis and assessment of major depression and suicide among youth
- Factors contributing to vulnerability for depression among youth
- The Socio-Cognitive Model of depression
- Cognitive-behavioral case formulation and assessment
- Modular CBT techniques and strategies



# Contributors

➤ David Brent, Peter Lewinsohn, Greg Clarke, Aaron Beck

- ► John March, Susan Silva, John Curry, John Fairbank, Karen Wells, Paul Rohde, Nili Benazon, Golda Ginsburg, Michael Sweeney, Norah Feeney, Jeanette Kolker, Randy LaGrone, Anne Simons, Betsy Kennard, Chris Kratochvil,
- ➤ Rachel Jacobs, Kelsey Howard, Lev Gottlieb, Sarah O'Dor, Emily Becker-Weidman, Greg Rogers, Allison Clarke, Michal Rischall



# Child & Adolescent Depression: An Overview



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Epidemiology (1)

• Depression 2-7% • Dysthymia 5-10% • Separation Anxiety Disorder 2-5% • Generalized Anxiety Disorder 3-4% • Simple Phobia 2-3% • ADHD 6-10% • Oppositional Disorder 6-10% • Conduct Disorder 3-5%

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# Epidemiology (2)

• Prepubertal: males = females

• Adolescence: females rise, males stable

• Dysthymia > Major Depression

• Moderate stability

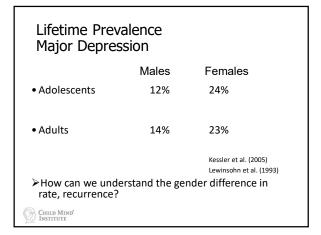
• High recurrence rates

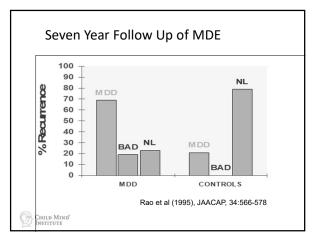


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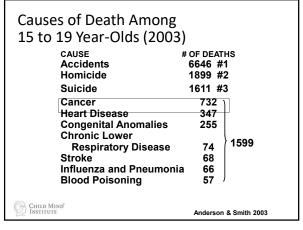
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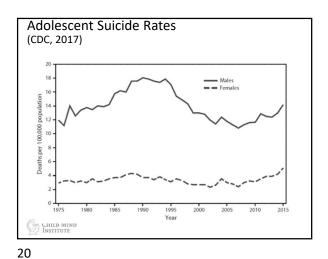
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Rank	<1	1-4	5-9	10-14	15-24	25-34	35-44	45-54	55-64	65+	Total
1	Congenital Anomalies 4,816	Unintentional Injury 1,261	Unintentional Injury 787	Unintentional Injury 847	Unintentional Injury 13,895	Unintentional Injury 23,984	Unintentional Injury 20,975	Malignant Neoplasms 41,291	Matignant Neoplasms 116,364	Heart Disease 507,118	Heart Disease 635,260
2	Short Gestation 3,927	Congenital Anomalies 433	Malignant Neoplasms 449	Suicide 436	Suicide 5,723	Buicide 7,366	Malignant Neoplasms 10,903	Heart Disease 34,027	Heart Disease 78,610	Malignant Neoplasms 422,927	Malignant Neoplasms 598,038
3	SIDS 1,500	Malignant Neoplasms 377	Congenital Anomalies 203	Malignant Neoplasms 431	Hamicide 5,172	Homicide 5,376	Heart Disease 10,477	Unintentional Injury 23,377	Unintentional Injury 21,860	ChrenicLow. Respiratory Disease 131,002	Unintentional Injury 161,3
4	Maternal Pregnancy Comp. 1,402	Homicide 339	Homicide 139	Homicide 147	Malignant Neoplasms 1,431	Malignant Neoplasms 3,791	Suicide 7,030	Suicide 8,437	Chronic Low. Respiratory Disease 17,810	Corebro- vascular 121,630	Chronic Low Respiratory Disease 154,596
5	Unintentional Injury 1,219	Heart Disease 118	Heart Disease 77	Congenital Anomalies 146	Heart Disease 949	Heart Disease 3,445	Homicide 3,369	Liver Disease 8,364	Diabetes Melitus 14,251	Azheimer's Disease 114,883	Cerebro- vascular 142,142
6	Placenta Cord. Membranes 841	Influenza & Pneumonia 103	Chronic Low. Respiratory Disease 68	Heart Disease 111	Congenital Anomalies 388	Liver Disease 925	Liver Disease 2,851	Diabetes Mellitus 6,267	Liver Disease 13,448	Diabetes Mellitus 56,452	Alzheimer* Disease 116,103
7	Bacterial Sepsis 583	Septicemia 70	Influenza & Pneumonia 48	Chronic Low Respiratory Disease 75	Diabetes Melitus 211	Diabetes Mellitus 792	Diabetes Mellitus 2,049	Constru- vascular 5,353	Cerebro- vascular 12,310	Unintentional Injury 53,141	Diabetes Mellitus 80,058
8	Respiratory Distress 488	Perinatal Period 60	Septicemia 40	Cerebro- vascular 50	ChronicLow Respiratory Disease 206	Cerebro- vascular 575	Cerebro- vascular 1,851	ChronicLow Respiratory Disease 4,307	Suicide 7,759	Influenza & Priesmonia 42,479	Influenza 8 Pneumonia 51,537
9	Circulatory System Disease 460	Cerebro- vascular 55	Cerebro- vascular 38	Influenza & Preumonia 39	Influenza & Presmonia 189	HV 546	HIV 971	Septicemia 2,472	Septicemia 5,94:	Nephritis 41,095	Nephritis 50,04
10	Neonatal Hemorrhage 398	ChronicLow Respiratory Disease 51	Benign Neoplasms 31	Septicemia 31	Complicated Pregnancy 184	Complicated Pregnancy 472	Septicemia 897	Hamicide 2,152		Septicemia 30,405	Suicide 44,965



17 18

Causes of Death Among 15 to 24 Year-Olds (2016)				
	CAUSE Accidents Homicide Suicide	# OF DEATH 13859 #1 5172 #3	] 3	
	Cancer Heart Disease Congenital Anomalies Diabetes Respiratory Influenza and Pneumoni Complicated Pregnancy	1431 949 388 211 206	3558	
CHILD M INSTITUT	IND <sup>6</sup> E		CDC 2018	



**Adolescent Suicide Rates** 

 Suicide rates decreased between 1990 and 2003 as antidepressant prescriptions increased

- Suicide rates increased 18% from 2003-2004 due to decreased antidepressant use
- Rate doubled for 15-19 year-old females between 2007 and 2015
- Rate increased by 30% for 15-19 year-old males between 2007 and 2015



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Youth Suicide Rates by Gender

White Males

White Females

Black Males

Black Females

Black Females

CDC 2003 (WISQARS)

A tragic trend

Suicide rate per 100,000 population

Suicides, United States

Number

Male

1999 224.43

1005

Female

1990 224.43

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Why the Increase?

- Black Box warnings
- Economic pressure on families
- Insurance, access to treatment
- Shift from cocaine to opioids, pain killers
- Social media

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Diagnostic Criteria for Depression

# Major Depression An Important Social Problem

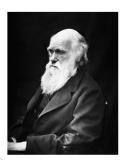
- Common: Point prevalence of 2-7%
- Leading cause of disability worldwide
- 20m Americans affected (compared to 13.5m with coronary heart disease)
- Mortality rate elevated 2.6x
- > Depression is a social policy priority



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# **Evolution and Depression:** Is Depression Adaptive?



"Pain or suffering of any kind, if long continued, causes depression and lessens the power of action; yet it is well adapted to make a creature guard itself against any great or sudden evil."

Charles Darwin (1887)

Thoughts of death or suicide

Depressed or irritable mood

Weight or appetite change

Worthlessness or guilt

Sleep difficulties

Anhedonia, loss of interest or pleasure

Psychomotor agitation or retardation

Concentration or memory problems

# Is Depression Adaptive?

- Adaptive warning mechanism
- Functionally similar to pain
- Inhibits individual from pursuing unattainable goals
- Decreased motivation; energy saved until new goal identified

Neese, R. Arch. Gen Psychiat. (2000)

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6.

# Goal Adjustment Capacity

- 97 girls; 15-19 years old
- Longitudinal assessment; 19 months
- Assess disengagement from personally salient unattainable goals and re-engagement with new goals
- ➤ Mildly depressed youth disengage more easily from unattainable goals
- ➤Those who disengage easily are less likely to experience more severe depression later; lower c-reactive protein (associated with inflammation)

Wrosch, C. & Miller, G. (2009) JPSP



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# The Three Rivers

- ➤ Developmental experience
  - "It's the environment, how you're raised"
- ➤ Biological processes

"It's all in your brain"

**≻**Cognition

"It's all how you look at it"



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**Vulnerability for Depression** 

- 1. Biological (Genetic) Factors
- 2. Negative Life Events
- 3. Early Experience & Insecure Attachment
- 4. Affect Regulation
- 5. Social Behavior; Social Support
- 6. Cognitive Biases / Deficits

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# Biological Systems (Negative Valence – Loss)

- Genes: MAOA, COMT, DAT1, 5HTTR, 5HTRs
- Brain: Amygdala, DLPFC, VMPFC, Insula, Cingulate, Hippocampus, Striatum
- Physiology: ANS, HPA



Biological Systems (Positive Valence – Reward)

- Genes: DAT, DRD2, TREK1
- Brain: Anterior Insula, Lateral Hypothalamus, Medial OFC, Nucleus Accumbens, Ventromedial PFC
- Physiology: Endocannabinoids, Glutamate, FosB, Orexin, Dopamine



# Cognitive Vulnerability-I

1. Beck Tacit Beliefs or Schema, Cognitive

Distortions, Sociotropy, Autonomy,

**Automatic Thoughts** 

2. Rehm Self-Control Deficits, Self

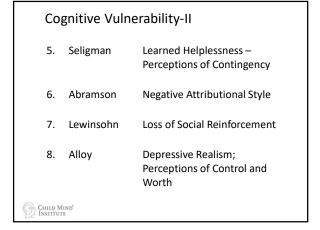
Reinforcement

3. D'Zurilla Social Problem-Solving Deficits

4. Garber Affect Regulation

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# Cognitive Vulnerability-III

9. Freeman Decreased mastery and

pleasure

9. Joiner Excessive Reassurance

Seeking

10. Nolen-Hoeksema Ruminative Style

11. Ingram Self-focused Attention

12. Higgins Self-Concept Discrepancy

Strauman

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# Cognitive Vulnerability-IV (provisional)

 Gotlib Perceptual disengagement Joorman from distressing stimuli

2. Reinecke Sensitivity to reward, loss Breiter

\_\_\_\_\_\_

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# Cognitive Vulnerability - Unitary Construct?

Ginsburg et al. JCCAP (2010)

- Factor structure of self-report scales assessing depression-relevant cognitions (BHS, CNCEQ, CTI-C, DAS, SPSI-R)
- 390 adolescents with major depression
- · Four factor solution:
- 1. Cognitive Distortions and Maladaptive Beliefs (DAS, CNCEQ)
- 2. Cognitive Avoidance (SPSI-R; ICS, AS, NPO)
- 3. Positive Outlook (CTI-C, BHS)
- 4. Solution-Focused Thinking (SPSI-R; PPO, RPS)
- Maladaptive cognitions were positively related to severity of depression and predicted treatment response.



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### Assessment

- Children's Depression Rating Scale (CDRS-R)
- Reynolds Adolescent Depression Scale (RADS-2)
- Children's Depression Inventory (CDI-2)
- Reynolds Suicide Ideation Questionnaire (RSIQ)
- Columbia Suicide Severity Rating Scale (C-SSRS)



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### Measures of Cognitive Mediators

- Automatic Thoughts Questionnaire (ATQ)
- Young-Brown Schema Questionnaire (YBSQ-R)
- Dysfunctional Attitudes Scale (DAS)
- Social Problem-Solving Inventory (SPSI-R)
- Attributional Style Questionnaire (ASQ)
- Inventory of Parent & Peer Attachment (IPPA)
- Cognitive Bias Questionnaire (CBQ, CNCEQ)



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# Core Cognitive Vulnerabilities

### Depression

- 1. Negative Attributional Style (Alloy et al., 2008)
- 2. Dysfunctional Attitudes (Beck, 1987)
- 3. Ruminative Style (Nolen-Hoeksema et al., 3. Fear of Negative 2008)

### Anxiety

- 1. Anxiety Sensitivity (McNally, 1994)
- 2. Intolerance of Uncertainty (Dugas et al., 2004)
- Evaluation (Watson & Friend, 1999)



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# Therapeutic Relationship in CBT

- 1. Accurate empathy
- 2. Warmth
- 3. Genuineness
- 4. Rapport, "harmonious accord", acceptance
- 5. Collaboration
- 6. Empiricist orientation
- 7. Patient and parent feel "understood"



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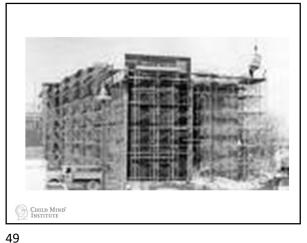
Nurturant Frame

Adult "Frames" in Child Development

- Protective Frame
- Instrumental Frame
- Feedback Frame
- Modeling Frame
- Discourse-Conversation Frame
- Memory Frame

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Modular CBT

(Reinecke, 2002; Curry & Reinecke, 2003)

- Empirically-supported techniques
- Formulation based
- Flexible, individually-tailored
- Prescriptive interventions
- Targets identified vulnerability and maintaining factors
- Addresses social environment in which beliefs and coping skills are acquired and function



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**CBT Individual Modules** 

- 1. Mood Monitoring
- 2. Goal-Setting
- 3. Behavioral Activation/Pleasant Activities
- 4. Problem-Solving
- 5. Cognitive Restructuring
- 6. Relaxation
- 7. Affect Regulation
- 8. Social Interaction
- 9. Assertion
- 10. Communication



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# **CBT Family Modules**

- 1. Rationale and Goal-Setting
- 2. Psychoeducation about CBT
- 3. High Expectations and Low Reinforcement
- 4. Family Problem-Solving
- 5. Family Communication (EE)
- 6. Attachment and Re-commitment
- 7. Contingency Management



Core versus Non-Core Modules

- Core modules are hypothesized to be relevant for most depressed adolescents, can be placed first
- Core = "Required" across cases and sites, to reduce site x treatment interactions
- Transdiagnostic interventions (Barlow)?
- · Address strength or deficit?

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### **CBT Formulation Variables**

- 1. Automatic thoughts, Images
- 2. Schema, Tacit beliefs, Assumptions (If\_\_\_\_\_; Then\_\_\_\_
- 3. Attributional Style, Hopelessness, Helplessness
- 4. Problem Solving, Problem-Solving Motivation, Self-Efficacy
- 5. Sociotropy-Autonomy
- 6. Ruminative Style, Disengagement
- 7. Distortions, Perceptual & Memory Bias, Reward-Loss Sensitivity
- 8. Attachment Style (Secure, Insecure, Disorganized)
- 9. Affect Regulation, "Mood Repair"
- 10. Self-Discrepancy
- 11. Social Skills, Social Support
- 12. Major & Minor Life Events
- 13. Coping (positive & maladaptive)
- 14. Family Environment



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# **CBT Modules in Practice**



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# Psychoeducation / Socialization

### ➤ Nature of depression:

- Basic human emotion; mood fluctuations are normal
- Cognitive, affective, physiological, and behavioral components

### ➤ Etiological mechanisms:

- Biological vulnerabilities
- Learning history (negative events, stress)
- Cognitive biases (negative filter)

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# Rationale

- Presentation of the model, basic concepts
- Process and procedures of CBT
- What we will be doing and why
- Assess understanding and acceptance



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# **Behavioral Activation**

- Increasing pleasant, non-harmful activities
- Rekindling hedonic capacity
- Challenging the belief that activities cannot be enjoyable
- Pleasant Activity Scheduling
  - Mastery, Pleasure, Social, Value



# **Increasing Pleasant Activities**

- Generate list of activities the adolescent likes or would like to do
- Obtain a baseline
- Select 2-3 target activities to increase
- · Rate mood daily
- Note connection between activities and mood

Lewinsohn Pleasant Activity Schedule. In: E. Beckham & W. Leber (Eds.) (1985). <u>Handbook of depression</u>. Homewood: Dorsey Press.



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# Sample PES Items (Lewinsohn)

- 1. Being in the country
- 2. Wearing expensive or formal clothes
- 3. Making contributions to religious, charitable, or other groups
- 4. Talking about sports
- 5. Meeting someone new of the same sex
- 6. Taking tests when well prepared
- 7. Going to a rock concert
- 8. Playing baseball or softball
- 9. Planning trips or vacations
- 10. Buying things for myself
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- 11. Being at the beach
- 12. Doing art work (painting, sculpture, drawing, movie-making,
- 13. Rock climbing or mountaineering
- 14. Reading the Scriptures or other sacred works
- 15. Playing golf
- 16. Taking part in military activities
- 17. Re-arranging or redecorating my room or house
- 18. Going naked
- 19. Going to lectures or hearing speak

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# Cognitive Restructuring

- ➤ Cognitive Triad:
  - Self
  - World
  - Future
- ➤ Dysfunctional Thought Record (DTR)
  - Situation
  - Automatic thought (AT)
  - Mood rating (both positive and negative)
  - Rational response (RR)



HAVEN'T MET

IN YEARS

ANYONE INTERESTING

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# Cognitive Distortions (Beck & Ellis)

- All or None Thinking
- Catastrophizing
- Disqualifying the positive
- Negative filter
- Fortune telling
- Mind reading

- Perfectionism
- Should statements
- Probability overestimation
- Magnification
- Minification
- Overgeneralization

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- Socratic method
- Treat thoughts as hypotheses, not facts
- Generate alternative hypotheses
- Construct and conduct behavioral experiments
- Evaluate the evidence
- Revise the thought (Rational Response)



65 66

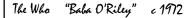
WHAT AM - CHOPPED

# Adolescent Egocentrism (Elkind)

- Misapplication of Hypothetico-Deductive Reasoning
- 1. Personal Fable
- 2. Imaginary Audience
- 3. Illusion of Invulnerability



67 68



Sally, take my hand We'll travel south cross land Put out the fire And don't look past my shoulder.

The exodus is here
The happy ones are near
Let's get together
Before we get much older.

Teenage wasteland It's only teenage wasteland. Teenage wasteland Oh, yeah Its only teenage wasteland They're all wasted!



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# Pearl Jam "Nothingman" c 1992

once divided...nothing left to subtract...
some words when spoken...can't be taken back...
walks on his own...with thoughts he can't help thinking...
future's above...but in the past he's slow and sinking...
caught a bolt 'a lightnin'...cursed the day he let it go...
nothingman... nothingman
isn't it something?
nothingman...
she once believed...in every story he had to tell...
one day she stiffened...took the other side...
empty stares...from each corner of a shared prison cell...
one just escapes...one's left inside the well...
and he who forgets...will be destined to remember...
nothingman...nothingman
isn't it something?
nothingman...





71 72



70

# Green Day "I Walk Alone" c 2002

I walk a lonely road The only one that I have ever known Don't know where it goes But it's home to me and I walk alone

I walk this empty street On the Boulevard of broken dreams Where the city sleeps And I'm the only one and I walk alone

I walk alone I walk alone I walk alone

My shadow's the only one that walks beside me My shallow hearts the only thing that's beating Sometimes I wish someone out there would find me 'Til then I walk alone I walk alone I walk alone

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# The Neighbourhood "Everybody's Watching Me" c.2013

I told you I would tell you everything you want to know You want me to tell you now You pressure me to shout it Need to hear about it

Think that I would count you out I let you find it on your own

Then I found myself alone Uh oh, where can I go? Everybody's watching me Uh oh, where can I go?

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# Edward Hopper "Nighthawhr" c 1942

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# Disputing Automatic Thoughts "Three Essential Questions"

- 1. What's the evidence? For and against.
- 2. Is there another, more adaptive, way of looking at this? "On the other hand"
- 3. So what?
  - Decatastrophize
  - What is the solution?



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# Franklin's Socratic Questioning

- Build the argument through gentle queries
- Drop "any abrupt contradiction" style
- Be a "humble enquirer" by asking innocent questions
- Draw the person into making concessions that gradually prove your point

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# The Disputatious Style



"Being disputatious [is] a very bad habit." [Confronting people produces] "disgusts and perhaps enmities." "Persons of good sense, I have since observed, seldom fall into it, except lawyers, university men, and men of all sorts that have been bred at Edinburgh."

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Social Problem-Solving (D'Zurilla, Nezu, Curry)

- R Relax
- I Identify the problem
- B Brainstorm possible solutions
- E Evaluate each one
- Y 'Yes' to one (or two)
- E Encourage yourself, reinforce



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Dear Problem-Solver #2

Dear Problem Solver,

My problem is my math teacher. She's a real pain. Last week she was on my case for not getting my work done. She said she didn't care that I had to work extra hours at my job. She said it was my responsibility. She just doesn't seem to like me. She says I have an "attitude." The truth is, I just don't like math and I never have. Why do we have to take algebra anyway? What a waste. Now I'm behind in the course and I can't follow what the teacher is saying and if I flunk I won't graduate. It's getting bad. What should I do?

Sincerely, Anxious in Akron



Tacit Beliefs / Schemata

- · Generalized, tacit beliefs
- · Organize perception, memory, problem solving
- Learning history may shape an individual's core views of self, others, future
- I am unlovable, vulnerable, unworthy, flawed, lack efficacy
- 2. World is unsafe, others are unreliable
- 3. No hope for my future

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Dear Problem-Solver #1

Dear Problem Solver,

Last Saturday I was driving my father's car to the store. I was close to being late so I was going pretty fast. As I turned a corner the car slid over and I scraped a tree. I got the steering under control, but was pretty shook up. After I stopped I looked at the car. There is a big scratch on the passenger side. After work I brought the car home. The next day Dad went on a trip. He's coming home in 3 days, and doesn't know about the scratch. I'm afraid to tell him because he might ground me. I need to get to school and to work, and I invited my girlfriend to a club next weekend. She's been looking forward to it for weeks. What should I do?

Sincerely, Scared in Sandusky

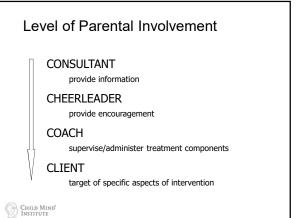
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**Problem Solving Worksheet** 

- Relax: The method I used to relax and calm my feelings was:
- 2. Identify: The problem I tied to solve was:
- 3. Brainstorm: The possible solutions I thought of were:
- 4. Evaluate: The consequences I considered were:
- 5. Yes to One: The solution I decided was:
- 6. Encourage: To encourage myself I:

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Family CBT Strategies

- Contingency contracting
- Communication training
- Means-End Problem Solving
- Negotiation skills
- Criticism-Demands : Affection-Support



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**Relapse Prevention** 

- 1. Identify preferred modules
- 2. Identify high risk settings, events
- 3. Transfer of responsibility for treatment
- 4. Develop relapse prevention plan
- 5. Fading sessions
- 6. Booster sessions

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Advanced CBT Strategies



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Facilitating Secure Attachment

- Elicit positive relationship history, memory
- Discuss current relationship
- Develop "image" of desired relationship
- Discuss behaviors that would rekindle a more positive relationship
- Emphasize:
  - 1. Reliability (Predictable)
  - 2. Responsiveness
  - 3. Affection, Kindness (Non-punitive)

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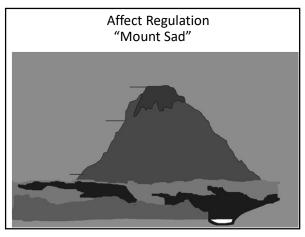
# Affect Regulation

# "Keeping Feelings Under Control"

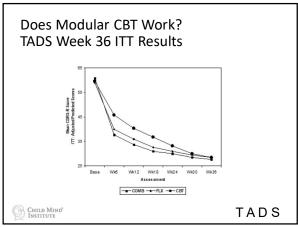
- 1. Emotions Thermometer or Volcano
- 2. Label endpoints
- 3. Identify physiological, behavioral, or psychological cues of escalation
- 4. Identify "critical point"
- 5. Plan specific actions, coping strategies
- 6. Involve parents
- 7. Rehearsal and reinforcement
- 8. Identify 1 or 2 high risk scenarios, prepare



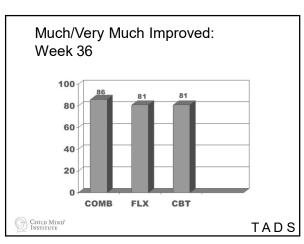
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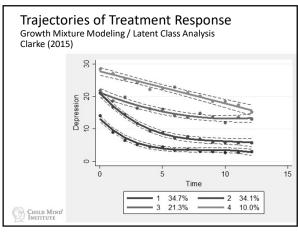
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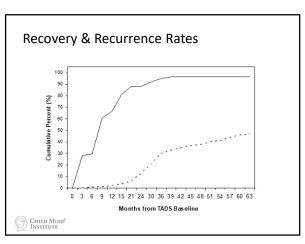
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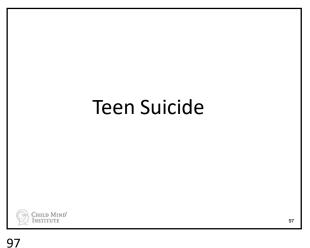


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Mental Illness: A Risk Factor for Teen Suicide ■ Key suicide risk factor for all age groups is an undiagnosed, untreated or ineffectively treated mental disorder ■ 90% of people who die by suicide have a mental disorder ■ In teens, suicide risk is most clearly linked to 7 mental disorders,  $often\ with\ overlapping\ symptoms:$ Major Depressive Disorder Conduct Disorder Bipolar Disorder Eating Disorders Generalized Anxiety Disorder Schizophrenia Substance Use Disorders

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Common Diagnoses Among Suicidal Teens						
	MALE (N=213)	FEMALE (N=46)				
Depression	50%	69%				
Antisocial	43%	24%				
Substance Abuse	38%	17%				
Anxiety	19%	48%				
	Approximately 2/3 of 16-19 year-old male suicide completers have a history of substance or alcohol abuse					
CHILD MIND' Brent et al. 1999, Shaffer et al. 1996						

Frequency of Suicidal Thoughts and Attempts RATE Ν Ideation 19.0% 3.8 million Attempt 8.8% 1.8 million 520,000 Attempt received 2.6% medical attention Completed Suicide .008% 1,611 Anderson 2002; Grunbaum et al. 2002 (15-19 year old high school students) CHILD MIND' INSTITUTE

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Episo Per Y	sodes of Suicidal Thoughts Year					
	1	45%				
	2	24%				
	3 or More	31%				
	Reifman & Windle 1995; ""How often have you thought about killing yourself?"; past year, N=698; last 6 months, N=283)					
CHILD MIND INSTITUTE						

**Number of Teen Suicide Attempts** per Year 53% 2 or 3 30% 4 or More 17% Similar findings in patient studies ■ 1 attempt increases risk of another 15-fold Barter et al. 1968, Brent 1993, CDC 2002 (YRBS 2001 Codebook), Goldacre & Hawton 1985, Goldston et al. 1999, Hawton et al. 1982, Hulten 2001, Kotila 1992, Lewinsohn et al. 1994, McIntire et al. 1977, Spirito 1992, Spirito et al. 2003, Wichstrom 2000 CHILD MIND' INSTITUTE

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### CDC

### Risk Factors for Suicide - I

- · Family history of suicide
- Family history of child maltreatment
- Previous suicide attempt(s)
- History of mental disorders, particularly clinical depression
- · History of alcohol and substance abuse
- Feelings of hopelessness
- Impulsive or aggressive tendencies



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### CDC

# **Protective Factors for Suicide**

- Effective clinical care for mental, physical, and substance abuse disorders
- Easy access to a variety of clinical interventions and support for help seeking
- Family and community support (connectedness)
- Support from ongoing medical and mental health care relationships
- Skills in problem solving, conflict resolution, and nonviolent ways of handling disputes
- Cultural and religious beliefs that discourage suicide and support self-preservation



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# Social Vulnerability

- Parental psychiatric illness
- · Family history of suicide
  - (11.6% of 1<sup>st</sup> degree relatives; 15.6% of "aggressive suicides", Serotonin?)
- History of abuse, neglect, bullying
- Chaotic, punitive home environment
- Grief
- Disconnection, "drifting", "anomie"
- Homosexuality



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### CDC

### Risk Factors for Suicide - II

- Cultural and religious beliefs (e.g., belief that suicide is noble resolution)
- · Local epidemics of suicide
- Isolation, feeling of being cut off from others
- · Barriers to accessing mental health treatment
- Loss (relational, social, work, or financial)
- Physical illness
- Easy access to lethal methods
- Unwillingness to seek help, stigma



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# Psychological Vulnerability

- 1. Hopelessness, Pessimism
- 2. Impulsivity
  - 1. Aggression
  - 2. Affect regulation deficits
  - 3. Emotional lability
- 3. Impaired Problem Solving Skills
  - 1. Low assertiveness
  - 2. Social problem-solving deficits (NPO, ICS, AS)



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### Availability of Lethal Means

- Guns
  - Odds Ratio 10.4 if guns in home
  - Rate of suicide increased most during 1<sup>st</sup> year after purchase; 75/100,00)
- Bridges
- Train tracks

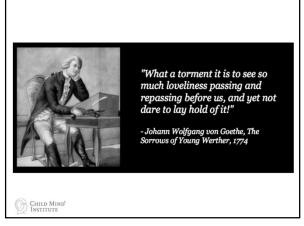


# Teen Suicide Clusters Contagion

- Goethe "Sorrows of Young Werther" (1774)
- 5% of adolescent suicides
- Media exposure, community response
- Peers, classmates (often not close friends)



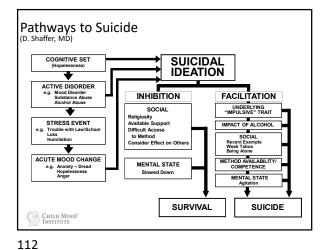
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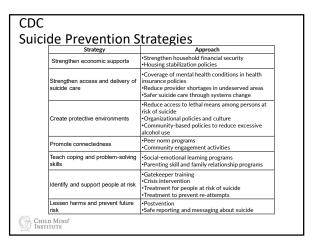
QPR Gatekeeper Training

**Question Persuade Refer** 

Ask a question, save a life

- Screening and triage
- QPR is not a risk assessment
- QPR is not a form of counseling or treatment
- · QPR does offer hope through positive action
- Appropriate for nursing staff, teachers

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# Inventories to Assess Suicidality

Beck Depression Inventory (Items "2" and "9") Reynolds Adolescent Depression Scale (RADS) Children's Depression Rating Scale (CDRS)

Beck Hopelessness Scale (BHS)

Scale for Suicide Ideation (SSI)
Reynolds Suicide Ideation Questionnaire (RSIQ)
Columbia Scale (C-SSRS)



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# Adolescent Mental Health Screening "Every Teen, Every Encounter"

- Institute of Medicine
- US Preventative Services Task Force
- American Academy of Pediatrics
- American Medical Association
- · Society for Adolescent and Medicine
- American Academy of Family Physicians
- NAMI



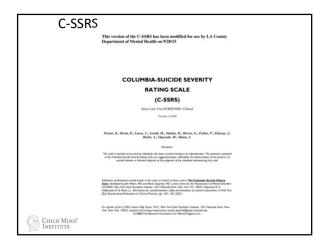
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# Assess "Perceived Lethality"

- Clarify method (Firearm, Jumping, Pills, Hanging, Auto, Train)
- "How deadly did you think this would be?" (Level of lethality may be misjudged)



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# Assess "Intent"

- 1. Preparation
- 2. Sense of "confidence" in carrying it out
- 3. Level of secretiveness
- 4. Motivation
  - Escape, surcease, solve-problems
  - · End pain and suffering, relief
  - Get back at someone, make them pay



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# Cognitive Vulnerabilities: Key Targets in Treatment

- 1. Hopelessness, helplessness, pessimism
- 2. Maladaptive beliefs about oneself, others, the future (e.g., abandonment, unlovability, rejection)
- 3. "Suicidogenic beliefs"
- 4. Impaired problem-solving, low motivation
- 5. Non-specific autobiographical recall, perceptual bias
- 6. Morbid, self-punitive perfectionism.



# TASA Protocol

**Treatment of Adolescent Suicide Attempters** 

- Safety plan
- Case management
- Chain analysis of attempt
- Address suicidal, depressive cognitions
- Enhance affect regulation

Brown et al. (2005)



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# Address Environmental Factors

- Availability of means (e.g., guns, pills)
- Family conflict (lack of support)
- Peer problems
- Academic stressors
- Social skills, supports



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On the Edge







- 1. Precipitating event
- 2. Motive
- 3. Negative affect
- 4. Hopelessness
- 5. Emotion regulation
- 6. Environmental response



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# Regular Follow-Up Helps Long-Term Contact May Reduce Risk

- 834 inpatients (MDD or suicide)
- Randomized to follow-up contact / no-contact
- Letter + 24 contacts over 5 years
- Significant reduction: 1.7% vs 3.6%



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# Summary

- Adolescent suicide is multiply determined, multiple pathways
- Statistical prediction of risk is not possible
- Model-based interventions (Shaffer; Bridge, Goldstein & Brent) facilitate formulation
- Evidence-based practices (CBT, DBT, IPT) are promising; stay close to the data
- Flexible, modular approaches allow for individuallytailored, "prescriptive", "precision" treatment



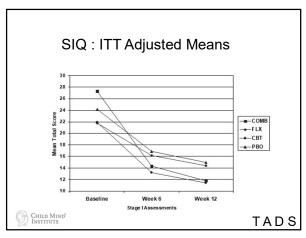
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### Teen Suicide Prevention

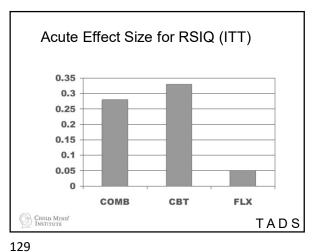
- · What can help:
- 1) Annual school-wide depression, suicide screenings
- Regular screenings by medical professionals; ""Every child, every
- Teen resources: "Text a Tip", "Safe2Say", Change the Culture
- Suicide training for adults: QPR
- Embed suicide education in coursework, workshops
- Every parent talks explicitly about suicide risk with their teens; parent
- Rapid referral network of trained clinicians; make treatment easily available, free (i.e., CWD-A)
- Increased funding for mental health clinician workforce
- More suicide research funding

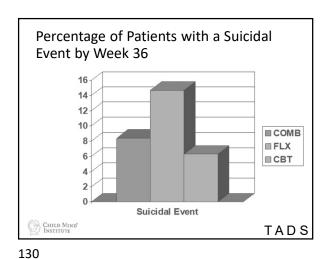
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Does CBT Work? "Robust" Early Support • Reinecke et al. (1998) ES = 1.02n=6 (CBT only) • Lewinsohn & Clarke (1999) ES = 1.27n=12 • Michael & Crowley (2002) ES = 0.72n=14 CHILD MIND INSTITUTE

25 20 15 **■ CDRS13 >2 ■** SIQ >= 31 Week 6 (TADS) Team. (2007). Archives of General Psychiatry, 64 (10), 1132-1144.

Teen Suicide Improves

with Treatment (OC)

TADS

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# The Broader View of the Literature "Curb Your Enthusiasm"

•Weisz, McCarty, & Valeri (2006)

Review of 35 controlled studies (31 of CBT)

Effect size = .34Z=4.57 P<.01

Effects show generality and specificity

"Effects are significant, but modest in their strength, breadth, and durability"



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# Why the Decline?

(Klein, Jacobs, & Reinecke, 2007)

- A common pattern in outcome research
- Increasingly severe, chronic, comorbid, and functionally impaired participants
- More stringent control conditions, randomization
- Fixed effects requires homogeneity of ES across samples (RRM may be preferred)
- ITT rather than completer analysis
- Reliance on published, peer-reviewed findings



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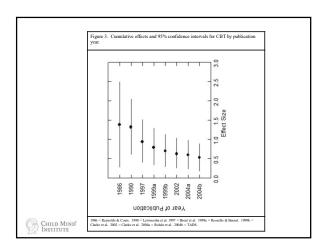
# A More Recent Review

- Zhou et al. (2015) World Psychiatry
- 52 RCT's, 116 Conditions, 9 treatments, 3805 patients
- Post-treatment: Only CBT and IPT consistently more effective than controls (SMD= -.47 to -.96)
- Follow-Up: Only CBT and IPT consistently more effective than controls (SMD= -.26 to -1.05)

"...IPT and CBT should be considered the best available psychotherapies for depression in children and adolescents"



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# A Comprehensive Review "The Kids Are All Right"

• Review of 750 treatment protocols from 435 studies.

- Scored on 5-level level of evidence
- 21 controlled studies
- "Level 1: Best Support"

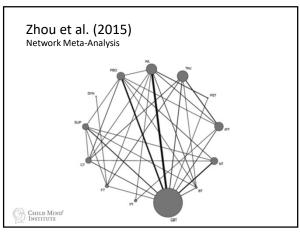
.87 (CBT Alone) Effect size = 1.47 (CBT + Rx)

.95 (CBT with parents)

Chorpita, B. et al. (2011) . Evidence-based treatments for children and adolescents: An updated review of indicators of efficacy and effectiveness. Clinical psychology: Science & practice,  $\underline{18}$  (2): 154-181.

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# Current Standards CBT is "A Recommended Treatment"

- American Psychological Association Clinical Practice Guidelines (2018)
- AACAP Work Group on Quality Issues (2007)
- NICE National Institute for Health and Care Excellence (2005)
- BESt Cincinnati Children's Hospital Medical Center Best Evidence Statement (2010)
- Society for Clinical Child and Adolescent Psychology (Level One, "Works Well")
- US Preventive Services Task Force (2009)
- CPG-Ministry of Health and Social Policy-Spain (2010)
- GLAD-PC Group Guidelines for Adolescent Depression in Primary Care (2007)



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# Modular CBT for Depression

Curry, J. & Reinecke, M. (2003). Modular therapy for adolescents with major depression. In M. Reinecke, F. Dattilio, & A. Freeman [Eds.) Cognitive therapy with children and adolescents, Z<sup>ool</sup> d. New York: Guilford Press.

Reinecke, M. & Ginsburg (2008). Cognitive-behavioral treatment of depression during childhood and adolescence. In J. Abela & B. Hankin (Eds.) *Handbook of depression in children and adolescence*. New York: Gullford Press.

Reinecke, M. & Curry, J. (2008). Adolescents. In M. Whisman (Ed.) Adapting cognitive therapy for depression: Managing complexity and comorbidity. New York: Guilford.

Curry, J. & Reinecke, M. (2010). Major depression. In J. Thomas & M. Hersen (Eds.) Handbook of clinical psychology competencies. New York: Springer.

Beidel & Reinecke, M. (2014). Cognitive-behavioral treatment for anxiety and depression. In M. Dulcan (Ed.) American Psychiatric Publishing textbook of child and adolescent psychiatry. Washington, DC: American Psychiatric Publishing.



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# Teen Suicide Readings

- Brent, D. et al. (2009). The Treatment of Adolescent Suicide Attempters Study (TASA): Predictors of suicidal events in an open treatment trial. <u>J. Am. Acad. Child Adol. Psychiat.</u>, 48, 987-996.
- Bridge, J. et al. (2006). Adolescent suicide and suicidal behavior. <u>J. Child Psychol Psychiat.</u>, 47, 372-394.
- Goldston, D. (2003) Measuring suicidal behavior and risk in adolescents.
   Washington, DC: American Psychological Association.
- Gould, M. et al. (2011). Youth suicide risk and preventive interventions. <u>J. Am. Acad. Child Adol. Psychiat.</u>, 42, 386-405.
- Spirito, A. et al. (2011). Addressing adolescent suicidal behavior: Cognitivebehavioral strategies. In P. Kendall (ed.) <u>Child and adolescent therapy: Cognitive-behavioral procedures</u>. New York: Guilford.

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### **Evidence-Based Treatments**

American Psychological Association Division 12 Clinical Psychology

American Psychological Association
Division 53
Clinical Child & Adolescent Psychology

www.clinicalchildpsychology.org

www.childmind.org

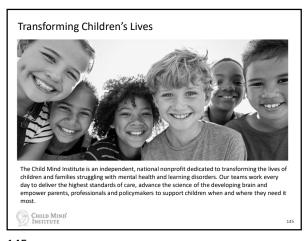
www.effectivechildtherapy.com

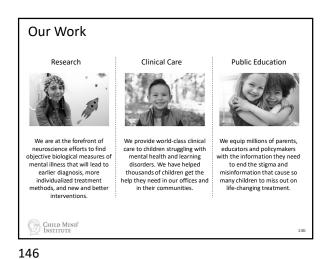


Academy of Cognitive Therapy www.academyofct.org

- · Board certification in cognitive therapy
- International, multidisciplinary
- Listserve and newsletter
- International referral list
- Training resources







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# Contact Us!

Mark A. Reinecke, PhD Child Mind Institute 2000 Alameda de las Pulgas, Suite 242 San Mateo, CA

O: (650) 931-6565

E-Mail: mark.reinecke@childmind.org



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# School-Based CBT for Depressed Children and Adolescents

Mark A. Reinecke, PhD

**Child Mind Institute** 

San Francisco Bay Area

**OSPA** 

Columbus, Ohio

November 2019











# **Educational Objectives**

# You will be familiar with:

- Diagnosis and assessment of major depression and suicide among youth
- Factors contributing to vulnerability for depression among youth
- The Socio-Cognitive Model of depression
- Cognitive-behavioral case formulation and assessment
- Modular CBT techniques and strategies



# Disclosures

Nothing to disclose



# Contributors

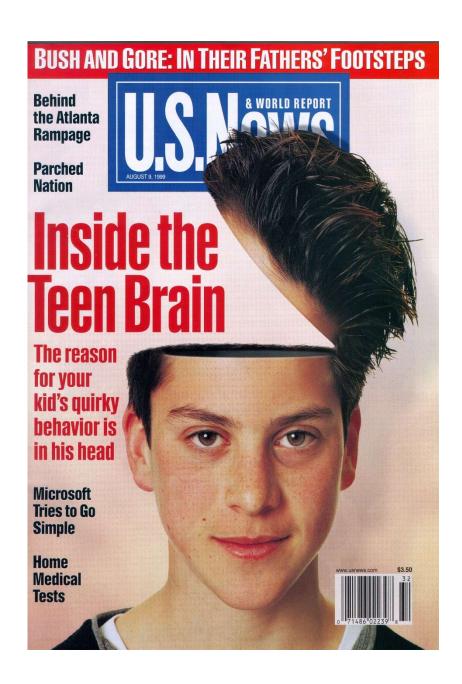
- ➤ David Brent, Peter Lewinsohn, Greg Clarke, Aaron Beck
- ➤ John March, Susan Silva, John Curry, John Fairbank, Karen Wells, Paul Rohde, Nili Benazon, Golda Ginsburg, Michael Sweeney, Norah Feeney, Jeanette Kolker, Randy LaGrone, Anne Simons, Betsy Kennard, Chris Kratochvil,
- ➤ Rachel Jacobs, Kelsey Howard, Lev Gottlieb, Sarah O'Dor, Emily Becker-Weidman, Greg Rogers, Allison Clarke, Michal Rischall



# Child & Adolescent Depression: An Overview









## Epidemiology (1)

<ul><li>Depression</li></ul>	2-7%
<ul> <li>Dysthymia</li> </ul>	5-10%
<ul> <li>Separation Anxiety Disorder</li> </ul>	2-5%
<ul> <li>Generalized Anxiety Disorder</li> </ul>	3-4%
• Simple Phobia	2-3%
• ADHD	6-10%
<ul> <li>Oppositional Disorder</li> </ul>	6-10%
<ul> <li>Conduct Disorder</li> </ul>	3-5%



### Epidemiology (2)

Prepubertal: males = females

• Adolescence: females rise, males stable

Dysthymia > Major Depression

Moderate stability

• High recurrence rates







## Lifetime Prevalence Major Depression

Males Females

Adolescents12%24%

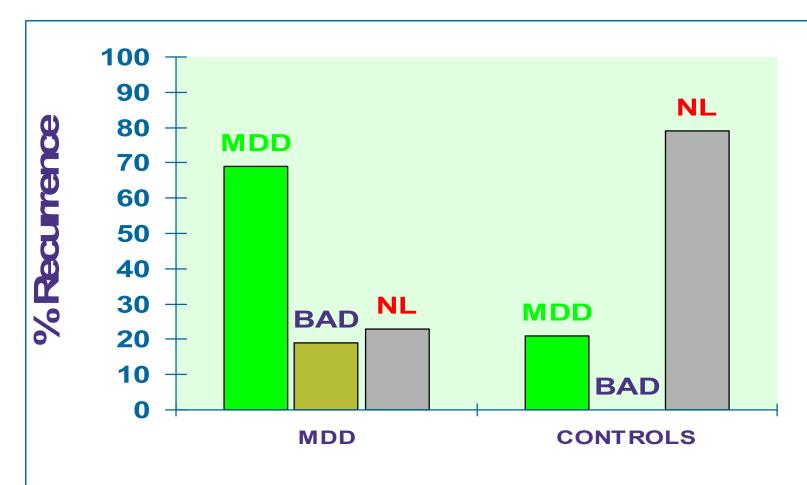
• Adults 14% 23%

Kessler et al. (2005) Lewinsohn et al. (1993)

➤ How can we understand the gender difference in rate, recurrence?



### Seven Year Follow Up of MDE



Rao et al (1995), JAACAP, 34:566-578



#### **Substance Abuse**







Rank	<1	1-4	5-9	10-14	15-24	25-34	35-44	45-54	55-64	65+	Total
1	Congenital Anomalies 4,816	Unintentional Injury 1,261	Unintentional Injury 787	Unintentional Injury 847	Unintentional Injury 13,895	Unintentional Injury 23,984	Unintentional Injury 20,975	Malignant Neoplasms 41,291	Malignant Neoplasms 116,364	Heart Disease 507,118	Heart Disease 635,260
2	Short Gestation 3,927	Congenital Anomalies 433	Malignant Neoplasms 449	Suicide 436	Suicide 5,723	Suicide 7,366	Malignant Neoplasms 10,903	Heart Disease 34,027	Heart Disease 78,610	Malignant Neoplasms 422,927	Malignant Neoplasms 598,038
3	SIDS 1,500	Malignant Neoplasms 377	Congenital Anomalies 203	Malignant Neoplasms 431	Homicide 5,172	Homicide 5,376	Heart Disease 10,477	Unintentional Injury 23,377	Unintentional Injury 21,860	Chronic Low. Respiratory Disease 131,002	Unintentional Injury 161,374
4	Maternal Pregnancy Comp. 1,402	Homicide 339	Homicide 139	Homicide 147	Malignant Neoplasms 1,431	Malignant Neoplasms 3,791	Suicide 7,030	Suicide 8,437	Chronic Low. Respiratory Disease 17,810	Cerebro- vascular 121,630	Chronic Low. Respiratory Disease 154,596
5	Unintentional Injury 1,219	Heart Disease 118	Heart Disease 77	Congenital Anomalies 146	Heart Disease 949	Heart Disease 3,445	Homicide 3,369	Liver Disease 8,364	Diabetes Mellitus 14,251	Alzheimer's Disease 114,883	Cerebro- vascular 142,142
6	Placenta Cord. Membranes 841	Influenza & Pneumonia 103	Chronic Low. Respiratory Disease 68	Heart Disease 111	Congenital Anomalies 388	Liver Disease 925	Liver Disease 2,851	Diabetes Mellitus 6,267	Liver Disease 13,448	Diabetes Mellitus 56,452	Alzheimer's Disease 116,103
7	Bacterial Sepsis 583	Septicemia 70	Influenza & Pneumonia 48	Chronic Low Respiratory Disease 75	Diabetes Mellitus 211	Diabetes Mellitus 792	Diabetes Mellitus 2,049	Cerebro- vascular 5,353	Cerebro- vascular 12,310	Unintentional Injury 53,141	Diabetes Mellitus 80,058
8	Respiratory Distress 488	Perinatal Period 60	Septicemia 40	Cerebro- vascular 50	Chronic Low Respiratory Disease 206	Cerebro- vascular 575	Cerebro- vascular 1,851	Chronic Low. Respiratory Disease 4,307	Suicide 7,759	Influenza & Pneumonia 42,479	Influenza & Pneumonia 51,537
9	Circulatory System Disease 460	Cerebro- vascular 55	Cerebro- vascular 38	Influenza & Pneumonia 39	Influenza & Pneumonia 189	HIV 546	HIV 971	Septicemia 2,472	Septicemia 5,941	Nephritis 41,095	Nephritis 50,046
10	Neonatal Hemorrhage 398	Chronic Low Respiratory Disease 51	Benign Neoplasms 31	Septicemia 31	Complicated Pregnancy 184	Complicated Pregnancy 472	Septicemia 897	Homicide 2,152		Septicemia 30,405	Suicide 44,965





## Causes of Death Among 15 to 19 Year-Olds (2003)

CAUSE	# OF DEAT	HS
Accidents	6646 #	1
Homicide	1899 #2	2
Suicide	1611 #3	3
Cancer	732	
<b>Heart Disease</b>	347	
<b>Congenital Anomalies</b>	<b>255</b>	
<b>Chronic Lower</b>		4500
<b>Respiratory Disease</b>	74	1599
Stroke	68	
Influenza and Pneumonia	a 66	
Blood Poisoning	57	

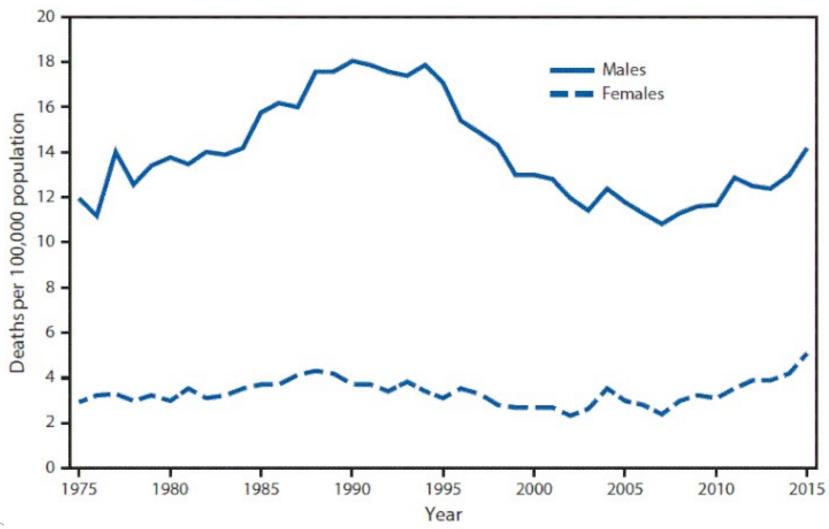


## Causes of Death Among 15 to 24 Year-Olds (2016)

CAUSE	<b># OF DEATH</b>	<b>4S</b>
Accidents	13859 #	1
Homicide	5172 #3	3
Suicide	5723 #2	2
Cancer	1431	
<b>Heart Disease</b>	949	
<b>Congenital Anomalies</b>	388	
Diabetes	211	3558
Respiratory	206	
Influenza and Pneumonia	a 189	
<b>Complicated Pregnancy</b>	184	



# Adolescent Suicide Rates (CDC, 2017)

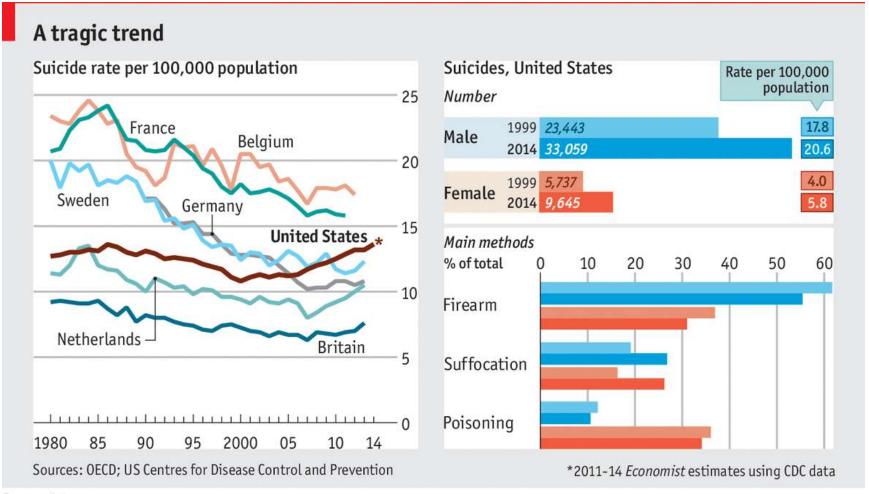




#### Adolescent Suicide Rates

- Suicide rates decreased between 1990 and 2003 as antidepressant prescriptions increased
- Suicide rates increased 18% from 2003-2004 due to decreased antidepressant use
- Rate doubled for 15-19 year-old females between 2007 and 2015
- Rate increased by 30% for 15-19 year-old males between 2007 and 2015

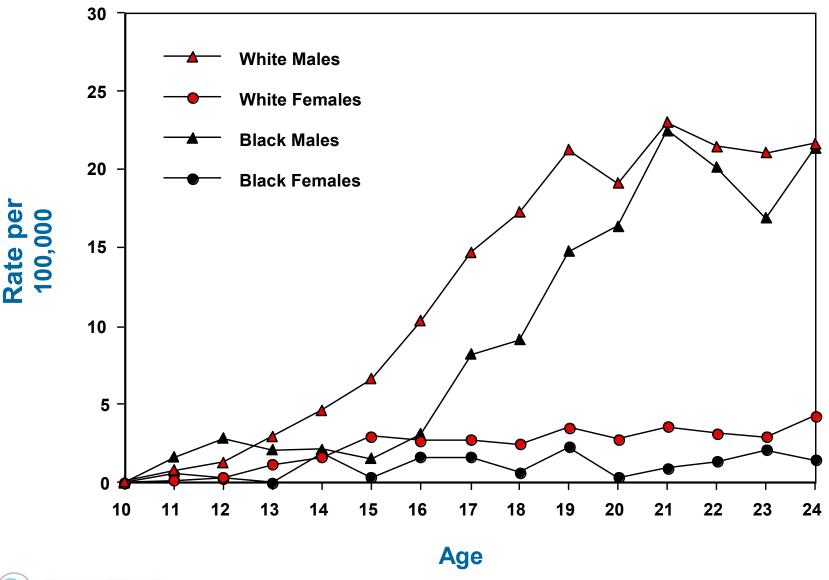




Economist.com



## Youth Suicide Rates by Gender





### Why the Increase?

Black Box warnings

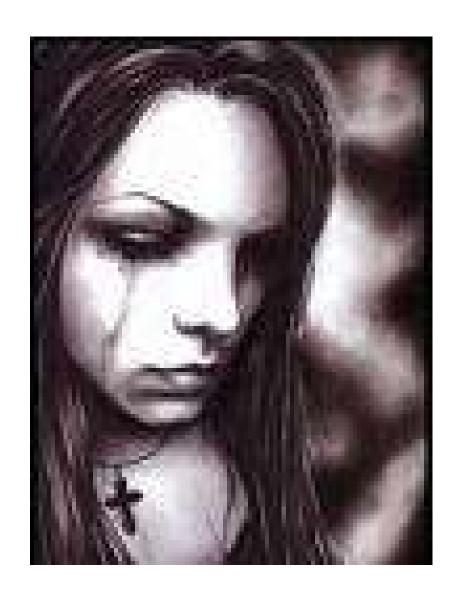
Economic pressure on families

Insurance, access to treatment

• Shift from cocaine to opioids, pain killers

Social media











## Major Depression An Important Social Problem

- Common: Point prevalence of 2-7%
- Leading cause of disability worldwide
- 20m Americans affected (compared to 13.5m with coronary heart disease)
- Mortality rate elevated 2.6x
- Depression is a social policy priority

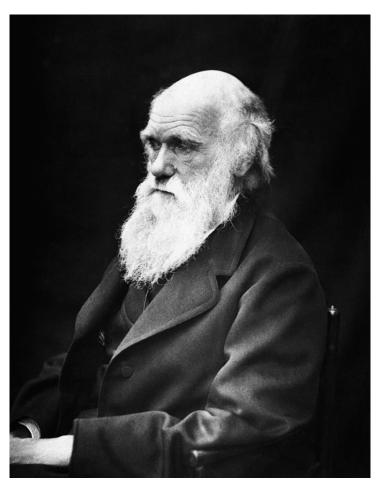


## Diagnostic Criteria for Depression

- 1. Depressed or irritable mood
- 2. Anhedonia, loss of interest or pleasure
- 3. Weight or appetite change
- 4. Sleep difficulties
- 5. Psychomotor agitation or retardation
- 6. Fatigue
- 7. Worthlessness or guilt
- 8. Concentration or memory problems
- 9. Thoughts of death or suicide



# Evolution and Depression: Is Depression Adaptive?



"Pain or suffering of any kind, if long continued, causes depression and lessens the power of action; yet it is well adapted to make a creature guard itself against any great or sudden evil."

Charles Darwin (1887)



## Is Depression Adaptive?

- Adaptive warning mechanism
- Functionally similar to pain
- Inhibits individual from pursuing unattainable goals
- Decreased motivation; energy saved until new goal identified

Neese, R. Arch. Gen Psychiat. (2000)



## Goal Adjustment Capacity

- 97 girls; 15-19 years old
- Longitudinal assessment; 19 months
- Assess disengagement from personally salient unattainable goals and re-engagement with new goals
- ➤ Mildly depressed youth disengage more easily from unattainable goals
- Those who disengage easily are *less* likely to experience more severe depression later; lower c-reactive protein (associated with inflammation)

Wrosch, C. & Miller, G. (2009) JPSP







#### The Three Rivers

Developmental experience

"It's the environment, how you're raised"

Biological processes
"It's all in your brain"

➤ Cognition

"It's all how you look at it"



#### **Vulnerability for Depression**

- 1. Biological (Genetic) Factors
- 2. Negative Life Events
- 3. Early Experience & Insecure Attachment
- 4. Affect Regulation
- 5. Social Behavior; Social Support
- 6. Cognitive Biases / Deficits



## Biological Systems (Negative Valence – Loss)

• Genes: MAOA, COMT, DAT1, 5HTTR, 5HTRs

Brain: Amygdala, DLPFC, VMPFC, Insula,
 Cingulate, Hippocampus, Striatum

Physiology: ANS, HPA



### Biological Systems (Positive Valence – Reward)

Genes: DAT, DRD2, TREK1

 Brain: Anterior Insula, Lateral Hypothalamus, Medial OFC, Nucleus Accumbens, Ventromedial PFC

 Physiology: Endocannabinoids, Glutamate, FosB, Orexin, Dopamine



#### Cognitive Vulnerability-I

 Beck Tacit Beliefs or Schema, Cognitive Distortions, Sociotropy, Autonomy, Automatic Thoughts

2. Rehm Self-Control Deficits, Self Reinforcement

- 3. D'Zurilla Social Problem-Solving Deficits
- 4. Garber Affect Regulation



#### Cognitive Vulnerability-II

5. Seligman Learned Helplessness –

Perceptions of Contingency

6. Abramson Negative Attributional Style

7. Lewinsohn Loss of Social Reinforcement

8. Alloy Depressive Realism;

Perceptions of Control and

Worth



#### Cognitive Vulnerability-III

9. Freeman Decreased mastery and

pleasure

9. Joiner Excessive Reassurance

Seeking

10. Nolen-Hoeksema Ruminative Style

11. Ingram Self-focused Attention

12. Higgins Self-Concept Discrepancy Strauman



# Cognitive Vulnerability-IV (provisional)

Gotlib
 Joorman

Perceptual disengagement from distressing stimuli

ReineckeBreiter

Sensitivity to reward, loss



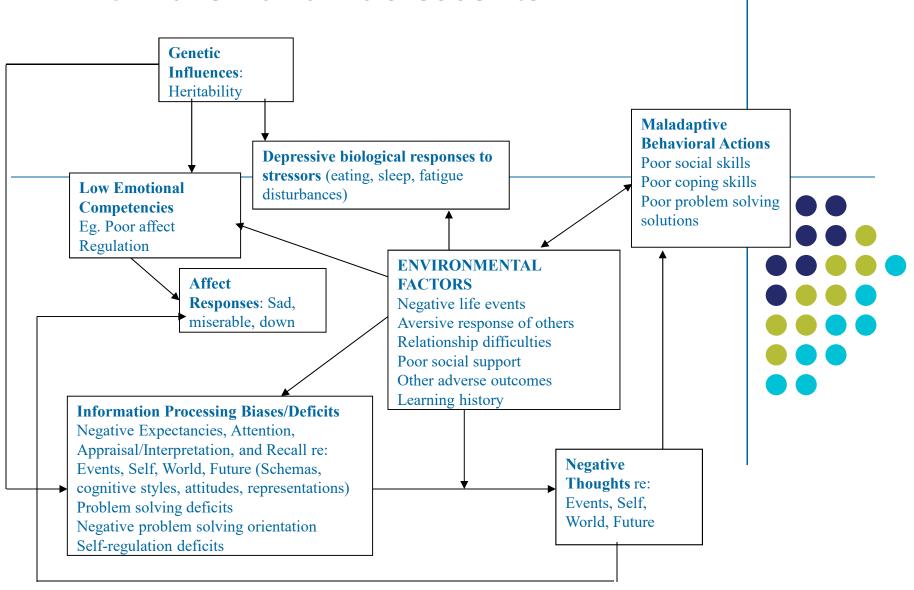
#### Cognitive Vulnerability – Unitary Construct?

#### Ginsburg et al. JCCAP (2010)

- Factor structure of self-report scales assessing depression-relevant cognitions (BHS, CNCEQ, CTI-C, DAS, SPSI-R)
- 390 adolescents with major depression
- Four factor solution:
- 1. Cognitive Distortions and Maladaptive Beliefs (DAS, CNCEQ)
- 2. Cognitive Avoidance (SPSI-R; ICS, AS, NPO)
- 3. Positive Outlook (CTI-C, BHS)
- 4. Solution-Focused Thinking (SPSI-R; PPO, RPS)
- Maladaptive cognitions were positively related to severity of depression and predicted treatment response.



# Socio-Cognitive Model of Depression in Children and Adolescents



#### **Assessment**

- Children's Depression Rating Scale (CDRS-R)
- Reynolds Adolescent Depression Scale (RADS-2)
- Children's Depression Inventory (CDI-2)
- Reynolds Suicide Ideation Questionnaire (RSIQ)
- Columbia Suicide Severity Rating Scale (C-SSRS)



#### Measures of Cognitive Mediators

- Automatic Thoughts Questionnaire (ATQ)
- Young-Brown Schema Questionnaire (YBSQ-R)
- Dysfunctional Attitudes Scale (DAS)
- Social Problem-Solving Inventory (SPSI-R)
- Attributional Style Questionnaire (ASQ)
- Inventory of Parent & Peer Attachment (IPPA)
- Cognitive Bias Questionnaire (CBQ, CNCEQ)



### Core Cognitive Vulnerabilities

#### **Depression**

- 1. Negative Attributional Style (Alloy et al., 2008)
- 2. Dysfunctional Attitudes (Beck, 1987)
- Ruminative Style
   (Nolen-Hoeksema et al., 2008)

#### **Anxiety**

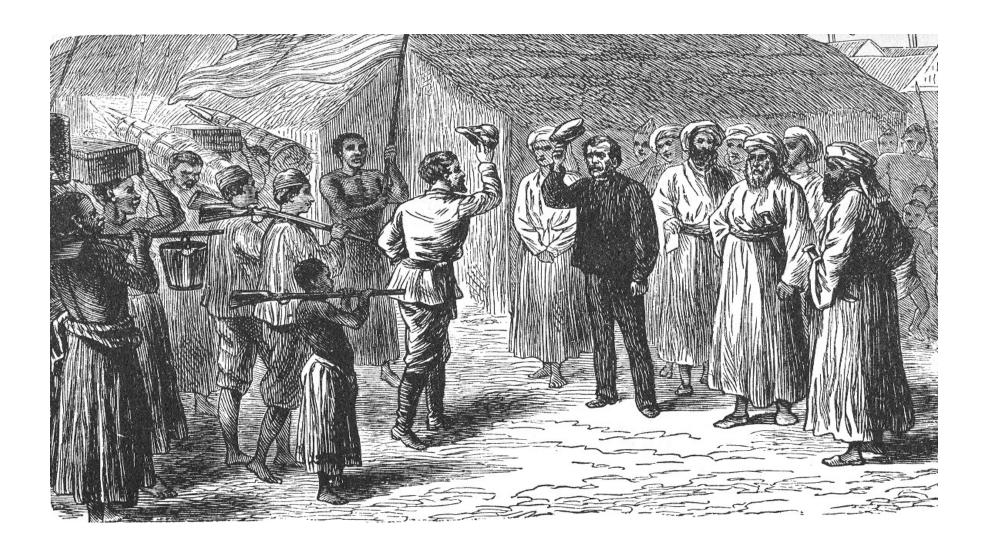
- Anxiety Sensitivity (McNally, 1994)
- 2. Intolerance of Uncertainty (Dugas et al., 2004)
- 3. Fear of Negative Evaluation (Watson & Friend, 1999)



## Therapeutic Relationship in CBT

- 1. Accurate empathy
- 2. Warmth
- 3. Genuineness
- 4. Rapport, "harmonious accord", acceptance
- 5. Collaboration
- 6. Empiricist orientation
- 7. Patient and parent feel "understood"







## Adult "Frames" in Child Development

- Nurturant Frame
- Protective Frame
- Instrumental Frame
- Feedback Frame
- Modeling Frame
- Discourse-Conversation Frame
- Memory Frame











#### Modular CBT

(Reinecke, 2002; Curry & Reinecke, 2003)

- Empirically-supported techniques
- Formulation based
- Flexible, individually-tailored
- Prescriptive interventions
- Targets identified vulnerability and maintaining factors
- Addresses social environment in which beliefs and coping skills are acquired and function



#### **CBT Individual Modules**

- 1. Mood Monitoring
- 2. Goal-Setting
- 3. Behavioral Activation/Pleasant Activities
- 4. Problem-Solving
- 5. Cognitive Restructuring
- 6. Relaxation
- 7. Affect Regulation
- 8. Social Interaction
- 9. Assertion
- 10. Communication



## **CBT Family Modules**

- 1. Rationale and Goal-Setting
- 2. Psychoeducation about CBT
- 3. High Expectations and Low Reinforcement
- 4. Family Problem-Solving
- 5. Family Communication (EE)
- 6. Attachment and Re-commitment
- 7. Contingency Management



#### Core versus Non-Core Modules

 Core modules are hypothesized to be relevant for most depressed adolescents, can be placed first

 Core = "Required" across cases and sites, to reduce site x treatment interactions

- Transdiagnostic interventions (Barlow)?
- Address strength or deficit?



#### **CBT Formulation Variables**

- 1. Automatic thoughts, Images
- 2. Schema, Tacit beliefs, Assumptions (If\_\_\_\_\_; Then\_\_\_\_)
- 3. Attributional Style, Hopelessness, Helplessness
- 4. Problem Solving, Problem-Solving Motivation, Self-Efficacy
- 5. Sociotropy-Autonomy
- 6. Ruminative Style, Disengagement
- 7. Distortions, Perceptual & Memory Bias, Reward-Loss Sensitivity
- 8. Attachment Style (Secure, Insecure, Disorganized)
- 9. Affect Regulation, "Mood Repair"
- 10. Self-Discrepancy
- 11. Social Skills, Social Support
- 12. Major & Minor Life Events
- 13. Coping (positive & maladaptive)
- 14. Family Environment







## **CBT Modules in Practice**



## Psychoeducation / Socialization

#### ➤ Nature of depression:

- Basic human emotion; mood fluctuations are normal
- Cognitive, affective, physiological, and behavioral components

#### Etiological mechanisms:

- Biological vulnerabilities
- Learning history (negative events, stress)
- Cognitive biases (negative filter)



#### Rationale

Presentation of the model, basic concepts

Process and procedures of CBT

What we will be doing and why

Assess understanding and acceptance



#### **Behavioral Activation**

Increasing pleasant, non-harmful activities

Rekindling hedonic capacity

Challenging the belief that activities cannot be enjoyable

- Pleasant Activity Scheduling
  - Mastery, Pleasure, Social, Value



### **Increasing Pleasant Activities**

- Generate list of activities the adolescent likes or would like to do
- Obtain a baseline
- Select 2-3 target activities to increase
- Rate mood daily
- Note connection between activities and mood

Lewinsohn Pleasant Activity Schedule. In: E. Beckham & W. Leber (Eds.) (1985). <u>Handbook of depression</u>. Homewood: Dorsey Press.



## Sample PES Items (Lewinsohn)

- 1. Being in the country
- 2. Wearing expensive or formal clothes
- 3. Making contributions to religious, charitable, or other groups
- 4. Talking about sports
- 5. Meeting someone new of the same sex
- 6. Taking tests when well prepared
- 7. Going to a rock concert
- 8. Playing baseball or softball
- 9. Planning trips or vacations
- 10. Buying things for myself

- 11. Being at the beach
- 12. Doing art work (painting, sculpture, drawing, movie-making, etc.)
- 13. Rock climbing or mountaineering
- 14. Reading the Scriptures or other sacred works
- 15. Playing golf
- 16. Taking part in military activities
- 17. Re-arranging or redecorating my room or house
- 18. Going naked
- 19. Going to lectures or hearing speak



## Cognitive Restructuring

#### Cognitive Triad:

- Self
- World
- Future

#### ➤ Dysfunctional Thought Record (DTR)

- Situation
- Automatic thought (AT)
- Mood rating (both positive and negative)
- Rational response (RR)

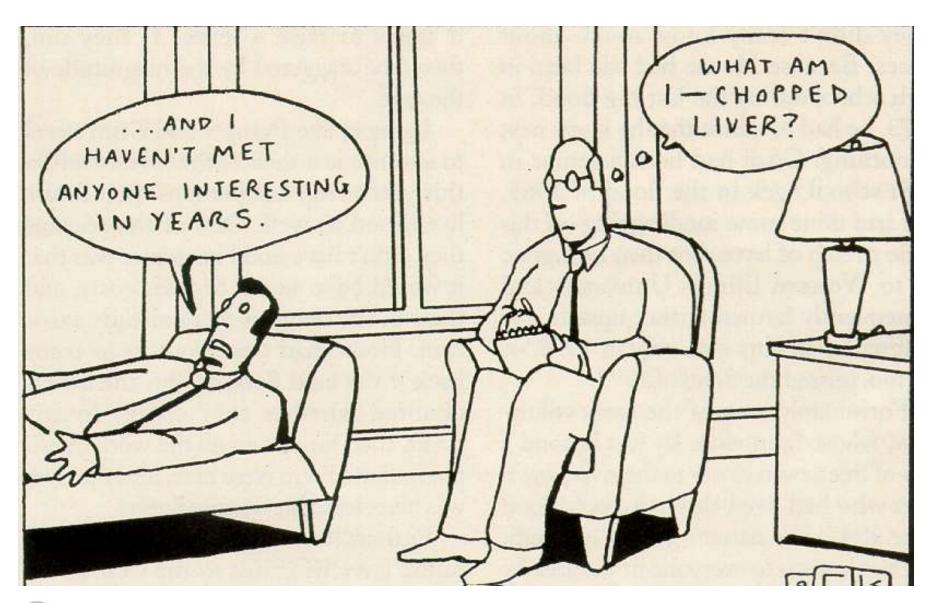


# Cognitive Distortions (Beck & Ellis)

- All or None Thinking
- Catastrophizing
- Disqualifying the positive
- Negative filter
- Fortune telling
- Mind reading

- Perfectionism
- Should statements
- Probability overestimation
- Magnification
- Minification
- Overgeneralization







### Disputing Maladaptive Thoughts

- Socratic method
- Treat thoughts as hypotheses, not facts
- Generate alternative hypotheses
- Construct and conduct behavioral experiments
- Evaluate the evidence
- Revise the thought (Rational Response)



# Adolescent Egocentrism (Elkind)

 Misapplication of Hypothetico-Deductive Reasoning

- 1. Personal Fable
- 2. Imaginary Audience
- 3. Illusion of Invulnerability





# The Who "Baba O'Riley" c 1972

Sally, take my hand
We'll travel south cross land
Put out the fire
And don't look past my shoulder.

The exodus is here
The happy ones are near
Let's get together
Before we get much older.

Teenage wasteland
It's only teenage wasteland.
Teenage wasteland
Oh, yeah
Its only teenage wasteland
They're all wasted!







# Pearl Jam "Nothingman" c 1992

once divided...nothing left to subtract... some words when spoken...can't be taken back... walks on his own...with thoughts he can't help thinking... future's above...but in the past he's slow and sinking... caught a bolt 'a lightnin'...cursed the day he let it go... nothingman... nothingman isn't it something? nothingman... she once believed...in every story he had to tell... one day she stiffened...took the other side... empty stares...from each corner of a shared prison cell... one just escapes...one's left inside the well... and he who forgets...will be destined to remember... nothingman...nothingman isn't it something? nothingman...





## Green Day "I Walk Alone" c 2002

I walk a lonely road
The only one that I have ever known
Don't know where it goes
But it's home to me and I walk alone

I walk this empty street
On the Boulevard of broken dreams
Where the city sleeps
And I'm the only one and I walk alone

I walk alone I walk alone I walk alone

My shadow's the only one that walks beside me My shallow hearts the only thing that's beating Sometimes I wish someone out there would find me 'Til then I walk alone I walk alone







# The Neighbourhood "Everybody's Watching Me" c.2013

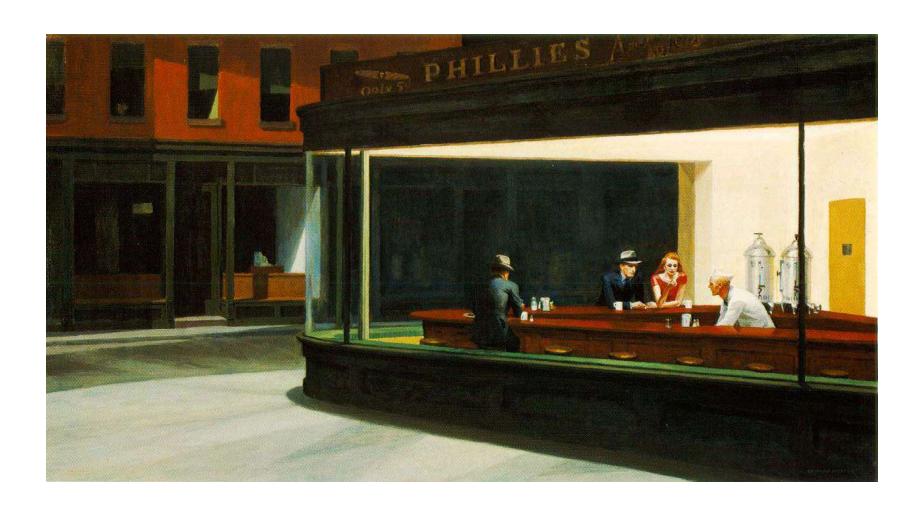
I told you I would tell you everything you want to know You want me to tell you now You pressure me to shout it Need to hear about it

Think that I would count you out I let you find it on your own

Then I found myself alone Uh oh, where can I go? Everybody's watching me Uh oh, where can I go?



# Edward Hopper "Nighthawks" c 1942





## Disputing Automatic Thoughts "Three Essential Questions"

- 1. What's the evidence? For and against.
- 2. Is there another, more adaptive, way of looking at this? "On the other hand"

- 3. So what?
  - Decatastrophize
  - What is the solution?



## Franklin's Socratic Questioning

Build the argument through gentle queries

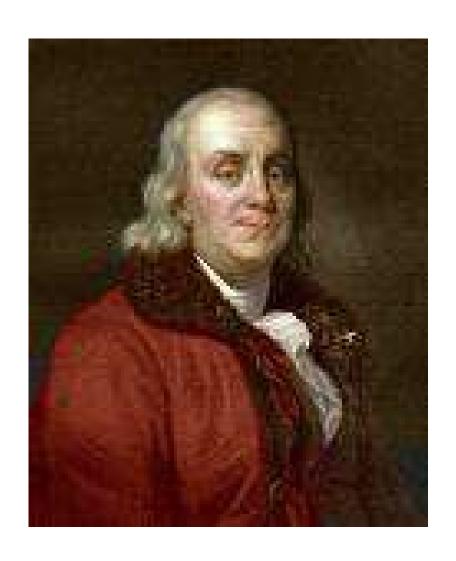
Drop "any abrupt contradiction" style

Be a "humble enquirer" by asking innocent questions

 Draw the person into making concessions that gradually prove your point



## The Disputatious Style



"Being disputatious [is] a very bad habit." [Confronting people produces] "disgusts and perhaps enmities." "Persons of good sense, I have since observed, seldom fall into it, except lawyers, university men, and men of all sorts that have been bred at Edinburgh."



## Tacit Beliefs / Schemata

- Generalized, tacit beliefs
- Organize perception, memory, problem solving
- Learning history may shape an individual's core views of self, others, future
  - 1. I am unlovable, vulnerable, unworthy, flawed, lack efficacy
  - 2. World is unsafe, others are unreliable
  - 3. No hope for my future



# Social Problem-Solving

(D'Zurilla, Nezu, Curry)

- R Relax
- Identify the problem
- B Brainstorm possible solutions
- E Evaluate each one
- Y 'Yes' to one (or two)
- Encourage yourself, reinforce



### Dear Problem-Solver #1

Dear Problem Solver,

Last Saturday I was driving my father's car to the store. I was close to being late so I was going pretty fast. As I turned a corner the car slid over and I scraped a tree. I got the steering under control, but was pretty shook up. After I stopped I looked at the car. There is a big scratch on the passenger side. After work I brought the car home. The next day Dad went on a trip. He's coming home in 3 days, and doesn't know about the scratch. I'm afraid to tell him because he might ground me. I need to get to school and to work, and I invited my girlfriend to a club next weekend. She's been looking forward to it for weeks. What should I do?

Sincerely,
Scared in Sandusky



### Dear Problem-Solver #2

Dear Problem Solver,

My problem is my math teacher. She's a real pain. Last week she was on my case for not getting my work done. She said she didn't care that I had to work extra hours at my job. She said it was my responsibility. She just doesn't seem to like me. She says I have an "attitude." The truth is, I just don't like math and I never have. Why do we have to take algebra anyway? What a waste. Now I'm behind in the course and I can't follow what the teacher is saying and if I flunk I won't graduate. It's getting bad. What should I do?

Sincerely,
Anxious in Akron



# Problem Solving Worksheet

- 1. Relax: The method I used to relax and calm my feelings was:
- 2. Identify: The problem I tied to solve was:
- 3. Brainstorm: The possible solutions I thought of were:
- 4. Evaluate: The consequences I considered were:
- 5. Yes to One: The solution I decided was:
- 6. Encourage: To encourage myself I:



### Level of Parental Involvement

### **CONSULTANT**

provide information

#### **CHEERLEADER**

provide encouragement

#### **COACH**

supervise/administer treatment components

#### **CLIENT**

target of specific aspects of intervention

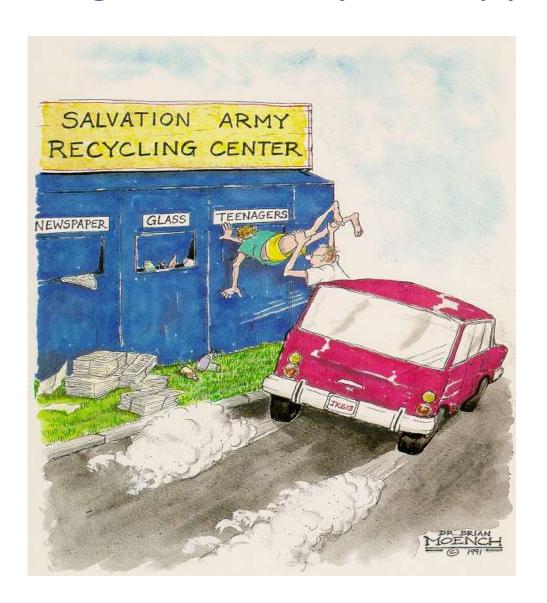


# Family CBT Strategies

- Contingency contracting
- Communication training
- Means-End Problem Solving
- Negotiation skills
- Criticism-Demands : Affection-Support



# **Pragmatic Family Therapy**





## **Relapse Prevention**

- 1. Identify preferred modules
- 2. Identify high risk settings, events
- 3. Transfer of responsibility for treatment
- 4. Develop relapse prevention plan
- 5. Fading sessions
- 6. Booster sessions



# **Advanced CBT Strategies**



## Facilitating Secure Attachment

- Elicit positive relationship history, memory
- Discuss current relationship
- Develop "image" of desired relationship
- Discuss behaviors that would rekindle a more positive relationship
- Emphasize:
  - 1. Reliability (Predictable)
  - 2. Responsiveness
  - 3. Affection, Kindness (Non-punitive)



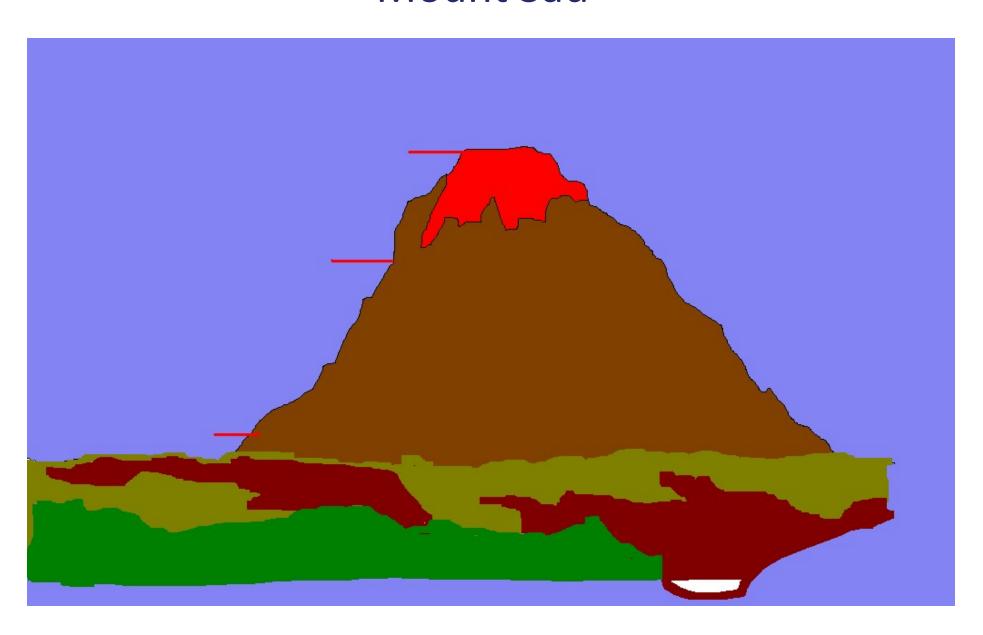
# Affect Regulation

### "Keeping Feelings Under Control"

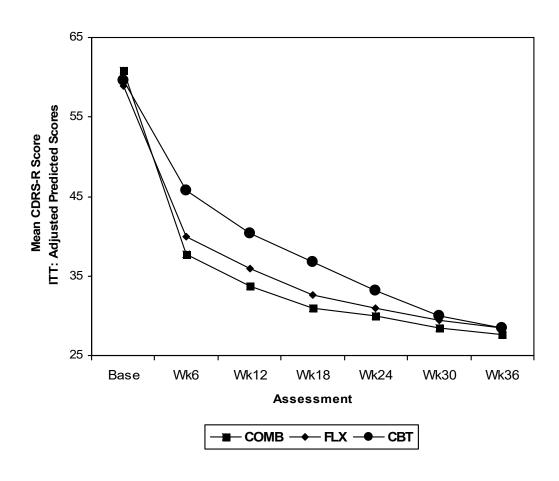
- 1. Emotions Thermometer or Volcano
- 2. Label endpoints
- Identify physiological, behavioral, or psychological cues of escalation
- 4. Identify "critical point"
- 5. Plan specific actions, coping strategies
- 6. Involve parents
- 7. Rehearsal and reinforcement
- 8. Identify 1 or 2 high risk scenarios, prepare



# Affect Regulation "Mount Sad"



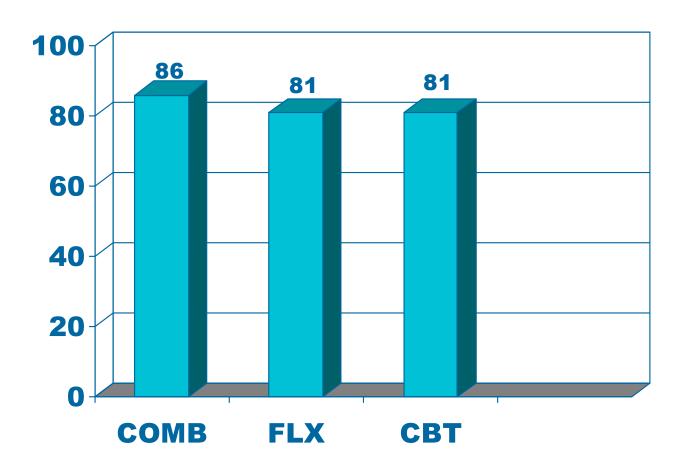
# Does Modular CBT Work? TADS Week 36 ITT Results





TADS

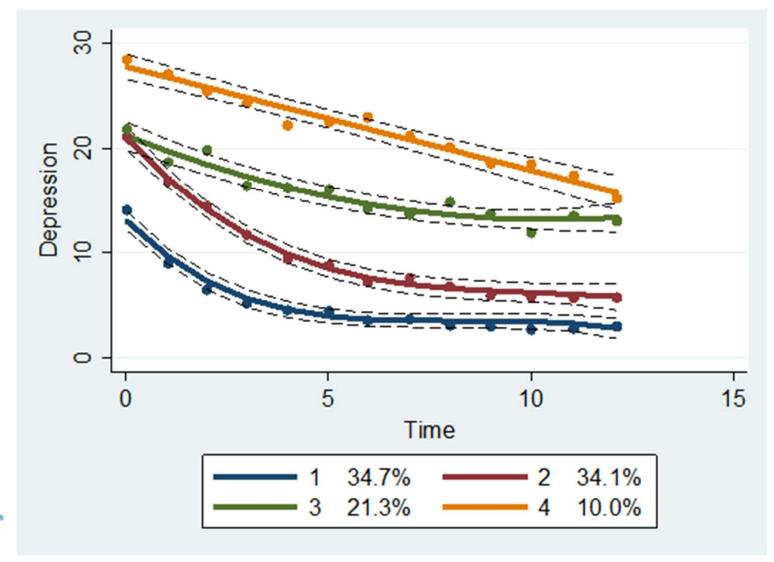
# Much/Very Much Improved: Week 36





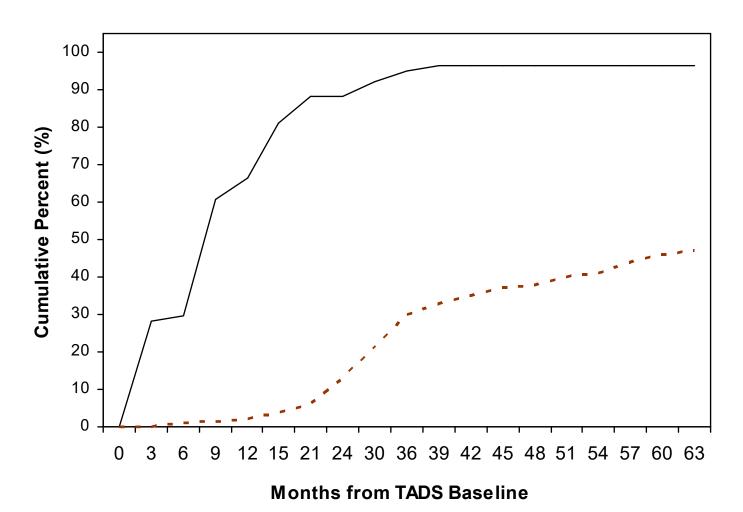
# Trajectories of Treatment Response

Growth Mixture Modeling / Latent Class Analysis Clarke (2015)





# Recovery & Recurrence Rates





# Teen Suicide



# Mental Illness: A Risk Factor for Teen Suicide

- Key suicide risk factor for all age groups is an undiagnosed, untreated or ineffectively treated mental disorder
- 90% of people who die by suicide have a mental disorder
- In teens, suicide risk is most clearly linked to 7 mental disorders, often with overlapping symptoms:

Major Depressive Disorder Conduct Disorder

Bipolar Disorder Eating Disorders

Generalized Anxiety Disorder Schizophrenia

Substance Use Disorders



# Common Diagnoses Among Suicidal Teens

	MALE (N=213)	FEMALE (N=46)
Depression	50%	69%
Antisocial	43%	24%
Substance Abuse	38%	17%
Anxiety	19%	48%

Approximately 2/3 of 16-19 year-old male suicide completers have a history of substance or alcohol abuse



# Frequency of Suicidal Thoughts and Attempts

	RATE	N
Ideation	19.0%	3.8 million
Attempt	8.8%	1.8 million
Attempt received medical attention	2.6%	520,000
Completed Suicide	.008%	1,611

Anderson 2002; Grunbaum et al. 2002 (15-19 year old high school students)



# Episodes of Suicidal Thoughts Per Year

1 45%

2 24%

3 or More 31%

Reifman & Windle 1995; \*"How often have you thought about killing yourself?"; past year, N=698; last 6 months, N=283)



# Number of Teen Suicide Attempts per Year

1 53%

2 or 3 30%

4 or More 17%

- Similar findings in patient studies
- 1 attempt increases risk of another 15-fold

Barter et al. 1968, Brent 1993, CDC 2002 (YRBS 2001 Codebook), Goldacre & Hawton 1985, Goldston et al. 1999, Hawton et al. 1982, Hulten 2001, Kotila 1992, Lewinsohn et al. 1994, McIntire et al. 1977, Spirito 1992, Spirito et al. 2003, Wichstrom 2000



## CDC Risk Factors for Suicide - I

- Family history of suicide
- Family history of child maltreatment
- Previous suicide attempt(s)
- History of mental disorders, particularly clinical depression
- History of alcohol and substance abuse
- Feelings of hopelessness
- Impulsive or aggressive tendencies



### CDC Risk Factors for Suicide - II

- Cultural and religious beliefs (e.g., belief that suicide is noble resolution)
- Local epidemics of suicide
- Isolation, feeling of being cut off from others
- Barriers to accessing mental health treatment
- Loss (relational, social, work, or financial)
- Physical illness
- Easy access to lethal methods
- Unwillingness to seek help, stigma



# CDC Protective Factors for Suicide

- Effective clinical care for mental, physical, and substance abuse disorders
- Easy access to a variety of clinical interventions and support for help seeking
- Family and community support (connectedness)
- Support from ongoing medical and mental health care relationships
- Skills in problem solving, conflict resolution, and nonviolent ways of handling disputes
- Cultural and religious beliefs that discourage suicide and support self-preservation



## Psychological Vulnerability

- 1. Hopelessness, Pessimism
- 2. Impulsivity
  - 1. Aggression
  - 2. Affect regulation deficits
  - 3. Emotional lability
- 3. Impaired Problem Solving Skills
  - 1. Low assertiveness
  - 2. Social problem-solving deficits (NPO, ICS, AS)



# Social Vulnerability

- Parental psychiatric illness
- Family history of suicide
  - (11.6% of 1<sup>st</sup> degree relatives; 15.6% of "aggressive suicides", Serotonin?)
- History of abuse, neglect, bullying
- Chaotic, punitive home environment
- Grief
- Disconnection, "drifting", "anomie"
- Homosexuality



# Availability of Lethal Means

- Guns
  - Odds Ratio 10.4 if guns in home
  - Rate of suicide increased most during 1<sup>st</sup> year after purchase; 75/100,00)
- Bridges
- Train tracks



# Teen Suicide Clusters Contagion

Goethe "Sorrows of Young Werther" (1774)

5% of adolescent suicides

Media exposure, community response

Peers, classmates (often not close friends)

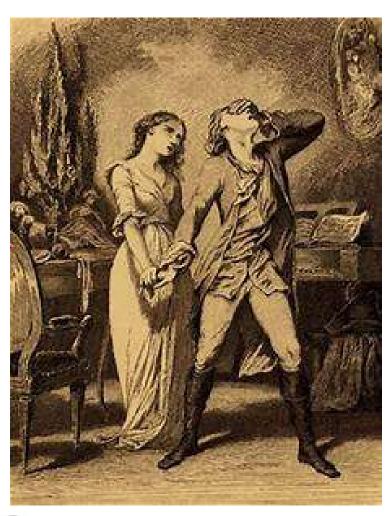


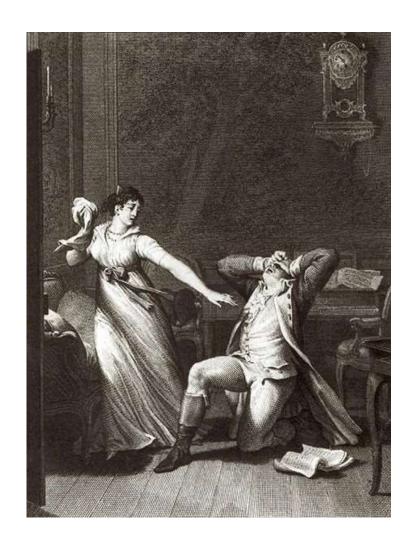


"What a torment it is to see so much loveliness passing and repassing before us, and yet not dare to lay hold of it!"

- Johann Wolfgang von Goethe, The Sorrows of Young Werther, 1774



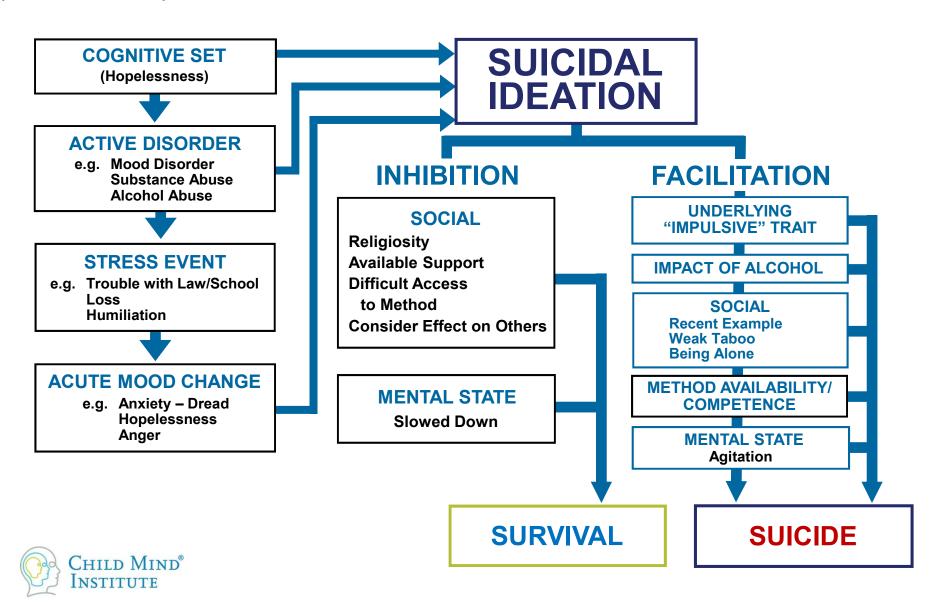






## Pathways to Suicide

(D. Shaffer, MD)



# **QPR Gatekeeper Training**

# **Question Persuade Refer**

## Ask a question, save a life

- Screening and triage
- QPR is <u>not</u> a risk assessment
- QPR is <u>not</u> a form of counseling or treatment
- QPR does offer <u>hope</u> through positive action
- Appropriate for nursing staff, teachers



# CDC Suicide Prevention Strategies

Strategy	Approach
Strengthen economic supports	•Strengthen household financial security •Housing stabilization policies
Strengthen access and delivery of suicide care	<ul> <li>Coverage of mental health conditions in health insurance policies</li> <li>Reduce provider shortages in undeserved areas</li> <li>Safer suicide care through systems change</li> </ul>
Create protective environments	<ul> <li>Reduce access to lethal means among persons at risk of suicide</li> <li>Organizational policies and culture</li> <li>Community-based policies to reduce excessive alcohol use</li> </ul>
Promote connectedness	<ul><li>Peer norm programs</li><li>Community engagement activities</li></ul>
Teach coping and problem-solving skills	<ul><li>Social-emotional learning programs</li><li>Parenting skill and family relationship programs</li></ul>
Identify and support people at risk	<ul> <li>Gatekeeper training</li> <li>Crisis intervention</li> <li>Treatment for people at risk of suicide</li> <li>Treatment to prevent re-attempts</li> </ul>
Lessen harms and prevent future risk	<ul><li>Postvention</li><li>Safe reporting and messaging about suicide</li></ul>



## Inventories to Assess Suicidality

Beck Depression Inventory (Items "2" and "9") Reynolds Adolescent Depression Scale (RADS) Children's Depression Rating Scale (CDRS)

Beck Hopelessness Scale (BHS)

Scale for Suicide Ideation (SSI)
Reynolds Suicide Ideation Questionnaire (RSIQ)
Columbia Scale (C-SSRS)



#### C-SSRS

This version of the C-SSRS has been modified for use by LA County Department of Mental Health on 9/28/15

## RATING SCALE (C-SSRS)

Since Last Visit SCREENER- Clinical

Version 1/14/99

Posner, K.; Brent, D.; Lucas, C.; Gould, M.; Stanley, B.; Brown, G.; Fisher, P.; Zelazny, J.; Burke, A.; Oquendo, M.; Mann, J.

#### Discloimer:

This scale is intended to be used by individuals who have received training in its administration. The questions contained in the Columbio-Suicide Severity Rating Scale are suggested probes. Ultimately, the determination of the presence of suicidal ideation or behavior depends on the judgment of the individual administering the scale.

Definitions of behavioral suicidal events in this scale are based on those used in <u>The Columbia Suicide History Faces</u>, developed by John Mann, MD and Maria Oquendo, MD, Conte Center for the Neuroscience of Mantal Disorders (CCNMD), New York State Psychiatric Institute, 1015 Riservide Drive, New York, NY, 10032; (Oquendo M. A., Habbenzom B. & Mann J. J., Rok factors for suicidal behavior: utility and lemisations of research instruments. In M.B. First (Ed.) Standardized Evaluation in Clinical Practice, pp. 103 -130, 2003.)

For reprines of the C-SSRS contact Kelly Posner, Ph.D., New York State Psychiatric Institute, 1051 Riverside Drive, New York, New York, 10032; inquiries and training requirements contact posnerk@myspi.cslumbia.edu

© 2008 The Research Foundation for Mental Hygiene, Inc.



## Adolescent Mental Health Screening "Every Teen, Every Encounter"

- Institute of Medicine
- US Preventative Services Task Force
- American Academy of Pediatrics
- American Medical Association
- Society for Adolescent and Medicine
- American Academy of Family Physicians
- NAMI



### Assess "Intent"

1. Preparation

2. Sense of "confidence" in carrying it out

3. Level of secretiveness

#### 4. Motivation

- Escape, surcease, solve-problems
- End pain and suffering, relief
- Get back at someone, make them pay



## Assess "Perceived Lethality"

- Clarify method
   (Firearm, Jumping, Pills, Hanging, Auto, Train)
- "How deadly did you think this would be?" (Level of lethality may be misjudged)



## Cognitive Vulnerabilities: Key Targets in Treatment

- 1. Hopelessness, helplessness, pessimism
- Maladaptive beliefs about oneself, others, the future (e.g., abandonment, unlovability, rejection)
- 3. "Suicidogenic beliefs"
- 4. Impaired problem-solving, low motivation
- 5. Non-specific autobiographical recall, perceptual bias
- 6. Morbid, self-punitive perfectionism.

#### TASA Protocol

#### Treatment of Adolescent Suicide Attempters

- Safety plan
- Case management
- Chain analysis of attempt
- Address suicidal, depressive cognitions
- Enhance affect regulation

Brown et al. (2005)



### **Chain Analysis**

- 1. Precipitating event
- 2. Motive
- 3. Negative affect
- 4. Hopelessness
- 5. Emotion regulation
- 6. Environmental response



### Address Environmental Factors

- Availability of means (e.g., guns, pills)
- Family conflict (lack of support)
- Peer problems
- Academic stressors
- Social skills, supports



## Regular Follow-Up Helps Long-Term Contact May Reduce Risk

• 834 inpatients (MDD or suicide)

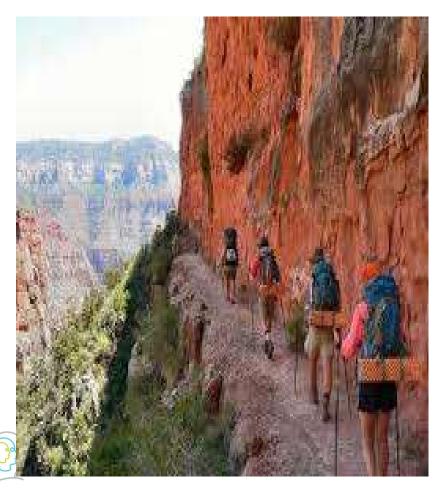
Randomized to follow-up contact / no-contact

• Letter + 24 contacts over 5 years

• Significant reduction: 1.7% vs 3.6%



## On the Edge





### Summary

- Adolescent suicide is multiply determined, multiple pathways
- Statistical prediction of risk is not possible
- Model-based interventions (Shaffer; Bridge, Goldstein & Brent) facilitate formulation
- Evidence-based practices (CBT, DBT, IPT) are promising;
   stay close to the data
- Flexible, modular approaches allow for individually-tailored, "prescriptive", "precision" treatment

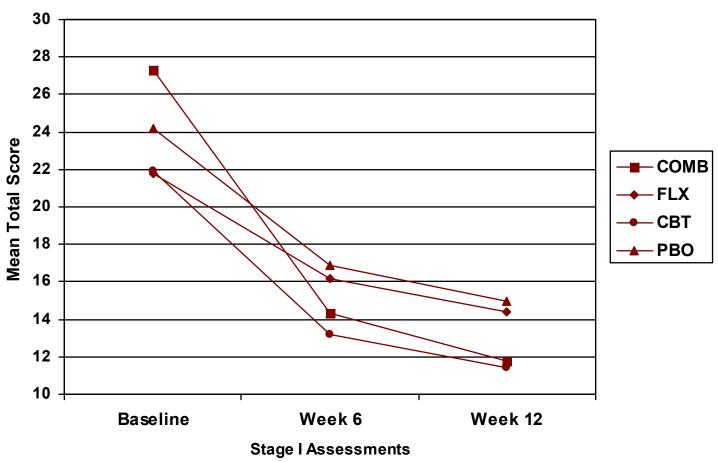


#### Teen Suicide Prevention

- What can help:
- 1) Annual school-wide depression, suicide screenings
- 2) Regular screenings by medical professionals; ""Every child, every encounter"
- 3) Teen resources: "Text a Tip", "Safe2Say", Change the Culture
- 4) Suicide training for adults: QPR
- 5) Embed suicide education in coursework, workshops
- 6) Every parent talks explicitly about suicide risk with their teens; parent seminars
- 7) Rapid referral network of trained clinicians; make treatment easily available, free (i.e., CWD-A)
- 8) Increased funding for mental health clinician workforce
- 9) More suicide research funding



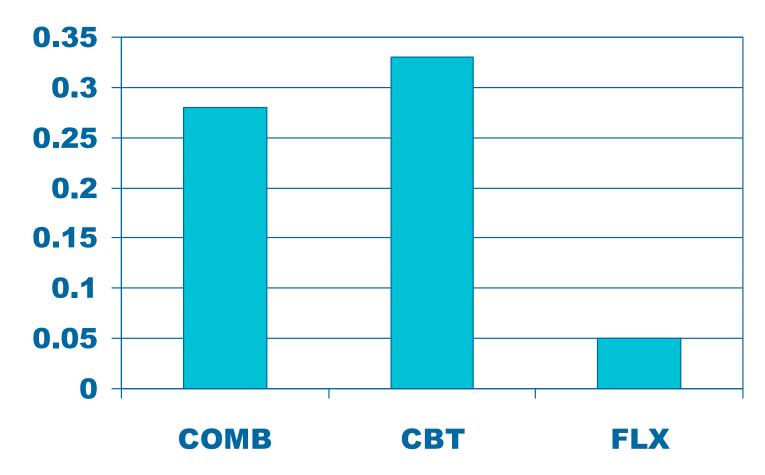
## SIQ: ITT Adjusted Means





TADS

## Acute Effect Size for RSIQ (ITT)





TADS

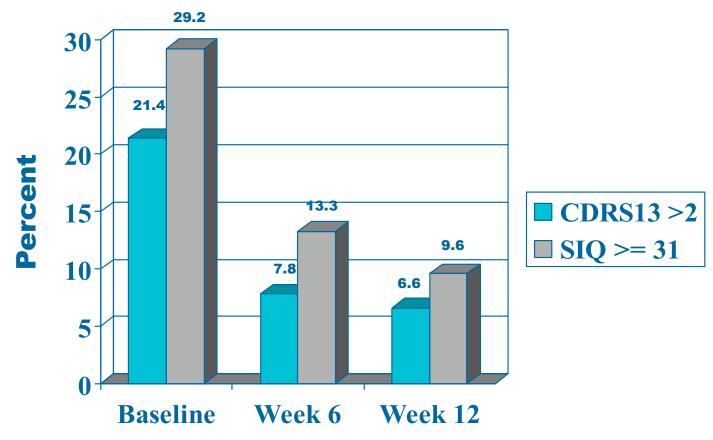
# Percentage of Patients with a Suicidal Event by Week 36





TADS

# Teen Suicide Improves with Treatment (OC)



(TADS) Team. (2007). Archives of General Psychiatry, <u>64</u> (10), 1132-1144.



### Does CBT Work?

"Robust" Early Support

• Reinecke et al. (1998)

$$ES = 1.02$$
  $n=6$  (CBT only)

Lewinsohn & Clarke (1999)

$$ES = 1.27$$
  $n=12$ 

Michael & Crowley (2002)

$$ES = 0.72$$
  $n=14$ 



## The Broader View of the Literature "Curb Your Enthusiasm"

•Weisz, McCarty, & Valeri (2006)

Review of 35 controlled studies (31 of CBT)

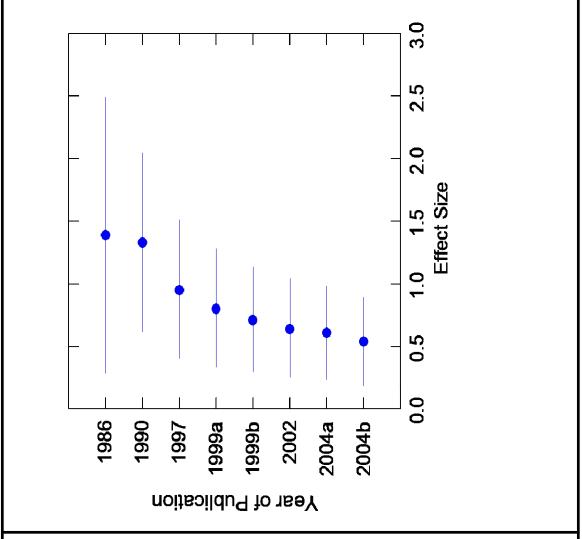
Effect size = .34 Z=4.57 P<.01

Effects show generality and specificity

"Effects are significant, but modest in their strength, breadth, and durability"



Figure 3. Cumulative effects and 95% confidence intervals for CBT by publication year.





1986 = Reynolds & Coats. 1990 = Lewinsohn et al. 1997 = Brent et al. 1999a = Rossello & Bernal. 1999b = Clarke et al. 2002 = Clarke et al. 2004a = Rohde et al. 2004b = TADS.

# Why the Decline? (Klein, Jacobs, & Reinecke, 2007)

- A common pattern in outcome research
- Increasingly severe, chronic, comorbid, and functionally impaired participants
- More stringent control conditions, randomization
- Fixed effects requires homogeneity of ES across samples (RRM may be preferred)
- ITT rather than completer analysis
- Reliance on published, peer-reviewed findings



## A Comprehensive Review "The Kids Are All Right"

- Review of 750 treatment protocols from 435 studies.
- Scored on 5-level level of evidence
- 21 controlled studies
- "Level 1: Best Support"

```
Effect size = .87 (CBT Alone)

1.47 (CBT + Rx)

.95 (CBT with parents)
```



Chorpita, B. et al. (2011). Evidence-based treatments for children and adolescents: An updated review of indicators of efficacy and effectiveness. Clinical psychology: Science & practice, 18 (2): 154-181.

#### A More Recent Review

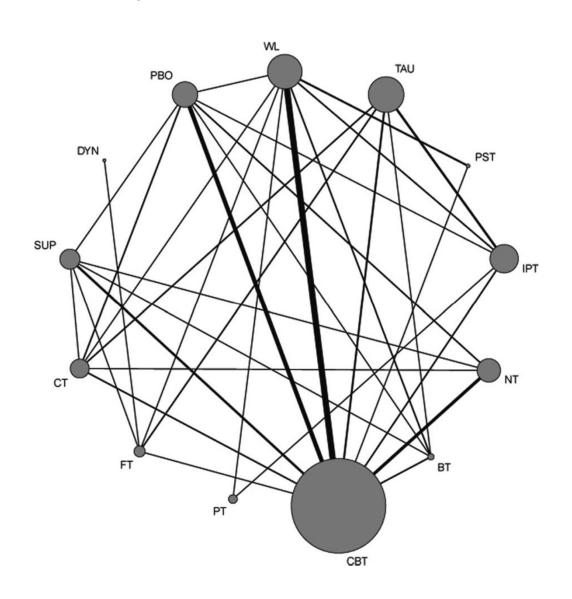
- Zhou et al. (2015) World Psychiatry
- 52 RCT's, 116 Conditions, 9 treatments, 3805 patients
- Post-treatment: Only CBT and IPT consistently more effective than controls (SMD= -.47 to -.96)
- Follow-Up: Only CBT and IPT consistently more effective than controls (SMD= -.26 to -1.05)

"...IPT and CBT should be considered the best available psychotherapies for depression in children and adolescents"



## Zhou et al. (2015)

### **Network Meta-Analysis**





# Current Standards CBT is "A Recommended Treatment"

- American Psychological Association Clinical Practice Guidelines (2018)
- AACAP Work Group on Quality Issues (2007)
- NICE National Institute for Health and Care Excellence (2005)
- BESt Cincinnati Children's Hospital Medical Center Best Evidence Statement (2010)
- Society for Clinical Child and Adolescent Psychology (Level One, "Works Well")
- US Preventive Services Task Force (2009)
- CPG-Ministry of Health and Social Policy-Spain (2010)
- GLAD-PC Group Guidelines for Adolescent Depression in Primary Care (2007)







### Modular CBT for Depression

Curry, J. & Reinecke, M. (2003). Modular therapy for adolescents with major depression. In M. Reinecke, F. Dattilio, & A. Freeman (Eds.) *Cognitive therapy with children and adolescents, 2<sup>nd</sup> Ed.* New York: Guilford Press.

Reinecke, M. & Ginsburg (2008). Cognitive-behavioral treatment of depression during childhood and adolescence. In J. Abela & B. Hankin (Eds.) *Handbook of depression in children and adolescence*. New York: Guilford Press.

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### Transforming Children's Lives



The Child Mind Institute is an independent, national nonprofit dedicated to transforming the lives of children and families struggling with mental health and learning disorders. Our teams work every day to deliver the highest standards of care, advance the science of the developing brain and empower parents, professionals and policymakers to support children when and where they need it most.



### Our Work

#### Research



We are at the forefront of neuroscience efforts to find objective biological measures of mental illness that will lead to earlier diagnosis, more individualized treatment methods, and new and better interventions.

#### Clinical Care



We provide world-class clinical care to children struggling with mental health and learning disorders. We have helped thousands of children get the help they need in our offices and in their communities.

#### **Public Education**



We equip millions of parents, educators and policymakers with the information they need to end the stigma and misinformation that cause so many children to miss out on life-changing treatment.



#### Contact Us!

Mark A. Reinecke, PhD
Child Mind Institute
2000 Alameda de las Pulgas, Suite 242
San Mateo, CA

O: (650) 931-6565

E-Mail: mark.reinecke@childmind.org





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